

Supplemental Digital Appendix 1

Variable Construction Details

This appendix document provides additional details on the construction of key variables from our analysis that are not in AHRQ's publicly available Compendium of U.S. Health Systems and Hospital Linkage file.¹ We also describe the process by which we used web searches to link academic medical centers (AMCs) to medical school affiliates.

1. Academic Medical Center

Our definition of an AMC is a hospital that meets three criteria: (1) medical school affiliation, (2) significant teaching of medical residents, and (3) general acute care hospital (GACH) status. Medical school affiliation was determined by using two main data sources: the 2016 Provider of Services (POS) file² and the 2015 American Hospital Association's (AHA) Annual Survey.³ We recorded hospitals as having a medical school affiliation if either the POS or AHA data indicated an affiliation, and if we the Association of American Medical Colleges (AAMC) documentation or web searches reported an affiliation with an accredited medical school in the United States (see section on "Medical School Affiliation Determination" below). If no affiliation was recorded for a hospital in either data set, we assumed the hospital had no medical school affiliation

In the POS file, we recorded a hospital as having a medical school affiliation if the variable *mdcl_schl_afltn_cd* documented the hospital as having an affiliation type of "grad," "major," or "limited," and it was recorded as not having an affiliation if the affiliation type was "no affiliation." In the AHA data, we record a medical school affiliation if the *MAPP5* variable was recorded as "yes."

We identified hospitals as major or very major teaching hospitals if they had a resident-to-bed ratio of at least 0.25. The number of residents for each hospital reflects the number of FTE residents in the Healthcare Cost Report Information System (HCRIS)⁴, unless that information was not available, in which case it reflects the number of residents reported in POS, Graham Center⁵, or AHA, in that order. If all sources were missing, the hospital was assumed not to be a major or very major teaching hospital.

¹ Agency for Healthcare Research and Quality (AHRQ). Compendium of U.S. health systems, 2016. <http://www.ahrq.gov/chsp/compendium/index.html>. Updated 2017. Accessed November 12, 2018.

² Provider of Services File: <https://www.cms.gov/Research-Statistics-Data-and-Systems/Downloadable-Public-Use-Files/Provider-of-Services/>

³ American Hospital Association Annual Survey: <http://www.ahadata.com/aha-annual-survey-database-asdb/>

⁴ Healthcare Cost Report Information System: <https://www.cms.gov/Research-Statistics-Data-and-Systems/Downloadable-Public-Use-Files/Cost-Reports/>

⁵ The Graham Center: <https://www.graham-center.org/rgc/maps-data-tools/data-tables/gme.html>

We identified hospitals as general acute care hospitals (GACH) using multiple data sources and the approach used to develop the Compendium of U.S Health Systems.⁶ We considered a hospital to be a GACH if it was identified as such in the AHA data, unless that information was not available, in which case it reflects data from the Healthcare Organization Services (HCOS)⁷, or SK&A data⁸, in that order. If none of the data sources recorded GACH status, the hospital was assumed not to be GACH. This differs from what is included in the Hospital Linkage file where a hospital was considered a GACH if any of three data sources considered a hospital to be a GACH.

2. Graduate Medical Education Spending

Data from the Graham Center for 2016, including data on GME spending or residency full time equivalents, was annualized using the most recent period available for year 2016. When more or less than 12 months of data was provided, non-bed variables (spending and resident full time equivalents) were prorated to a 12 month period, e.g. if 6 months of data were reported, the 12 month equivalent would multiply these values by a factor of two.

3. Service Provision and Affiliated Facilities

A hospital in the POS data was determined to provide a given service if it was provided by hospital staff or under arrangement: “1=provided by staff,” “2=provided under arrangement,” or “3=provided by staff and under arrangement.” A hospital was determined not to provide a service if the service variable had the value “0=not provided.”

4. Medical School Relationship

The primary data that was used to determine the link between AMCs and medical schools came from the AAMC’s Council of Teaching Hospitals and Hospital Systems (COTH) Annual and Quarterly Surveys of Hospital Operations and Financial Performance (OpFin). The data included the annual survey for fiscal year 2013 through the quarterly survey for the second quarter (April through June) of 2017. Participation in the OpFin surveys is limited to AAMC COTH-member, short-term, general, and non-Federal teaching hospitals and excluded children’s, specialty, and Veterans Affairs hospitals. Respondents reported the primary medical school affiliate as well as other medical school affiliates, and all affiliation information was included. AAMC provided the data for this research project as an AAMC Special Report—“Updated Hospital-to-Medical School Affiliations, as of January 26, 2018.”

