ETHICAL APPROVALS

OF EACH STUDY CENTER

This trial was conducted in 14 study centers from the mainland of China. Guang'anmen Hospital is the leader center through all these 14 centers. Study protocol of this trial was approved by the institutional review board (IRB) of Guang'anmen Hospital, China Academy of Chinese Medical Sciences firstly, and then was approved by the ethics committees of all the other 13 centers.

密级: 公开级

国家科技支撑计划课题任务书

项目编号:

2012BAI24B00

项目 名称:

针灸疗效国际多中心临床评价研究

项目组织单位:

国家中医药管理局

课题 编号:

2012BAI24B01

课题 名称:

针灸治療用絕經期綜合征与功能性便秘等国

际多典心随机对照临床试验

课题承担单位:

中国主医科学院广袤门医院

课题起止日期:

2012年01月01日至2015年12月31日

编制 日期:

2012年02月20日

中华人民共和国科学技术部制



十、任务书签订各方签章

项目组织单位(甲方): 负责人(签字):

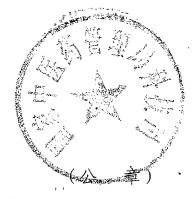
Jan 1/2

课题承担单位(乙方):

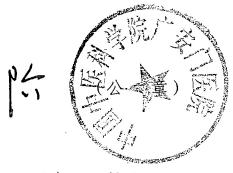
课题承担单位法定代表人(签字):

课题负责人(签字) 存 都 犯

课题承担单位财务负责人(签字):



2012年 4月 12日



2012年 2月20日

科技部核准意见

科技部项目主管司 负责人 (签字):



科技部科研条件与财务司:

负责人(签字):







伦理审查批件

| | 学院/ 冷理 | 审查批件 | |
|---------|--|--|--|
| 项目名称 | 电针和普萨卡必利治疗严 | 『重慢性便秘疗效 | 比较-多中心随机对照试验 |
| 批件号回 | 2013EC124-01 | 项目来源 | 国家级课题 |
| 研究单位 | 浙江中医药大学附属三阴 院、湖南中医药大学附属 | 完、四川大学华西 属衡阳医院、山东 | 院、陕西省中医院、东直门医院、医院、湖南中医药大学第一附属医中医药大学附属医院、江苏省中医附属医院、湖北省中医院、武汉市 |
| 申办者 | 无 | | |
| 主要研究者 | 刘志顺 | 研究科室 | 针灸科 |
| 审查类别 | 复审 | 审查方式 | 快速审查 |
| 审查日期 | 2014-1-15 | 审查地点 | 中国中医科学院广安门医院 |
| 审查委员 | 谢利民, 赵军 | | |
| 批准文件及版本 | 招募广告(VERSION 2.0_2 | 20140102),研究 SION 1.0_201311 | 同意书(VERSION 2.0_20140102), 者手册(VERSION 1.0_20131118), 15),随访期排便日记卡(VERSION SION 1.0_20131115) |
| 审查意见 | 食品监督管理局《药物语》《究伦理审查以名》《在生物的"查"。 不的一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个一个 | 床试指导深入国方循化 未 要人以件定申任理入质导系统 果 子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子子 | 验受益比的非预期不良事件,请申 踪审查频率,申请人在截止日期前 长单位伦理委员会提交各中心研究 响试验进行或增加受试者危险的情 |

中国中医科学院广安门医院伦理委员会文件(EC_AF_031)

| | 等没有遵从方案开展研究的情况;或可能对受试者的权益/健康以及研究的科 |
|---------------------------------------|---------------------------------------|
| | 学性造成不良影响等违背 GCP 原则的情况,请申办者/监查员/研究者提交违 |
| | 背方案报告。 |
| | 提前终止或完成临床研究,请及时提交结题报告。 |
| 有效期 | 2014年1月16日~2015年1月15日 |
| 联系人与联系电话 | 乔洁 010-88001552 |
| 主任委员签字 | SAM B |
| | 中国中医科学院广安门医院伦理委员会(盖章) |
| | 日期 2014年1月15日 |
| · · · · · · · · · · · · · · · · · · · | \ 从现禾昌△ / |



Ethical Approval of Guang'anmen Hospital

| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | | | | |
|----------------------|--|--------------------------|--------------------------------------|--|--|--|--|
| Project Name | multicenter, randomiz | ed, controlled trial | | | | | |
| | | | the Twelfth Five-Year National | | | | |
| Approval No. | 2013EC124-01 | Project Sponsor | Science and Technology Pillar | | | | |
| | | | Program (2012BAI24B01) | | | | |
| | Guang'anmen Hospital, China Academy of Chinese Medical Sciences; Beijing | | | | | | |
| | Hospital of Tradition | al Chinese Medicine | e affiliated to Capital Medical | | | | |
| | University; Shaanxi F | Province Hospital of | Traditional Chinese Medicine; | | | | |
| | Dongzhimen Hospital | Affiliated to Beijing Un | niversity of Chinese Medicine; The | | | | |
| | Third Affiliated Hospit | al of Zhejiang Chinese | e Medical University; West China | | | | |
| Study Centers | Hospital of Sichuan U | Jniversity; The First | Hospital of Hunan University of | | | | |
| | | | ed to Hunan University of Chinese | | | | |
| | · | • | g University of Traditional Chinese | | | | |
| | | • | Traditional Chinese Medicine; | | | | |
| | | • | Chinese Medicine; Hiser Medical | | | | |
| | • • | • | ional Chinese Medicine; Wuhan | | | | |
| | Hospital of Traditional | Chinese and Western | Medicine | | | | |
| Applicant (if any) | / | | T | | | | |
| Principal | Zhishun Liu | Research | Acupuncture Department | | | | |
| Investigator | | Department | | | | | |
| Review Category | Re-review | Review Method | Quick Review | | | | |
| Davies Date | In a 15th 2014 | Daview Leastieu | Guang'anmen Hospital, China | | | | |
| Review Date | Jan 15 th , 2014 | Review Location | Academy of Chinese Medical Sciences | | | | |
| Committee | | | Sciences | | | | |
| Committee Members | Limin Xie, Jun Zhao | | | | | | |
| Members | Study Protocol (VERSIO | N 2 0 20140102\ Inf | ormed Consent (VERSION | | | | |
| | · · | | N 2.0 20140102), Researcher | | | | |
| Approved Files | | • | tion Diary of Screening Period | | | | |
| and Versions | • | | of Treatment Period (VERSION | | | | |
| and versions | 1.0_20131115), Defect | • | · | | | | |
| | 1.0_20131115) | | | | | | |
| | | Review Methods for B | iomedical Study Involving Human | | | | |
| | _ | | iood Clinical Practice", "Provisions | | | | |
| | ' | • | uidelines for Ethical Review Work | | | | |
| Review | of Drug Clinical Trials" | issued by State Food a | and Drug Administration (SFDA) of | | | | |
| Comments | the People's Republic o | of China, "Managemen | nt Specifications for Ethical Review | | | | |
| | of TCM Clinical Studies | s" issued by State Adm | ninistration of Traditional Chinese | | | | |
| | Medicine, "Declaration of Helsinki", and "International Ethical Guidelines for | | | | | | |
| 1 | Biomedical Research Involving Human Subjects" issued by Council for | | | | | | |



International Organizations of Medical Sciences, the study protocol, informed consent, and recruitment documents were approved by the institutional review board (IRB) of Guang'anmen Hospital, China Academy of Chinese Medical Sciences with comments as followed:

- 1) Please perform the study by following the principle of GCP and the approved protocol. Please protect the health and rights of participants.
- 2) This approval will be invalid if the study does not start within the validity date of this approval.
- 3) Application of the review amendment should be submitted if any change of the main investigators, study protocol, informed consent and recruitment documents are made.
- 4) Reports of serious adverse events should be submitted, if any serious adverse event occurs, or any unexpected adverse event, which would have an influence on the risk and benefit ratio, occurs.
- 5) Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline. Applicant should do the summary of the research progress reports from each center. If any situation affecting the study progress or gaining the risk of participants occurs, a report should be submitted in time.
- 6) The applicant or investigators should submit the protocol deviation report if any of the following occurs: patients who do not meet the inclusion criteria or meet the exclusion criteria; patients complete the trial who meet the termination criteria; patients who are given the wrong treatment session or dose; patients who are given the drugs which are not allowed in the protocol; patients' right/health are affected negatively.
- 7) Final report should be submitted if the study terminates or completes beforehand.

| Validity Date | From Jan 16 th , 2014 to Jan 15 th , 2015 | | | |
|--|---|--|--|--|
| Contact | Jie Qiao, +86 010 88001552 | | | |
| Director | Haibo Yin | | | |
| Signature | Halbo Yili | | | |
| IRB of Guang'anmen Hospital of China Academy of Chinese Medical Sciences (| | | | |
| | al- | | | |

Jan 15th, 2014

北京中医药大学东直门医院医学伦理委员会 IRB of Dongzhimen Hospital affiliated to Beijing University of Chinese Medicine 伦理审查批件

Approval Notice Template

| 受理序号: ECSL-BDY-2014-06 | 批件号: ECPJ-BDY-2014-06 | | | | | |
|--|-----------------------|--|--|--|--|--|
| 项目名称: 电针和普芦卡必利治疗严重慢性便 | 秘疗效比较-多中心随机对照试验 | | | | | |
| 申办单位:北京中医药大学东直门医院 | 主要研究者: 赵吉平 | | | | | |
| 项目类别: 科研课题 批 | 文号/课题编号:2012BAI24B01 | | | | | |
| 方案版本号: VERSION2. 0_20140102 | 方案批准日期: 2014.01 | | | | | |
| 知情同意书版本号: VERSION2. 0_20140102 | 知情同意书批准日期: 2014.01 | | | | | |
| 伦理审查方式: □会议审查 □快速行 | 审查 | | | | | |
| 应到会 14 人,出席本次会议人员 11 人, | 回避 0 人,缺席 3人 | | | | | |
| 根据中华人民共和国国家食品药品监督管理局 (CFDA) (药物临床试验伦理审查工作指导原则) (2010年)、(药物临床 | □同意临床研究方案 | | | | | |
| 试验质量管理规范》(2003)、《中药品种保护指导原则》(2009),世界医学会《赫尔辛基宣言》(2008),卫生部《涉 | □不同意临床研究方案 | | | | | |
| 及人的生物医学研究伦理审查办法》(2007),国家中医药管型局《中医药临床研究伦理审查管理规范》(2010)以及国□终止临床研究方案 | | | | | | |
| 际医学科学组织委员会《人体生物医学研究国际道德指南》 (2002)的伦理原则,经本伦理委员会审查决定: | □暂停临床研究方案 | | | | | |
| 审查意见: | | | | | | |

同意临床试验

注:本批件自签发日期有效期四年,研究负责人必须严格使用经审查同意的知情同意书文本和研究方案。如伦理审查批件失效时不能完成所有的临床研究(包括统计分析),请在本批件失效前一个月,递交持续审查申请。如研究结束并在审查有效期内,请递交研究结题报告。研究中发生涉及受试者或其他人风险的任何预期或非预期的不良事件,应立刻报告本伦理委员会;任何研究方案、知情同意书的修改包括研究人员得变更,必须递交研究方案修改申请表,经伦理委员会审查获得批准后执行。

主任委员□副主任委员□龙东直广
北京中医药大学东西安医院医学伦理委员会

时间: 2014年 / 月25日

地 点: 第一会议室

本项目持续审查频率 3 不 1 2 12 个月 联系人: 商建伟 (010) 84013229

北京中医药大学东直门医院医学伦理委员会 IRB of Dongzhimen Hospital affiliated to Beljing University of Chinese Medicine

会议签到表

Meeting attendance sheet

| 项目名称 | 电针和普芦卡必利治疗严重慢性便秘疗效比较-多中心随机对照 试验 | | | | | |
|------|------------------------------------|------|-------|--|--|--|
| 会议时间 | 2014.1.24 | 会议地点 | 第一会议室 | | | |

| | | | · | |
|-----|----|---------|-------|---------|
| 成员 | 性别 | 伦理委员会职务 | 专业背景 | 签名 |
| 叶永安 | 男 | 主任 | 中医内科学 | V1 }} |
| 高颖 | 女 | 副主任 | 中医内科学 | |
| 柳红芳 | 女 | 副主任 | 中西医结合 | 1mix |
| 田金洲 | 男 | 委员 | 管 理 | No. |
| 张永涛 | 男 | 委员 | 西医内科学 | 371 |
| 杨博华 | 男 | 委员 | 中医外科学 | They |
| 鲁卫星 | 男 | 委员 | 院外代表 | 224 |
| 王蓬文 | 女 | 委员 | 药理学 | 235 |
| 曹俊岭 | 男 | 委员 | 药剂科 | |
| 赵波 | 男 | 委员 | 法律代表 | t-11\$ |
| 贺海东 | 男 | 委员 | 医疗器械 | 18 July |
| 孟歌红 | 女 | 委员 | 群众代表 | 强歌红 |
| 陈信义 | 男 | 委员 | 中医内科学 | RECEI |
| 彭淑莲 | 女 | 委员 | 中医外科学 | |
| | | | | EVE |

Approval Notice Template

| Acceptance No. ECSL-BDY-2014-06 | Approval No. ECPJ-BDY-2014-06 | | | | | |
|---|--|--|--|--|--|--|
| Project Name: Electro-acupuncture versus prud | calopride for severe chronic constipation: a | | | | | |
| multicenter, randomized, controlled trial | | | | | | |
| Applicant: Dongzhimen Hospital affiliated to | Center PI: Jiping Zhao | | | | | |
| Beijing University of Chinese Medicine | | | | | | |
| Project Attribute: Scientific Research | Project No. 2012BAI24B01 | | | | | |
| Protocol Version: VERSION 2.0_20140102 | Protocol Approval Date: Jan, 2014 | | | | | |
| Informed Consent Version: VERSION | Informed Consent Version Approval Date: Jan, | | | | | |
| 2.0_20140102 | 2014 | | | | | |
| Review Method: ■ Conference Review | □ Quick Review | | | | | |
| Attendance required: 14, Actual atte | endance: <u>11</u> , Avoiding <u>0</u> , Absent <u>3</u> . | | | | | |
| According to the "Guidelines for Ethical Review Work of | Drug Clinical Trials", | | | | | |
| "Good Clinical Practice", and "Guiding Principles for the | Protection of Traditional | | | | | |
| Chinese Medicine Varieties" issued by State Food and Dr | ug Administration (SFDA) ■ Approved | | | | | |
| of the People's Republic of China, , "Declaration of Helsin | nki", "Ethical Review 🗆 Disapproved | | | | | |
| Methods for Biomedical Study Involving Human Subjects | " issued by the Ministry of ☐ Terminated | | | | | |
| Health, "Management Specifications for Ethical Review of | of TCM Clinical Studies" | | | | | |
| issued by State Administration of Traditional Chinese Me | edicine, and "International | | | | | |
| Ethical Guidelines for Biomedical Research Involving Human Subjects" issued by | | | | | | |
| Council for International Organizations of Medical Sciences, the study protocol and | | | | | | |
| informed consent was reviewed as: | | | | | | |

Review Comments:

APPROVED

Note: The validity of this approval is 4 years. Study should be performed abiding by the approved documents strictly. If the trial does not complete within the validity (including the statistical analysis), please submit a request for continued review one month before the deadline. If the trial completes beforehand, please submit a final report. If any expected or unexpected adverse event occurs, please submit a report to the committee. If any change to the protocol, informed consent, or investigator is made, an application of review amendment should be submitted to the committee and get approved.

| □ Chairman | ■ Associate Chairman | Signature | | Date: Jan 25 th , 2014 |
|--|-------------------------|----------------|---------------------|--|
| IRB of Dongzhimen Hospital affiliated to Beijing University of | | | of Chinese Medicine | Location: Conference Room 1 |
| Continuing R | eview Frequency 🗆 3 mon | ths 🗆 6 months | ■ 12 months | Contact: Jianwei Shang, +86 010 84013229 |

Meeting Attendance Sheet

| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: | | | | |
|-----------------|--|---------------------|-------------------|--|--|
| | a multicenter, randomized, controlled trial | | | | |
| Conference Date | Jan 24 th , 2014 | Conference Location | Conference Room 1 | | |

| Name | Gender | Title | Major | Signature | |
|---------------|--------|--------------------|--|---------------|--|
| Yong'an Ye | Male | Chairman | Chinese Internal Medicine | Yong'an Ye | |
| Ying Gao | Female | Associate Chairman | Chinese Internal Medicine | Ying Gao | |
| Hongfang Liu | Female | Associate Chairman | Integrated Chinese and Western medicine | Hongfang Liu | |
| Jinzhou Tian | Male | Committee member | Management | Jinzhou Tian | |
| Yongtao Zhang | Male | Committee member | Internal Medicine | Yongtao Zhang | |
| Bohua Yang | Male | Committee member | Surgery of Traditional Chinese Medicine | Bohua Yang | |
| Weixing Lu | Male | Committee member | Representative outside the Hospital | Weixing Lu | |
| Pengwen Wang | Female | Committee member | Pharmacology | Pengwen Wang | |
| Junling Cao | Male | Committee member | Pharmacy Department | Junling Cao | |
| Bo Zhao | Male | Committee member | Legal Representative | Bo Zhao | |
| Haidong He | Male | Committee member | Medical Equipment | Haidong He | |
| Gehong Meng | Female | Committee member | Masses Representative | Gehong Meng | |
| Xinyi Chen | Male | Committee member | Chinses Internal Medicine | Xinyi Chen | |
| Shulian Peng | Female | Committee member | Surgery of Traditional Chinese Medicine | Shulian Peng | |

四川大学华西医院临床试验与生物医学伦理专委会审查批件

2014年临床试验(上市)审(8)号

科室(专业): 中西医结合科 研究负责人: 王成伟 主治医师

药品:琥珀酸普芦卡必利片 规格:2mg

药品是否已进入本院药库: 是□ 否■

药品临床研究名称:电针和普芦卡必利治疗严重慢性便秘疗效比较-多中心随机对照试验

申办者(或基金资助者):中国中医科学院广安门医院

审查方式: ■会议审查 □快速审查

审查会议地点:四川大学华西医院老八教413会议室

评审意见:

1.研究者资质符合伦理要求。

2.研究方案及知情同意书基本符合伦理要求。

3.请严格按照临床规范和药品使用说明书实施电针和药物研究。

审查结果:■同意 □作必要修正后同意 □作必要修正后再审 □不同意 □终止或暂停 持续审查频率:□3 个月/3months □6 个月/6months ■1 年/1year □不适用/NA

请遵循我国相关法律、法规和规章(SFDA《药物临床试验质量管理规范》(2003)、《医疗器械临床试验规定》(2004)、WMA《赫尔辛基宣言》和CIOMS《人体生物医学研究国际道德指南》、卫生部《涉及人的生物医学研究伦理审查办法(试行)(2007)》),遵循伦理委员会批准的方案和知情同意书开展临床试验(研究),保护受试者的健康与权利。

在试验(研究)过程中,若变更主要研究者,对临床研究方案、知情同意书等的任何修改,请申请人提交修正案审查申请。

发生严重不良事件,请申请人及时提交严重不良事件报告;紧急报告之后,尽快提交详细的严重不良事件随访报告。

请递交年度和定期跟踪审查报告;当出现任何可能显著影响试验(研究)进行或增加受试者危险的情况时,请申请人及时向伦理专委会提交书面报告。

试验(研究)纳入了不符合纳入标准或符合排除标准的受试者,符合中止试验(研究)规定而未让受试者退出试验(研究),给予错误治疗或剂量,给予方案禁止的合并用药等没有遵从方案开展研究的情况;或可能对受试者的权益/健康、以及研究的科学性造成不良影响等违背伦理原则与规范的情况,请申办者/监查员/研究者提交违背方案报告。

申请人暂停或提前终止临床试验(研究),请及时提交暂停/终止

完成临床试验(研究),请申请人提交结题报告。

本批件有效期为一年,逾期未实施的,则自行废止。

单位(章):

主任委员(签名):

日期: 2014年4月¹⁰日

四川大学华西医院临床试验与生物医学伦理专委会会议参会委员名单

| 赵建芳 | 傅政勇 | 兰礼吉 | 郑鸿 | 张瑞明 | 刘晓雪 | 出 | 孙荣国 | 曾勇 (主任委员) | 姓 |
|--------------|---------------|----------|----------|----------|----------|----------|--|------------|----------|
| ø | 细 | 男 | 塭 | 女 | Ø | 畑 | 男 | 畑 | 性别 |
| 教育学 | 法律学 | 伦理学 | 肿瘤科 | 中西医结合科 | 烧伤整形科 | 中西医结合科 | 医学管理 | 肝胆胰外科 | 专业 |
| 教师 | 律师 | 教授 | 教授 | 教授 | 教授 | 教授 | 教授 | 教授 | 职称 |
| 成都市武侯计算机实验小学 | 中豪律师集团(四川)事务所 | 四川大学政治学院 | 四川大学华西医院 | 四川大学华西医院 | 四川大学华西医院 | 四川大学华西医院 | 四川大学华西医院 | 四川大学华西医院 | 工作单位 |
| AL SHE | 20141 | 100 AS | | | | No. | MARINE TO THE PARTY OF THE PART | S. P. P. | 梯 |
| 2010. 6.8 | 18.3 d/al | 2014.4.8 | | | | 8.4 x.8. | 2014. 4.8. | 1014, 4,8. | 日期 |

Ethical Approval

2014 Clinical Trial (listed) Review No 8

| Department: Department of integrated traditional Chinese | Research Leader: Chengwei Wang | | | |
|--|----------------------------------|--|--|--|
| and western medicine | | | | |
| Drug: Prucalopride Succinate | Form: Tablet | | | |
| | Size: 2mg | | | |
| Whether the drug enters the drug storage: Yes □ | No ■ | | | |
| Project Name: Electro-acupuncture versus prucalopride for se | vere chronic constipation: a | | | |
| multicenter, randomized, controlled trial | | | | |
| Responsible Institute: Guang'anmen Hospital, China Academy | of Chinese Medical Sciences | | | |
| Review Method: ■ Conference Review □ | Quick Review | | | |
| Conference Location: Conference Room 413, Old Teaching Bui | ilding 8, West China Hospital of | | | |
| Sichuan University | | | | |
| Review Comments: | | | | |
| 1. The qualification of investigators met the ethical requirements. | | | | |
| The study protocol and informed consent met the ethical requirements. | | | | |
| 3. Please perform the study of electro-acupuncture and processing the study of electro-acupuncture and | prucalopride according to Good | | | |
| Clinic Practice and the drug instruction. | | | | |
| | | | | |
| Review Conclusion: | | | | |
| ■ Approved □ Approved after Revision □ Re-review after Revision □ Not Approved □ | | | | |
| Terminated/Suspended | | | | |
| Continuing Review Frequency: | | | | |
| □ 3 months □ 6 months ■ 1 year □ NA | | | | |

Please comply the relevant laws, regulations and rules ["Good Clinical Practice (2003)" and "Provisions for Clinical Trials of Medical Device (2004)" issued by State Food and Drug Administration (SFDA), "Declaration of Helsinki" issued by World Medical Association (WMA), "International Ethical Guidelines for Biomedical Research Involving Human Subjects" issued by Council for International Organizations of Medical Sciences, "Ethical Review Methods for Biomedical Study Involving Human Subjects (2007)" issued by the Ministry of Health].

Please perform the study by following the approved protocol. Please protect the health and rights of participants.

Application of the review amendment should be submitted if any change of main investigators, study protocol, informed consent, etc. are made.

If any serious adverse event (SAE) occurs, the applicant should submit a SAE emergency report, and then a detailed follow-up report.

Please submit the annual or termly review report. If any situation affecting the study progress or gaining the risk of participants occurred, a report should be submitted in time.

The applicant or investigators should submit the protocol deviation report if any of the following occurred: patients who did not meet the inclusion criteria or met the exclusion criteria; patients completed the trial who met the termination criteria; patients who were given the wrong treatment session or dose; patients who were given the medicine which was not allowed in the protocol; patients' right/health were affected negatively.

The applicants or investigators should submit the suspension/termination report if the study is suspended or terminated beforehand. Final report should be submitted if the study completes beforehand.

This approval will be invalid if the study does not start within the 1-year validity of this approval.

Institute (Seal):

Chairman (Signature):

Date: Apr 10th, 2014

Address: Conference Room 413, Old Teaching Building 8, West China Hospital of Sichuan University; 37 Guoxue Xiang, Wuhou District, Chengdu.

Contact: Guorong Sun, Zejin Zuo, Na Li; Tel +86 028 85422654

Committee's Members

| Name | Gender | Major | Title | Institution | Signature | Date | |
|---------------|---------------|--------------------------|--------------------|-----------------------------|------------------------|------------------------------|------------------------------|
| Yong Zeng | Male | Hepatopancreatobiliary | Professor | West China Hospital of | Vana Zana | April 8 th , 2014 | |
| (Chairnan) | iviale | Surgery | Professor | Sichuan University | Yong Zeng | | |
| Pongguo Cun | Male | Madical Management | Professor | West China Hospital of | Pongguo Sun | April 8 th , 2014 | |
| Rongguo Sun | iviale | Medical Management | Professor | Sichuan University | Rongguo Sun | | |
| Ding Mag | Male | Integrated Chinese and | Professor | West China Hospital of | Ding Mag | Amril 9th 2014 | |
| Bing Mao | iviale | Western Medicine | Professor | Sichuan University | Bing Mao | April 8 th , 2014 | |
| Viagunatin | Famala | Duma and Diagtic Company | Duefesser | West China Hospital of | | | |
| Xiaoxue Liu | Female | Burn and Plastic Surgery | Professor | Sichuan University | | | |
| | Fomala | Integrated Chinese and | Professor | West China Hospital of | | | |
| Ruiming Zhang | Terriale | Western Medicine | Professor | Sichuan University | | | |
| Hong Thong | Mala | Oncology | Professor | West China Hospital of | | | |
| Hong Zheng | Male Oncology | Professor | Sichuan University | | | | |
| Liji Lan | Male | Ethics | Professor | Politics College of Sichuan | Liji Lan | April 8 th , 2014 | |
| Liji Lali | | Ethics Profess | Professor | University | Liji Lali | April 8", 2014 | |
| Zhengyong Fu | Male | Law | Lawyer | Zhonghao Law Firm | Zhengyong Fu | April 8 th , 2014 | |
| lianfang 7k | | 5 1 51 11 - 1 | | Toocher | Chengdu Wuhou Computer | lianfang 7h | April 8 th , 2014 |
| Jianfang Zhao | Female | Education | Teacher | Experimental Primary School | Jianfang Zhao | | |