For hospitals that met the three criteria we used to define an AMC, but were not members of the Council of Teaching Hospitals, we supplemented AAMC data with web searches to determine medical school affiliations. We searched the hospitals website, including pages about medical education, to determine if the hospital identified as a teaching affiliate of one or more medical schools. We also searched the medical education and related pages to determine if the hospital

⁶ https://www.ahrq.gov/sites/default/files/wysiwyg/chsp/compendium/chsp_linkage_file_tech_doc.pdf

⁷ Healthcare Organization Services: <http://us.imshealth.com/legal/ServicePlanDetails-HCOS.pdf>

⁸ SK&A: <http://www.skainfo.com/>

offered approved clinical rotations for medical students from one or more medical schools. We recorded a medical school affiliation (up to five for each hospital) for medical schools that met one or both of the above criteria (primary teaching affiliate and/or approved clinical rotations) and noted the type of school, allopathic or osteopathic, for each affiliation. We found we could not reliably distinguish primary medical school affiliations from secondary medical school affiliations through these web searches.

Supplemental Digital Appendix 2

Technology and Specialized Services Provided at Academic Medical Centers (AMCs) vs. at Other General Acute Care Hospitals (Non-AMCs), 2016

| <u>Variable</u> | <u>AMCs</u> | <u>Non-AMCs</u> | <u>P value</u> |
|--|-------------|-----------------|----------------|
| N | 381 | 4660 | |
| <i>Service provision</i> | | | |
| Cardiac catheterization lab | 90.3% | 39.5% | <0.001 |
| Dental services | 64.3% | 31.1% | <0.001 |
| Burn care unit | 26.8% | 2.5% | <0.001 |
| Obstetrics services | 76.9% | 65.8% | <0.001 |
| Adult inpatient psychiatric services | 70.9% | 28.9% | <0.001 |
| Neonatal intensive care unit | 56.7% | 16.1% | <0.001 |
| Alcohol and/or drug services | 43.3% | 18.4% | <0.001 |
| Designated trauma center | 58.0% | 29.3% | <0.001 |
| Coronary care unit | 84.3% | 46.8% | <0.001 |
| Emergency department | 96.9% | 97.1% | <0.001 |
| Diagnostic radiology services | 99.2% | 99.4% | 0.001 |
| Emergency psychiatric services | 60.6% | 34.9% | <0.001 |
| Intensive care unit | 95.5% | 71.5% | <0.001 |
| Medicare-certified transplant center | 33.1% | 2.5% | <0.001 |
| Magnetic resonance imaging | 76.4% | 70.3% | <0.001 |
| Neurosurgical services | 69.0% | 24.9% | <0.001 |
| Nuclear medicine | 98.4% | 80.5% | <0.001 |
| Cardiac thoracic surgery | 78.7% | 24.2% | <0.001 |
| Non-Medicare organ transplant services | 32.5% | 14.3% | <0.001 |
| Positron emissions tomography | 52.0% | 26.6% | <0.001 |
| Therapeutic radiology services | 85.6% | 48.0% | <0.001 |

Data come from AHRQ's 2016 Compendium of U.S. Health Systems. Information on service provision comes from the Provider of Services file. P values reflect two-sided tests of the null hypothesis of equal means (or medians, where indicated) between the two populations.

Abbreviations: AHRQ = Agency for Healthcare Research and Quality; AMC = academic medical center.

Supplemental Digital Appendix 3

Technology and Specialized Services Provided at Academic-Affiliated Health Systems (AHSs) vs. at Non-AHSs, 2016

| <u>Variable</u> | <u>AHSs</u> | <u>Non-AHSs</u> | <u>P value</u> |
|--|-------------|-----------------|----------------|
| N | 230 | 396 | |
| <i>Service provision (at any hospital in system)</i> | | | |
| Adult inpatient psychiatric services | 89.6% | 74.0% | <0.001 |
| Alcohol and/or drug services | 72.2% | 52.8% | <0.001 |
| Burn care unit | 47.4% | 7.8% | <0.001 |
| Cardiac catheterization lab | 83.9% | 74.7% | 0.007 |
| Cardiac thoracic surgery | 89.6% | 67.9% | <0.001 |
| Coronary care unit | 92.2% | 84.8% | 0.007 |
| Dental services | 87.8% | 58.6% | <0.001 |
| Designated trauma center | 81.3% | 52.0% | <0.001 |
| Diagnostic radiology services | 100.0% | 99.7% | 0.446 |
| Emergency department | 98.7% | 99.0% | 0.736 |
| Emergency psychiatric services | 81.7% | 71.0% | 0.003 |
| Intensive care unit | 96.1% | 98.0% | 0.161 |
| Medicare-certified transplant center | 51.3% | 10.9% | <0.001 |
| Magnetic resonance imaging | 88.7% | 86.1% | 0.354 |
| Neonatal intensive care unit | 75.7% | 48.5% | <0.001 |
| Neurosurgical services | 84.8% | 66.7% | <0.001 |
| Non-Medicare organ transplant services | 60.4% | 33.1% | <0.001 |
| Nuclear medicine | 99.1% | 99.0% | 0.862 |
| Obstetrics services | 87.8% | 94.4% | 0.003 |
| Positron emissions tomography | 73.5% | 67.7% | 0.128 |
| Therapeutic radiology services | 95.2% | 90.9% | 0.049 |