浙江中务英大学附属第三餐院

临床试验伦理委员会审批件

| | | | , |
|---------|------------------------|-------------|----------------|
| 试验项目名称 | 电针和普芦卡必利治疗严重慢性便秘 | 疗效比较一多中心随机对 | 照试验 |
| 研究期限 | 2013-07-01 至 2015-6-30 | 试 验 类 别 | 临床试验 |
| 受试者总例数 | 602 申请医疗机构承担 40 | 其他机构承担 | 562 |
| 试 验 目 的 | 观察电针和普芦卡必利对严重慢性便 | 秘患者的疗效 | · . |
| 监督管理部门 | 国家中医药管理局 | 项 目 编 号 | 2012BAI24B01 |
| 申 办 单 位 | 浙江中医药大学附属第三医院 | 主要负责人 | 姚新苗 |
| 医 疗 机 构 | 浙江中医药大学附属第三医院 | 临床研究部门 | 针灸科 |
| 项目负责人 | 方剑乔 | 职称 | 主任中医师, 教授 |
| 批件文号 | ZSLL-KY-2014-001 | 批件有效期 | 2014.3-2015.07 |
| 审 查 | 文 件 名 称 | 版本 | 日 期 |
| √伦理审查□ | 申请表 | 1.0 | 2014.1 |
| √ 临床研究フ | 方案概要 | 1.0 | 2014.1 |
| √知情同意∃ | 子 | 1.0 | 2014.1 |
| √临床研究症 | 房例报告表 | 1.0 | 2014.1 |
| √研究人员信 | 息表 | 1.0 | 2014.1 |

审批意见:

该项目设计科学,研究方法符合人体临床试验伦理学要求,同意进行临床试验研究。

浙江中医药大学附属第三医院 医学伦理委员会(盖章) 2014年01月27日

伦理委员会联系电话: 0571-88393504 联系地址: 浙江省杭州市莫干山路 219 号 (310005)

Ethical Approval from the Clinical trials Ethics Committee

| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | | |
|---|--|----------------------|--------------------------------|-------------------------------|----------------|
| | multicenter, randomized, controlled trial | | | | |
| Study Period | | 013 to Jun 30, 201 | | Project Attribute | Clinical Trial |
| No. of Participants | No. of 602 No. 40 | | No. Undertaking by Other Sites | 562 | |
| Objective | Evaluatir | ng if the efficacy o | f EA is no | n-inferior to that of pru | calopride |
| Supervision and Management Department | upervision and National Administration of Traditional Chinese Medicine | | | Project No. | 2012BAI24B01 |
| Applicant | The Third Affiliated Hospital of Zhejiang Chinese Medical University | | Major Responsible Person | Xinmiao Yao | |
| Medical Institute | The Third Affiliated Hospital of Zhejiang Chinese Medical University | | Research Department | Acupuncture Department | |
| Center PI Jianqiao Fang | | | Title | Chief Physician, Professor | |
| Approval No. ZSLL-KY-2014-001 | | | Validity Date | Mar 2014 to Jul 2015 | |
| Approval Documents | | | Version | Date | |
| V Ethical Review Application Form | | 1.0 | Jan, 2014 | | |
| V Study Protocol Summary | | 1.0 | Jan, 2014 | | |
| √ Informed Consent | | | 1.0 | Jan, 2014 | |
| √ Case Report | V Case Report Form | | | 1.0 | Jan, 2014 |
| √ Researcher I | √ Researcher Information Sheet | | | 1.0 | Jan, 2014 |

Review Comments:

The project is designed scientifically with the study method according to the requirement of the Human Research Subject Ethics Committee. The files submitted were approved.

The Third Affiliated Hospital of Zhejiang Chinese Medical University

Medical Ethics Committee (Seal)

Jan 27, 2014

Contact of Ethics Committee: +86 0571-88393504

Address: 219 Moganshan Road, Hangzhou, Zhejiang 310005

伦理审查批件

| | | 伦理申笪批计 | Ar4-100-4-1-3 0st 1n = 1.00 \Ar4 | | |
|-----------------------------------|--|---|--|--|--|
| 页日名称 | 电针和普卢卡比利治界 | | 女比较一多中心随机对照试验 | | |
| 页目编号 | 2012BAI24B01 项目来源 "十二五"国家科技支撑计划 | | | | |
| 至头单位. | 湖南中医药大学附属衡阳医院 | | | | |
| 中办者(如有) | | | | | |
| 上要研究者 | 岳增辉 | | | | |
| 百查类别 | 初始审查 | 审查方式 | 快速审查 | | |
| | 2014.01.06 | 审查地点 | 医院门诊楼 9 楼会议室 | | |
| 审查委员 王诚喜、龙双才、邹岳萍、徐基平、钟新林、匡肇、董秋萍 | | | 新林、匡肇、董秋萍 | | |
| 北准文 件 | 研究方案: (版本号: | VERSION 2.0_201 | 40102) | | |
| | 知情同意书[版本号: | VERSION 2.0_201 | 40102) | | |
| 审查意见 | 品食品监督管理局《 定》、《药物临床试验 临床研究伦理审查管 委员会颁布的《人体 委员会审查,同意按 本项研究。 请遵循 GCP 原则 | 药物临床试验质量 伦理审查工作指导。 理规范》以及《赫 生物医学研究国际 该所批准的临床研究 则、遵循伦理委员会 | 究伦理审查办法(试行)》、国家经管理规范》、《医疗器械临床试验规原则》、国家中医药管理局《中医约尔辛基宣言》和国际医学科学组约道德指南》的伦理原则,经本伦理方案、知情同意书、招募材料开展、批准的方案开展临床研究,保护等 | | |
| | 试者的健康与权利。 研究过程中若变更主要研究者,对临床研究方案、知情同意书、招募 材料等的任何修改,请申请人提交修正案审查申请。 如发生严重不良事件以及影响研究风险受益比的非预期不良事件,请 中请人及时提交严重不良事件报告。 请按照伦理委员会规定的年度/定期跟踪审查频率,申请人在截止日期 前1个月提交研究进展报告;申报者应当向组长单位伦理委员会提交各中 心的研究进展的汇总报告;当出现任何可能显著影响试验进行或增加受试 者危险的情况时,请申请人及时向伦理委员会提交书面报告。 研究纳入了不符合纳入标准或符合排除标准的受试者,符合中止试验 | | | | |
| | 研究纳入了不行 | 符合纳人标准现付金 | 了排除你谁的文\\\ 4,竹百十五\\\\ □必应武刘昌 | | |
| | 规定而未让受试者 | B出切先,给了锚1 克工屏研究的特况: | 吴治疗或剂量,给予方案禁止的合或可能对受试者的权益/健康以及 | | |
| | 用约号沒有題外月之 | 心思的慈伟哲 GCP | 原则的情况,请中办者/监察员/研 | | |
| | 我的科学性起风不同 | | Education of the second of the | | |
| 8 | 担前效止而完 | 口。 成临床研究, 请及日 | 寸提交结题报告。 | | |
| 77 84 HH | 提前终止或完成临床研究,请及时提交结题报告。 | | | | |
| 有效期 | | | TO THE STATE OF TH | | |
| 联系人及电话 主任委员签字 | | | | | |
| | | 湖南中医药人生 | 学附属衡型实院伦理委员会(盖章 | | |
| | | | 2014年1月6日 | | |
| | | | 共1页/第1页 | | |

Ethical Approval

| | Flectro-acupui | ncture versus prucalor | oride for severe chronic constipation: a | | |
|----------------|---|--|---|--|--|
| Project Name | multicenter, randomized, controlled trial | | | | |
| | marrice mer, re | | the Twelfth Five-Year National Science | | |
| Project No. | 2012BAI24B01 | Project Sponsor | and Technology Pillar Program | | |
| ., | | i i i ojeci openice: | (2012BAI24B01) | | |
| Applicant | Hengyang Hos | pital Affiliated to Huna | an University of Chinese Medicine | | |
| Center PI | Zenghui Yue | Review Method | Quick Review | | |
| | | | Conference Room 9, Outpatient | | |
| Review Date | Jan 6 th , 2014 | Review Location | Building | | |
| Committee | Chengxi Wang | , Shuangcai Long, Yue | ping Zou, Jiping Xu, Xinlin Zhong, Zhao | | |
| Members | Kuang, Qiupin | g Dong | | | |
| Approved Files | Study Protoco | (VERSION 2.0_20140 | 102), Informed Consent (VERSION | | |
| and Versions | 2.0_20140102 |) | | | |
| | According to " | Ethical Review Metho | ds for Biomedical Study Involving Human | | |
| | Subjects" issue | ed by the Ministry of H | lealth, "Good Clinical Practice", | | |
| | "Provisions for | Clinical Trials of Med | ical Device" and "Guidelines for Ethical | | |
| | Review Work | of Drug Clinical Trials" | issued by State Food and Drug | | |
| | Administration | (SFDA) of the People | 's Republic of China, "Management | | |
| | Specifications for Ethical Review of TCM Clinical Studies" issued by State Administration of Traditional Chinese Medicine, "Declaration of Helsinki", and "International Ethical Guidelines for Biomedical Research Involving | | | | |
| | | | | | |
| | | | | | |
| | Human Subjects" issued by Council for International Organizations of Medical | | | | |
| | Sciences, the study protocol, informed consent, and recruitment documents | | | | |
| | were approve | d by the institutional r | eview board (IRB) of Guang'anmen | | |
| | Hospital, China | Academy of Chinese | Medical Sciences with comments as | | |
| | followed: | | | | |
| Review | 1) Please | perform the study by | following the principle of GCP and the | | |
| Comments | appro | ved protocol. Please p | rotect the health and rights of | | |
| | • | pants. | | | |
| | 2) Application of the review amendment should be submitted if any | | | | |
| | • | change of the main investigators, study protocol, informed consent | | | |
| | | ruitment documents a | | | |
| | | | vents should be submitted, if any serious | | |
| | | • | unexpected adverse event, which would | | |
| | | | c and benefit ratio, occurs. | | |
| | | | riew according to the requested | | |
| | · | | ethics committee and submit the | | |
| | | | e month before the deadline. Applicant | | |
| | | • | ne research progress reports from each | | |
| | | • | ting the study progress or gaining the risk | | |
| | of par | ticipants occurs, a rep | ort should be submitted in time. | | |

Ethics Committee Documents of Hengyang Hospital Affiliated to Hunan University of Chinese Medicine (EC-AF-2014002)

| | 5) The applicant or investigators should submit the protocol deviation |
|------------------|--|
| | report if any of the following occurs: patients who do not meet the |
| | inclusion criteria or meet the exclusion criteria; patients complete |
| | the trial who meet the termination criteria; patients who are given |
| | the wrong treatment session or dose; patients who are given the grus |
| | which are not allowed in the protocol; patients' right/health are |
| | affected negatively. |
| | 6) Final report should be submitted if the study terminates or |
| | completes beforehand. |
| Validity Date | From Mar 1 st , 2014 to Sep 30 th , 2015 |
| Contact | Jun Xie, 0734-8137737 |
| Director | |
| Signature | |
| Ethics Committee | of Hengyang Hospital Affiliated to Hunan University of Chinese Medicine (Seal) |
| | Jan 6 th , 2014 |

Version No. 1.00/Version Date: Jan 06th, 2014

首都医科大学附属北京中医医院医学伦理委员会

伦理审查批件

审批号: 2014BL-034-02

| | | | DESCRIPTION AND MADE THE RES OF THE PARTY. | |
|--|---|---|--|--|
| 项目名称 | 电针和普芦卡必利治疗严重慢性便秘疗效比较 | | | |
| 项目来源 | "十二五"国家科技支撑计划项目 | | | |
| 申办单位 | 无 | | | |
| CRO | 无 | | | |
| 临床研究负责单位 | 中国中医科学院广安门医院 | | | |
| 临床研究参加单位 | 北京中医医院、北京中医药大学东直门医院、 四川大学华西医院、 浙江中医药大学附属第三医院、湖南中医药大学附属衡阳医院、山 东中医药大学附属医院、湖南中医药大学第一附属医院、湖北省中 医院、江苏省中医院、陕西省中医院、天津中医药大学第一附属医 院、广东省中医院武汉市中西医结合医院 | | | |
| 主要研究者 | 王麟鹏 | | | |
| 审查类别 | 复审审查 | 审查方式 | 快速审查 | |
| 审查日期 | 2014年4月14日 | | | |
| 审查地点 | 首都医科大学附属北京中医医院 | | | |
| 修正的研究方案版本号: VERSION 3.0_20 2014/04/14 审查文件 修正的知情同意书:版本号: VERS 版本日期: 2014/04/14 | | | | |
| 审查结果 | 同意 0 票 不同意 0 票 做必要的修正后重审 0 票 | 111111111111111111111111111111111111111 | | |
| 审查意见 | ī | | | |

根据卫生部《涉及人的生物医学研究伦理审查办法》(2007),国家中医药管理局《中医药临床研究伦理审查管理规范》(2010),SFDA《药物临床试验伦理审查工作指导原则》(2010)、《药物临床试验质量管理规范》(2003)、《中药品种保护指导原则》(2009)、《医疗器械临床试验规定》(2004),以及 WMA《赫尔辛基宣言》(2008)和 CIOMS《人体生物医学研究国际伦理指南》(2002)的伦理原则,经本医学伦理委员会审查,同意按照所批准的临床研究方案、知情同意书、招募材料等开展本项试验/研究。

请遵循 GCP 规定和本伦理委员会批准的方案开展临床研究。

该项目进行中如发生下列情况,须及时书面报告本伦理委员会:

- 1) 对临床方案、知情同意书等的任何修改:
- 2) 更换主要研究者;

首都医科大学附属北京中医医院医学伦理委员会

- 3) 发生严重不良事件;
- 4) 出现任何可能显著影响试验进行或增加受试者危险的情况;
- 5) 出现违反方案情况;
- 6) 暂停或提前终止临床研究。

本伦理委员会将对该项目跟踪审查,请申请人/申办方按照伦理委员会规定的年度或定 期跟踪审查频率,在截止日期前1个月提交研究进展报告。

该项目完成后, 请向本伦理委员会提交结题报告。

如该项目在批件有限期内未能启动临床研究,本批件作废,需要重新提交伦理审查申请。

| 年度/定期跟踪审查频率 | 1年,请于2015年4月15日前1个月提交研究进展报告。 |
|---------------------------------------|------------------------------|
| 批件有效期 | 2015年4月15日 |
| 联系人与联系电话 | 张会娜 010-52176565 |
| 伦理委员会主任签字 | 1 - 108 2 |
| | 首都医科大学附属北京中医医院 |
| | 日期完2014年4月15日 |
| , , , , , , , , , , , , , , , , , , , | 医学伦理委员会 |

Ethical Approval

Approval No. 2014BL-034-02

| | | | 7.pp10141110: 2011BL 031 02 | |
|--------------------|--|------------------------|---|--|
| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | |
| 1 Toject Hame | multicenter, randomized, controlled trial | | | |
| Project Sponsor | the Twelfth Five | -Year National Scien | ce and Technology Pillar Program | |
| Project Sponsor | (2012BAI24B01) | | | |
| Applicant | / | | | |
| CRO | / | | | |
| | Beijing Hospital | of Traditional Chines | se Medicine affiliated to Capital Medical | |
| | University; Dong | gzhimen Hospital Aff | iliated to Beijing University of Chinese | |
| | Medicine; West | China Hospital of Sic | huan University; The Third Affiliated | |
| | Hospital of Zheji | ang Chinese Medica | l University; Hengyang Hospital | |
| | Affiliated to Hur | nan University of Chir | nese Medicine; The Affiliated Hospital | |
| Participating | of Shandong Un | iversity of Traditiona | Il Chinese Medicine; The First Hospital | |
| Centers | of Hunan Univer | rsity of Chinese Medi | icine; Hubei Provincial Hospital of | |
| | Traditional Chinese Medicine; Jiangsu Province Hospital of Traditional | | | |
| | Chinese Medicine; Shaanxi Province Hospital of Traditional Chinese | | | |
| | Medicine; Hiser Medical Group; Guangdong Province Hospital of Traditional | | | |
| | Chinese Medicine; Wuhan Hospital of Traditional Chinese and Western | | | |
| | Medicine | | | |
| Center PI | Linpeng Wang | | | |
| Review Category | Re-review | Review Method | Quick Review | |
| Review Date | Apr 14 th , 2014 | | | |
| Daview Leastier | Beijing Hospital of Traditional Chinese Medicine affiliated to Capital Medical | | | |
| Review Location | University | | | |
| Annuared Files and | Revised Study Protocol: VERSION 3.0_20140414, Version Date: Apr 14, 2014 | | | |
| Approved Files and | Revised Informed Consent: VERSION 3.0_20140414, Version Date: Apr 14, | | | |
| Versions | 2014 | | | |
| | Approved: 0 | Disapproved: 0 | Approved after Necessary Revision: 1 | |
| Review Result | Re-review after | Necessary | Touristic and | |
| | Revision: 0 | | Termination or Suspension: 0 | |
| Review Comments | 1 | | | |

Review Comments

According to "Ethical Review Methods for Biomedical Study Involving Human Subjects (2007)" issued by the Ministry of Health, "Management Specifications for Ethical Review of TCM Clinical Studies (2010)" issued by State Administration of Traditional Chinese Medicine, "Guidelines for Ethical Review Work of Drug Clinical Trials (2010)", "Good Clinical Practice (2003)", "Guiding Principles for the Protection of Traditional Chinese Medicine Varieties (2009)", and "Provisions for Clinical Trials of Medical Device (2004)" issued by State Food and Drug Administration (SFDA) of the People's Republic of China, "Declaration of Helsinki (2008)", and "International Ethical Guidelines for Biomedical Research Involving Human Subjects (2002)" issued by Council for International Organizations of Medical Sciences, the study protocol, informed consent, and recruitment

documents were approved by the institutional review board (IRB) of Beijing Hospital of Traditional Chinese Medicine affiliated to Capital Medical University with comments as followed:

Please perform the study by following the principle of GCP and the approved protocol.

Written report should be submitted to the ethics committee if any of the following happens:

- 1) Any amendment in study protocol, informed consent, recruitment documents;
- 2) Change of the main investigator;
- 3) Occurrence of severe adverse;
- 4) If any situation affecting the study progress or gaining the risk of participants occurred;
- 5) Protocol deviation;
- 6) The study is suspended or terminated beforehand.

Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline.

Final report should be submitted after the completion of the study.