Data come from AHRQ's 2016 Compendium of U.S. Health Systems. Information on service provision comes from the Provider of Services file. P values reflect two-sided tests of the null hypothesis of equal means (or medians, where indicated) between the two populations.

Abbreviations: AHRQ = Agency for Healthcare Research and Quality; AHS = academic-affiliated health system.

Supplemental Digital Appendix 4

Distribution of Academic Medical Centers (AMCs) by the Type of Medical School Relationship of the Academic-Affiliated Health System, 2016

| <u># of AMCs</u> | <u>exclusive</u> | <u>multiple medical schools</u> | <u>nonexclusive</u> |
|------------------|------------------|---------------------------------|---------------------|
| 1 | 31 | 24 | 121 |
| 2 | 5 | 9 | 11 |
| 3 | 1 | 10 | 4 |
| 4 | 0 | 4 | 0 |
| 5 | 1 | 3 | 0 |
| 6 | 0 | 0 | 0 |
| 7 | 0 | 2 | 0 |
| 8 | 0 | 1 | 0 |
| 9 | 0 | 0 | 0 |
| 10 | 0 | 2 | 0 |
| 11 | 0 | 0 | 0 |
| 12 | 0 | 1 | 0 |

Data come from AHRQ's 2016 Compendium of U.S. Health Systems. For more details on definitions of system types, see the Methods section.

Abbreviations: AHRQ = Agency for Healthcare Research and Quality; AHS = academic-affiliated health system; AMC = academic medical center

Supplemental Digital Appendix 5

Technology and Specialized Services Provided at Academic-Affiliated Health Systems by the Type of Medical School Relationship, 2016

| | Exclusive medical <u>school</u> | Multiple medical <u>schools</u> | Single shared medical <u>school</u> | P value (1 v. 2) | P value (2 v. 3) | P value (1 v. 3) |
|---|---------------------------------------|---------------------------------------|---|---------------------|---------------------|---------------------|
| N | 38 | 56 | 136 | | | |
| <i>Service provision (any hospital in system)</i> | | | | | | |
| Adult inpatient psychiatric services | 84.2% | 96.4% | 88.2% | 0.038 | 0.077 | 0.512 |
| Alcohol and/or drug services | 68.4% | 80.4% | 69.9% | 0.190 | 0.138 | 0.866 |
| Burn care unit | 55.3% | 55.4% | 41.9% | 0.993 | 0.090 | 0.145 |
| Cardiac catheterization lab | 86.8% | 96.4% | 77.9% | 0.084 | 0.002 | 0.229 |
| Cardiac thoracic surgery | 89.5% | 96.4% | 86.8% | 0.180 | 0.047 | 0.659 |
| Coronary care unit | 97.4% | 98.2% | 88.2% | 0.783 | 0.027 | 0.095 |
| Dental services | 84.2% | 96.4% | 85.3% | 0.038 | 0.028 | 0.869 |
| Designated trauma center | 89.5% | 96.4% | 72.8% | 0.180 | <0.001 | 0.032 |
| Diagnostic radiology services | 100.0% | 100.0% | 100.0% | . | . | . |
| Emergency department | 97.4% | 100.0% | 98.5% | 0.227 | 0.364 | 0.629 |
| Emergency psychiatric services | 78.9% | 96.4% | 76.5% | 0.007 | 0.001 | 0.750 |
| Intensive care unit | 97.4% | 98.2% | 94.9% | 0.783 | 0.292 | 0.516 |
| Medicare-certified transplant center | 42.1% | 66.1% | 47.8% | 0.021 | 0.021 | 0.537 |
| Magnetic resonance imaging | 94.7% | 98.2% | 83.1% | 0.352 | 0.004 | 0.071 |
| Neonatal intensive care unit | 78.9% | 89.3% | 69.1% | 0.171 | 0.003 | 0.239 |
| Neurosurgical services | 86.8% | 96.4% | 79.4% | 0.084 | 0.003 | 0.304 |
| Non-Medicare organ transplant services | 55.3% | 69.6% | 58.1% | 0.158 | 0.136 | 0.757 |
| Nuclear medicine | 100.0% | 100.0% | 98.5% | . | 0.364 | 0.455 |
| Obstetrics services | 94.7% | 96.4% | 82.4% | 0.694 | 0.009 | 0.059 |
| Positron emissions tomography | 84.2% | 87.5% | 64.7% | 0.654 | 0.001 | 0.022 |
| Therapeutic radiology services | 97.4% | 96.4% | 94.1% | 0.802 | 0.515 | 0.427 |