A new ethics approval application should be submitted if the study was not started within the validity date.

| Review Frequency | 1 Year, the research progress report should be submitted before Apr 15 th , | |
|--|--|--|
| | 2015 | |
| Validity Date | Apr 15 th , 2015 | |
| Contact | Huina Zhang, +86 010 52176565 | |
| Director Signature | | |
| Ethics Committee | | |
| Beijing Hospital of Traditional Chinese Medicine affiliated to Capital Medical University (Seal) | | |
| | Apr 15 th , 2014 | |

伦理审查批件

Ethical Review Confirmation

| 批件号 | (2014)伦审第(020)号──KY | | | |
|-------|------------------------------------|--|--------------------------|--|
| 项目名称 | 电针和普芦卡必利治 | 电针和普芦卡必利治疗严重慢性便秘疗效比较—多中心随机对照试验 | | |
| 项目来源 | 国家十二五科技支撑 | 當计划项目(| 编号: 2012BAI24B01) | |
| 研究单位 | 山东中医药大学附属 | 山东中医药大学附属医院 | | |
| 研究负责人 | 杨佃会 | | | |
| 审查类别 | 修正案审查 | 审查方式 | 快速审查 | |
| 审查日期 | 2014年4月28日 | 审查地点 | 山东中医药大学附属医院东院图书馆楼 3 楼会议室 | |
| 审查委员 | 陈柏楠、王东梅 | | | |
| 批准文件 | 国家十二五科技支撑计划项目任务书(编号: 2012BAI24B01) | | | |
| 审查文件 | | 図修正案审查申请 図修正的知情同意书和招募材料図其他伦理委员会或管理机构 对申请项目的重要决定 | | |

审查意见

根据卫生部《涉及人的生物医学研究伦理审查办法(试行(2007))》、SFDA《药物临床试验质量管理规范(2003)》、《医疗器械临床试验规定(2004)》、WMA《赫尔辛基宣言》和 CIOMS《人体生物医学研究国际道德指南》的伦理原则,经本伦理委员会审查,同意按所批准的临床研究方案、知情同意书开展本项研究。

请遵循 GCP 原则、遵循伦理委员会批准的方案开展临床研究,保护受试者的健康与权利。研究开始前,请申请人完成临床试验注册。

研究过程中若变更主要研究者,对临床研究方案、知情同意书、招募材料等的任何修改,请申请人提交修正案审查申请。

发生严重不良事件,请申请人及时提交严重不良事件报告。

请按照伦理委员会规定的年度/定期跟踪查频率,申请人在截止日期前 1 个月提交研究进展报告;当出现任何可能显著影响试验进行或增加受试者危险的情况时,请申请人及时向伦理委员会提交书面报告。

研究纳人了不符合纳入标准或符合排除标准的受试者,符合中止试验规定而未让受试者退出研究,给予错误治疗或剂量,给予方案禁止的合并用药等没有遵从方案开展研究的情况;或可能对受试者的权益/健康以及研究的科学性造成不良影响等违背 GCP 原则的情况,请申办者/监查员/研究者提交违背方案报告。

申请人暂停或提前终止临床研究,请及时提交暂停/终止研究报告。

完成临床研究,请申请人提交结题报告。

| 年度/定期跟踪审查频率 | 12 个月 |
|-------------|-----------------------|
| 有效期 | 2014年4月28日~2015年4月28日 |
| 联系人与联系电话 | 张倩 0531-6861,6648 |
| 主任委员签字 | 中常创 |
| 伦理委员会 | (盖章) |
| 日期 | 2014年4月28日公人工 |

Ethical Approval

| Approval No. | (2014) Ethica | Il Review No (020) | KY | |
|-----------------|--|---------------------|---|--|
| | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | |
| Project Name | multicenter, randomized, controlled trial | | | |
| | · | | nce and Technology Pillar Program | |
| Project Sponsor | (2012BAI24B01) |) | | |
| Study Contons | The Affiliated Ho | ospital of Shandong | University of Traditional Chinese | |
| Study Centers | Medicine | | | |
| Center PI | Dianhui Yang | | | |
| Review Category | Re-review | Review Method | Quick Review | |
| | | Review | Conference room, the 3 rd Floor of the | |
| Review Date | Apr 28 th , 2014 | Location | Library, East Wing of the Affiliated | |
| | | Location | Hospital of Shandong University of TCM | |
| Committee | Bonan Chen, Do | ngmei Wang | | |
| Members | , | | | |
| Approved File | | Project No. 2012BA | M24B01) | |
| | ■ Application of | | | |
| Review Files | ■ Revised informed consent and recruiting documents | | | |
| | ■ Important decisions of the application from other ethics committees or | | | |
| | management institution | | | |
| | According to 'Ethical Review Methods for Biomedical Study Involving Human | | | |
| | Subjects (2007)' issued by the Ministry of Health, 'Good Clinical Practice | | | |
| | (2003)' and 'Provisions for Clinical Trials of Medical Device (2004)' issued by | | | |
| | State Food and Drug Administration (SFDA) of the People's Republic of China, | | | |
| | 'Declaration of Helsinki (2008)', and 'International Ethical Guidelines for Biomedical Research Involving Human Subjects (2002)' issued by Council for | | | |
| | Biomedical Research Involving Human Subjects (2002)' issued by Council for International Organizations of Medical Sciences, the study protocol and | | | |
| | informed consent were approved by the ethics committee with comments as | | | |
| | followed: | | | |
| | | perform the study b | by following the principle of GCP and the | |
| Review Comments | approved protocol. Please protect the health and rights of | | | |
| | participants. | | | |
| | 2) Applicants are requested to complete the clinical trial registration | | | |
| | before the start of the study. | | | |
| | 3) Application of the review amendment should be submitted if any | | | |
| | change of the main investigators, study protocol, informed consent | | | |
| | or recruitment documents is made. | | | |
| | 4) Reports | of serious adverse | events should be submitted, if any serious | |
| | adverse | event occurs. | | |
| | 5) Please do the continuing review according to the requested | | | |
| | frequen | cy stipulated by | the ethics committee and submit the | |

| | research progress report one month before the deadline. If any |
|--------------------|--|
| | , - , |
| | situation affecting the study progress or gaining the risk of |
| | participants occurs, a report should be submitted in time. |
| | 6) The applicant or investigators should submit the protocol deviation |
| | report if any of the following occurs: patients who do not meet the |
| | inclusion criteria or meet the exclusion criteria; patients complete |
| | the trial who meet the termination criteria; patients who are given |
| | the wrong treatment session or dose; patients who are given the |
| | drugs which are not allowed in the protocol; patients' right/health |
| | are affected negatively. |
| | 7) Suspend/Terminate report should be submitted if the applicant |
| | suspend/terminate the study beforehand. |
| | 8) The applicant should submit the final report after completing the |
| | study. |
| Review Frequency | 12 months |
| Validity Date | From Apr 28 th , 2014 to Apr 28 th , 2015 |
| Contact | Qian Zhang, +86 0531 68616648 |
| Director Signature | |
| | |
| Eth | nics Committee of the Affiliated Hospital of Shandong University of TCM (Seal) |
| | Apr 28 th , 2014 |

伦理审查批件

| 批件号 | 湖南中医药大学第一附属医院伦理委员会 HN-LL-KY-2014-001-01 | | | |
|----------------|---|------------|----------------|--|
| 项目名称 | 电针和普芦卡必利治疗严重慢性便秘疗效比较-多中心随机对照试验 | | | |
| 项目来源 | "十二五"国家科技支撑计划 2012BAI24B01 | | | |
| 研究单位 | 中国中医科学院广安门医院、四川大学华西医院、湖南中医药大学第 | | | |
| | 一附属医院、天津中医药大学第一附属医院等 | | | |
| 主要研究者 | 章薇 | | | |
| 审查类别 | 初始审查 审查方式 快速审查 | | 快速审查 | |
| 审查日期 | 2014.1.16 审查地点 医院会议室 | | | |
| 审查委员 | | 色玲,陈其华,黄孟君 | , 张志国, 张月娟, 谭劲 | |
| | 钟 晓 | 7 | | |
| 批准文件 | 临床研究方案(版本号:VERSION2.0-20140102) | | | |
| +1-1 /C TT //+ | | | | |

审查意见

根据卫生部《涉及人的生物医学研究伦理审查办法(试行)》(2007)、SFDA《药物临床试验质量管理规范(2003)》、《医疗器械临床试验规定(2004)》、WMA《赫尔辛基宣言》和 CIOMS《人体生物医学研究国际道德指南》的伦理原则,经本伦理委员会审查,同意按所批准的临床研究方案、知情同意书、招募材料开展本项研究。

请遵循 GCP 原则、遵循伦理委员会批准的方案开展临床研究,保护受试者的健康与权利。

研究开始前,请申请人完成临床试验注册。

研究过程中若变更主要研究者,对临床研究方案、知情同意书、招募材料等的任何修改,请申请人提交修正案审查申请。

发生严重不良事件,请申请人及时提交严重不良事件报告。

请按照伦理委员会规定的年度/定期跟踪审查频率,申请人在截止日期前 1 个月提交研究进展报告;申办者应当向组长单位伦理委员会提交各中心研究进展的汇总报告;当出现任何可能显著影响试验进行、或增加受试者危险的情况时,请申请人及时向伦理委员会提交书面报告。

研究纳入了不符合纳入标准或符合排除标准的受试者,符合中止试验规定而未让受试者 退出研究,给予错误治疗或剂量,给予方案禁止的合并用药等没有遵从方案开展研究的情况; 或可能对受试者的权益/健康、以及研究的科学性造成不良影响等违背 GCP 原则的情况,请 申办者/监查员/研究者提交违背方案报告。

申请人暂停或提前终止临床研究,请及时提交暂停/终止研究报告。



| 完成临床研究,请申 | 请人提交结题报告。 |
|-------------|--|
| 年度/定期跟踪审查频率 | 24 个月 |
| 有效期 | 自批件下发之日起一年内有效 |
| 联系人与联系电话 | 王华 赵鸿 0731-85369233 |
| 主任委员签字 | 77-45-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1- |
| 伦理委员会 | 湖南中医药大学第一附属医院伦理委员会 (盖章) |
| 日期 | 2014年1月17日 |



Ethics Approval

| Approval No. | HN-LL-KY-2014-001-01 The ethics committee of the First Hospital of Hunan | | | |
|--------------------------------|--|------------------------|---|--|
| Approvarivo. | University of Chinese Medicine | | | |
| Droinet Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | |
| Project Name | multicenter, randomized, controlled trial | | | |
| Ducinet Change | the Twelfth Five- | Year National Science | ce and Technology Pillar Program | |
| Project Sponsor | (2012BAI24B01) | | | |
| | Guang'anmen Ho | ospital, China Acade | my of Chinese Medical Sciences; Beijing | |
| | Hospital of Tradi | tional Chinese Medi | cine affiliated to Capital Medical | |
| | University; Shaar | nxi Province Hospita | l of Traditional Chinese Medicine; | |
| | Dongzhimen Hos | pital Affiliated to Be | ijing University of Chinese Medicine; | |
| | The Third Affiliated Hospital of Zhejiang Chinese Medical University; West | | | |
| | China Hospital of Sichuan University; The First Hospital of Hunan University | | | |
| Study Centers | of Chinese Medicine; Hengyang Hospital Affiliated to Hunan University of | | | |
| | Chinese Medicine; The Affiliated Hospital of Shandong University of | | | |
| | Traditional Chinese Medicine; Jiangsu Province Hospital of Traditional | | | |
| | Chinese Medicine; Guangdong Province Hospital of Traditional Chinese | | | |
| | Medicine; Hiser Medical Group; Hubei Provincial Hospital of Traditional | | | |
| | Chinese Medicine; Wuhan Hospital of Traditional Chinese and Western | | | |
| | Medicine | | | |
| Center PI | Wei Zhang | | | |
| Review Category | Initial review | Review Method | Quick Review | |
| Review Date | Jan 16 th , 2014 | Review Location | Conference Room of the Hospital | |
| Committee | Zhihua Guo, Juqiao He, Yanling Zhao, Qihua Chen, Mengjun Huang, Zhiguo | | | |
| Members | Zhang, Yuejuan Zhang, Jin Tan, Xiao Zhong | | | |
| Approved Files | Study Protocol (\ | /ERSION2.0-2014010 | 02), | |
| Informed Consent (VERSION 2.0) | | | | |
| Poviou Comments | • | | | |

Review Comments

According to 'Ethical Review Methods for Biomedical Study Involving Human Subjects (2007)' issued by the Ministry of Health, 'Good Clinical Practice (2003)' and 'Provisions for Clinical Trials of Medical Device (2004)' issued by State Food and Drug Administration (SFDA) of the People's Republic of China, 'Declaration of Helsinki (2008)', and 'International Ethical Guidelines for Biomedical Research Involving Human Subjects (2002)' issued by Council for International Organizations of Medical Sciences, the study protocol and informed consent were approved by the ethics committee with comments as followed:

- 1) Please perform the study by following the principle of GCP and the approved protocol. Please protect the health and rights of participants.
- 2) Applicants are requested to complete the clinical trial registration before the start of the study.
- 3) Application of the review amendment should be submitted if any change of the main investigators, study protocol, informed consent or recruitment documents is made.
- 4) Reports of serious adverse events should be submitted, if any serious adverse event occurs.

- 5) Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline. Applicant should do the summary of the research progress reports from each center. If any situation affecting the study progress or gaining the risk of participants occurs, a report should be submitted in time.
- 6) The applicant or investigators should submit the protocol deviation report if any of the following occurs: patients who do not meet the inclusion criteria or meet the exclusion criteria; patients complete the trial who meet the termination criteria; patients who are given the wrong treatment session or dose; patients who are given the grus which are not allowed in the protocol; patients' right/health are affected negatively.
- 7) Suspend/Terminate report should be submitted if the applicant suspend/terminate the study beforehand.
- 8) The applicant should submit the final report after completing the study

| Review Frequency | 24 months |
|---------------------------|---|
| Validity Date | Within one year since the approval date |
| Contact | Hua Wang and Hong Zhao +86 0731 85369233 |
| Director Signature | |
| Ethics Co | ommittee of The First Hospital of Hunan University of Chinese Medicine (Seal) |
| | Jan 17 th , 2014 |

湖北省中医院伦理委员会

Ethics Committee of Hubei Province Hospital of Traditional Chinese Medicine

伦理审查批件

Ethics Review Approval

| | Etnics Review | x Approvat | |
|-------|--|-------------------|--|
| 批件号 | HBZY2014-C005-01 | | |
| 项目名称 | 电针和普芦卡必利治疗严重慢性便秘疗效比较一多中心随机对照试验 | | |
| 申办者 | "十二五"国家科技支撑 | 计划 | والإرباء المراجع المرا |
| 研究单位 | 华西医院 湖南中医药 属医院 湖北省中医院 药大学第一附属医院 | 大学附属衙門医 江苏省中医院 | 5大学东直门医院 四川大学 院 湖南中医药大学第一附 陕西省中医院 天津中医 团 |
| 主要研究者 | 周仲瑜 主任医师 | 1 1 | 会议审查、快速审查 |
| 审查类别 | 初始审查、复审 | 审查方式 | 湖北省中医院伦理办会议室 |
| 审查日期 | 2014-02-26、03-10 | 审查地点 | 湖北旬中医院化生为五风主 |
| 审查委员 | 涂远超、刘建忠、郭艳红、费兰波、程业刚、王小琴、高文喜、周忠 | | |
| | 明 胡晓雪 石艳红、吴胜利 | | |
| | 临床试验方案版本号/日期: VERSION 2.0_20140102/2014-01-02; | | |
| 批准文件 | 知情同意书版本号/日期: VERSION 2.1_20140226/2014-02-26。 | | |
| | 审查 | 全意见 | |
| | | | |

根据卫生部《涉及人的生物医学研究伦理审查办法(试行)》(2007)、SFDA《药物临床 试验质量管理规范 (2003)》、《医疗器械临床试验规定 (2004)》、WMA《赫尔辛基宣言》和 CIOMS《人体生物医学研究国际道德指南》的伦理原则, 经本伦理委员会审查, 同意按所批 准的临床研究方案、知情同意书开展该项研究。

请遵循 GCP 原则、遵循伦理委员会批准的方案开展临床研究,保护受试者的健康与权利。 研究开始前, 请申请人完成临床试验注册。

研究过程中若变更主要研究者, 对临床研究方案、知情同意书、招募材料等的任何修改, 请申请人提交修正案审查申请。发生严重不良事件,请申请人及时提交严重不良事件报告。

请按照伦理委员会规定的年度/定期跟踪审查频率,申请人在截止日期前1个月提交研 究进展报告。

出现没有遵从方案开展研究的情况;或可能对受试者的权益/健康、以及研究的科学性 造成不良影响等违背 GCP 原则的情况,请申办者/监查员/研究者提交违背方案报告。

申请人暂停或提前终止临床研究,请及时提交暂停/终止研究报告。

完成临床研究,请申请人提交结题报告。

| 无成临床 们九,相下 | H / Cac / C-1 / C (1-1 |
|------------|-------------------------|
| 跟踪审查频率 | 12 个月 |
| 有效期 | 12 个月 |
| 联系人与联系电话 | 张馨、陈学军 027-88920950 任 院 |
| 主任委员签字 | 条之处 |
| N | 湖北省中医院伦理委员会(盖章) |
| | 日期: 2014年 03 月 12 日 |