Data come from AHRQ's 2016 Compendium of U.S. Health Systems. Information on service provision comes from the Provider of Services file. P values reflect two-sided tests of the null hypothesis of equal means (or medians, where indicated) between the two populations.

Abbreviations: AHRQ = Agency for Healthcare Research and Quality; AHS = academic-affiliated health system.

Supplemental Digital Appendix 6

Comparison of the Characteristics of Academic-Affiliated Health Systems (AHSs) Affiliated with a Single, Shared Medical School, by Number of Health Systems Affiliated with the Medical School, 2016

| | <u>2 systems</u> | <u>3–4 systems</u> | <u>5+ systems</u> | <u>P value</u> | <u>P value</u> | <u>P value</u> |
|---|------------------|--------------------|-------------------|----------------|----------------|----------------|
| N | 38 | 67 | 31 | | | |
| <i>Size</i> | | | | | | |
| Small (1–2 general acute care hospitals) | 44.7% | 52.2% | 48.4% | 0.465 | 0.726 | 0.766 |
| Medium (3–6 general acute care hospitals) | 39.5% | 25.4% | 32.3% | 0.134 | 0.483 | 0.542 |
| Large (7+ general acute care hospitals) | 15.8% | 22.4% | 19.4% | 0.421 | 0.737 | 0.703 |
| <i>System characteristics</i> | | | | | | |
| Median bed count | 790.5 | 631.0 | 623.0 | 0.376 | 0.936 | 0.271 |
| Median % of beds in AMCs | 88.3% | 100.0% | 100.0% | . | . | 0.152 |
| Median count of physicians | 961.5 | 756.0 | 508.0 | 0.196 | 0.343 | 0.038 |
| Median count of primary care physicians | 244.5 | 223.0 | 193.0 | 0.376 | 0.515 | 0.537 |
| Median count of specialist physicians | 740.0 | 527.0 | 295.0 | 0.196 | 0.277 | 0.113 |
| Median ratio of primary care physicians to specialists | 0.4 | 0.5 | 0.6 | 0.018 | 0.128 | 0.022 |
| Includes safety net hospital | 36.8% | 41.8% | 61.3% | 0.623 | 0.074 | 0.044 |
| Includes any children's hospitals | 23.7% | 22.4% | 6.5% | 0.881 | 0.053 | 0.053 |
| Multistate system (3 or more states) | 5.3% | 3.0% | 3.2% | 0.562 | 0.949 | 0.685 |
| More than one AMC in system | 7.9% | 14.9% | 6.5% | 0.298 | 0.238 | 0.821 |
| <i>Characteristics of graduate medical education</i> | | | | | | |
| Median total resident full-time equivalents per system | 332.3 | 228.7 | 188.0 | 0.035 | 0.277 | 0.002 |
| Median spending on total graduate medical education per system | \$26,470,178 | \$23,453,963 | \$20,650,902 | 0.631 | 0.515 | 0.271 |
| Median spending on direct graduate medical education per system | \$8,560,564 | \$6,209,929 | \$6,528,907 | 0.376 | 0.828 | 0.271 |
| Median % of general acute care hospitals that are AMCs | 50.0% | 50.0% | 50.0% | 0.519 | 0.862 | 0.484 |
| Any AMCs with allopathic medical school affiliation | 100.0% | 95.5% | 96.8% | 0.189 | 0.774 | 0.271 |
| Any AMCs with osteopathic medical school affiliation | 0.0% | 4.5% | 3.2% | 0.189 | 0.774 | 0.271 |
| Both allopathic and osteopathic medical school affiliations in system | 0.0% | 0.0% | 0.0% | . | . | . |
| <i>Service provision (at any hospital in system)</i> | | | | | | |

| | | | | | | |
|---|--------|--------|--------|-------|-------|-------|
| Mean number of services provided | 12.7 | 12.5 | 12.2 | 0.784 | 0.661 | 0.506 |
| At least 1 hospital providing all 21 services | 13.2% | 4.5% | 3.2% | 0.109 | 0.774 | 0.150 |
| Adult inpatient psychiatric services | 89.5% | 85.1% | 93.5% | 0.529 | 0.238 | 0.557 |
| Alcohol and/or drug services | 68.4% | 65.7% | 80.6% | 0.777 | 0.134 | 0.256 |
| Burn care unit | 60.5% | 37.3% | 29.0% | 0.022 | 0.428 | 0.009 |
| Cardiac catheterization lab | 84.2% | 76.1% | 74.2% | 0.332 | 0.839 | 0.310 |
| Cardiac thoracic surgery | 89.5% | 91.0% | 74.2% | 0.795 | 0.027 | 0.099 |
| Coronary care unit | 86.8% | 89.6% | 87.1% | 0.678 | 0.724 | 0.976 |
| Dental services | 84.2% | 85.1% | 87.1% | 0.907 | 0.793 | 0.739 |
| Designated trauma center | 76.3% | 76.1% | 61.3% | 0.982 | 0.133 | 0.182 |
| Diagnostic radiology services | 100.0% | 100.0% | 100.0% | . | . | . |
| Emergency department | 100.0% | 98.5% | 96.8% | 0.454 | 0.577 | 0.271 |
| Emergency psychiatric services | 73.7% | 76.1% | 80.6% | 0.784 | 0.622 | 0.503 |
| Intensive care unit | 97.4% | 95.5% | 90.3% | 0.639 | 0.323 | 0.219 |
| Medicare-certified transplant center | 63.2% | 41.8% | 41.9% | 0.036 | 0.989 | 0.081 |
| Magnetic resonance imaging | 84.2% | 80.6% | 87.1% | 0.648 | 0.435 | 0.739 |
| Neonatal intensive care unit | 68.4% | 70.1% | 67.7% | 0.855 | 0.812 | 0.953 |
| Neurosurgical services | 84.2% | 77.6% | 77.4% | 0.421 | 0.983 | 0.480 |
| Non-Medicare organ transplant services | 63.2% | 62.7% | 41.9% | 0.962 | 0.055 | 0.081 |
| Nuclear medicine | 94.7% | 100.0% | 100.0% | 0.059 | . | 0.200 |
| Obstetrics services | 76.3% | 83.6% | 87.1% | 0.367 | 0.657 | 0.261 |
| Positron emissions tomography | 68.4% | 70.1% | 48.4% | 0.855 | 0.038 | 0.094 |
| Therapeutic radiology services | 97.4% | 97.0% | 83.9% | 0.918 | 0.019 | 0.049 |
| <i>Any affiliated Medicare participating services</i> | | | | | | |
| Ambulatory surgery centers | 5.3% | 6.0% | 6.5% | 0.882 | 0.927 | 0.837 |
| End-stage renal disease units | 34.2% | 13.4% | 38.7% | 0.012 | 0.004 | 0.704 |
| Home health agencies | 23.7% | 22.4% | 29.0% | 0.881 | 0.482 | 0.621 |
| Hospices | 15.8% | 14.9% | 16.1% | 0.907 | 0.879 | 0.970 |
| Skilled nursing facilities | 21.1% | 16.4% | 22.6% | 0.558 | 0.469 | 0.881 |
| <i>Plurality ownership (bed weighted)</i> | | | | | | |
| Investor | 0.0% | 1.5% | 6.5% | 0.454 | 0.189 | 0.115 |

| | | | | | | |
|--------------------------|-------|-------|-------|-------|-------|-------|
| Nonprofit, not religious | 68.4% | 68.7% | 64.5% | 0.980 | 0.688 | 0.737 |
| Nonprofit, religious | 2.6% | 10.4% | 9.7% | 0.150 | 0.908 | 0.219 |
| Public | 28.9% | 19.4% | 19.4% | 0.267 | 0.996 | 0.365 |

Data come from AHRQ's 2016 Compendium of U.S. Health Systems. For more details on definitions of system types, see the Methods section. We defined safety net hospitals as the top quintile of Medicare DSH patient percentage nationally. Information on service provision comes from the Provider of Services file. We defined ownership based on owning a plurality of hospital beds at general acute care hospitals within systems. P values reflect two-sided tests of the null hypothesis of equal means (or medians, where indicated) between the two populations.

Abbreviations: AHRQ = Agency for Healthcare Research and Quality; AHS = academic-affiliated health system; AMC = academic medical center; DSH = Disproportionate-Share Hospital.