Ethical Approval AF/SC-08/04.3

Ethics Committee of Hubei Province Hospital of Traditional Chinese Medicine

Ethics Review Approval

| Approval No. | HBZY2014-C005-01 | | |
|----------------------|--|--------------------|--|
| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a multicenter, randomized, controlled trial | | |
| Project Sponsor | the Twelfth Five-Year National Science and Technology Pillar Program (2012BAI24B01) | | |
| Study Centers | Guang'anmen Hospital, China Academy of Chinese Medical Sciences; Beijing Hospital of Traditional Chinese Medicine affiliated to Capital Medical University; Shaanxi Province Hospital of Traditional Chinese Medicine; Dongzhimen Hospital Affiliated to Beijing University of Chinese Medicine; The Third Affiliated Hospital of Zhejiang Chinese Medical University; West China Hospital of Sichuan University; The First Hospital of Hunan University of Chinese Medicine; Hengyang Hospital Affiliated to Hunan University of Chinese Medicine; The Affiliated Hospital of Shandong University of Traditional Chinese Medicine; Jiangsu Province Hospital of Traditional Chinese Medicine; Guangdong Province Hospital of Traditional Chinese Medicine; Hiser Medical Group; Hubei Provincial Hospital of Traditional Chinese Medicine; Wuhan Hospital of Traditional Chinese and Western Medicine | | |
| Center PI | Zhongyu Zhou | | |
| Review Category | Initial Review Re-review | Review Method | Conference Revies Quick Review |
| Review Date | Feb 26 th , 2014 Mar 10 th , 2014 | Review Location | Conference room of the Ethics Committee, Hubei Province Hospital of Traditional Chinese Medicine |
| Committee Members | Yuanchao Tu, Jianzhong Liu, Yanhong Guo, Lanbo Fei, Yegang Cheng, Xiaoqin Wang, Wenxi Gao, Zhongming Zhou, Xiaoxue Hu, Yanhong Shi, Shengli Wu | | |
| Approved File | Study Protocol, Version No./Date: VERSION 2.0_20140102/Jan 02, 2014 Informed Consent, Version No./Date: VERSION 2.1_20140226/Feb 26, 2014 | | |

Review Comments

According to 'Ethical Review Methods for Biomedical Study Involving Human Subjects (2007)' issued by the Ministry of Health, 'Good Clinical Practice (2003)' and 'Provisions for Clinical Trials of Medical Device (2004)' issued by State Food and Drug Administration (SFDA) of the People's Republic of China, 'Declaration of Helsinki (2008)', and 'International Ethical Guidelines for Biomedical Research Involving Human Subjects (2002)' issued by Council for International Organizations of Medical Sciences, the study protocol and informed consent were approved by the ethics committee with comments as followed:

1) Please perform the study by following the principle of GCP and the approved protocol. Please protect the health and rights of participants.

Ethical Approval AF/SC-08/04.3

2) Applicants are requested to complete the clinical trial registration before the start of the study.

- 3) Application of the review amendment should be submitted if any change of the following being made: main investigators, study protocol, informed consent, recruitment documents.
- 4) Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline.
- 5) The applicant or investigators should submit the protocol deviation report if any of the following occurs: patients who do not meet the inclusion criteria or meet the exclusion criteria; patients complete the trial who meet the termination criteria; patients who are given the wrong treatment session or dose; patients who are given the drugs which are not allowed in the protocol; patients' right/health are affected negatively.
- 6) Suspend/Terminate report should be submitted if the applicant suspend/terminate the study beforehand.
- 7) The applicant should submit the final report after completing the study.

| Review Frequency | 12 months | |
|---|---|--|
| Validity Date | 12 months since the approval date | |
| Contact | Xin Zhang and Xuejun Chen, +86 027 88920956 | |
| Director Signature | Yuanchao Tu | |
| Ethics Committee of Hubei Province Hospital of Traditional Chinese Medicine (Seal) Mar 12 nd , 2014 | | |

伦理审查批件

| 批件号 | 2014NL-044-02 | | | |
|-------|---|------|------|--|
| 项目名称 | 电针和普芦卡必利治疗严重慢性便秘疗效比较一多中心随机对照试验 | | | |
| 项目来源 | "十二五" 国家科技支撑计划 | | | |
| 研究单位 | 江苏省中医院, 中国中医科学院广安门医院 | | | |
| 主要研究者 | 孙建华 | | | |
| 审查类别 | 复审申请 | 审查方式 | 快速审查 | |
| 审查日期 | 2014年08月05日 | 审查地点 | | |
| 审查委员 | 房良华 | | | |
| 审查文件 | 修改的临床研究方案 版本号: VERSION 4.0 版本日期: 2014-07-31 修改的知情同意书 版本号: VERSION 4.0 版本日期: 2014-07-31 修改的招募材料 随访期排便日记卡,药物组受试者治疗情况、药物不良反应和不良事件记录表,筛选期排便日记卡,治疗期排便日记卡 | | | |

审查意见

根据卫生部《涉及人的生物医学研究伦理审查办法(试行))(2007)、SFDA(药物临床试验质量管理规范(2003)》、(医疗器械临床试验规定(2004))、WMA(赫尔辛基宣言)和CIOMS(人体生物医学研究国际道德指南)的伦理原则,经本伦理委员会审查,同意按所批准的临床研究方案、知情同意书、招募材料开展本项研究。

请遵循 GCP 原则、遵循伦理委员会批准的方案开展临床研究,保护受试者的健康与权利。研究开始前,请申请人完成临床试验注册。研究过程中若变更主要研究者,对临床研究方案、知情同意书、招募材料等的任何修改。请申请人提交修正案审查申请。发生严重不良事件,请申请人及时提交严重不良事件报告;紧急报告之后,尽快提交详细的严重不良事件随访报告。请按照伦理委员会规定的年度/定期跟踪审查频率,申请人在截止日期前 1 个月提交研究进展报告;申办者应当向组长单位伦理委员会提交各中心研究进展的汇总报告;当出现任何可能显著影响试验进行、或增加受试者危险的情况时。请申请人及时向伦理委员会提交书面报告。研究纳入了不符合纳入标准或符合排除标准的受试者,符合中止试验规定而未让受试者退出研究,给予错误治疗或剂量。给予方案禁止的合并用药等没有遵从方案开展研究的情况;或可能对受试者的权益/健康、以及研究的科学性造成不良影响等违背 GCP 原则的情况,请申办者/监查员/研究者提交违背方案报告。申请人暂停或提前终止临床研究,请及时提交暂停/终止研究报告。完成临床研究,请申请人提交结题报告。本项临床试验应当在批准之日起一年内实施,逾期未实施的,本批件自行废止。

| 请于2015年08月05日前1个月提交研究进展报告 |
|------------------------------|
| 12个月 |
| 吳静 025-86560515 |
| なりいない(華文章) |
| 南京中医药大学附属医院(江苏省中医院)伦理委员会(盖章) |
| 2014年08月05日 |
| |

Ethics Review Approval

| Approval No. | 2014NL-044-02 | | |
|-----------------|--|-----------------|--------------|
| Project Name | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | |
| | multicenter, randomized, controlled trial | | |
| Project Sponsor | the Twelfth Five-Year National Science and Technology Pillar Program | | |
| | (2012BAI24B01) | | |
| Cooperation | Jiangsu Province Hospital of Traditional Chinese Medicine | | |
| Center | | | |
| Center PI | Jianhua Sun | | |
| Review Category | Re-review | Review Method | Quick Review |
| Review Date | Aug 05 th , 2014 | Review Location | |
| Committee | Lianghua Fang | | |
| Members | | | |
| | Revised Study Protocol, Version: VERSION 4.0, Date: Jul 31, 2014 | | |
| Approved Files | Revised Informed Consent, Version: VERSION 4.0, Date: Jul 31, 2014 | | |
| | Revised Recruitment Documents | | |
| | Defecation Diaries of the screening, treatment and follow-up period | | |
| | Forms of drug-related side effect and forms of the adverse events | | |
| Da: Ca | | | · |

Review Comments

According to "Ethical Review Methods for Biomedical Study Involving Human Subjects" issued by the Ministry of Health, "Good Clinical Practice", "Provisions for Clinical Trials of Medical Device" and "Guidelines for Ethical Review Work of Drug Clinical Trials" issued by State Food and Drug Administration (SFDA) of the People's Republic of China, "Management Specifications for Ethical Review of TCM Clinical Studies" issued by State Administration of Traditional Chinese Medicine, "Declaration of Helsinki", and "International Ethical Guidelines for Biomedical Research Involving Human Subjects" issued by Council for International Organizations of Medical Sciences, the study protocol, informed consent, and recruitment documents were approved by the institutional review board (IRB) of Guang'anmen Hospital, China Academy of Chinese Medical Sciences with comments as followed:

Please perform the study by following the principle of GCP and the approved protocol. Please protect the health and rights of participants. Applicants are requested to complete the clinical trial registration before the start of the study. Application of the review amendment should be submitted if any change of the main investigators, study protocol, informed consent or recruitment documents is made. Emergency reports of serious adverse events should be submitted, if any serious adverse event occurs. A detailed follow-up report on serious adverse events should be submitted as soon as possible after the emergency report. Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline. Applicant should do the summary of the research progress reports from each center. If any situation affecting the study progress or gaining the risk of participants occurs, a report should be submitted in time. The applicant or investigators should submit the protocol deviation report if any of the following occurs: patients who do not meet the inclusion criteria or meet the exclusion criteria; patients complete the trial who meet the termination criteria; patients who are given the wrong treatment session or dose; patients who are

given the drugs which are not allowed in the protocol; patients' right/health are affected negatively. Suspend/Terminate report should be submitted if the applicant suspend/terminate the study beforehand. The applicant should submit the final report after completing the study. This approval will be invalid if the study does not start within one year since the approval.

| Review Frequency | A report of the study progress should be submitted one month before Aug 05 th , 2015 |
|--------------------|---|
| | · · |
| Validity Date | 12 months |
| Contact | Jing Wu, +86 025 86560515 |
| Director Signature | |
| Ethics (| Committee of Jiangsu Province Hospital of Traditional Chinese Medicine (Seal) |
| | Aug 05 th , 2014 |

陕西省中医医院伦理委员

临床研究伦理审查批价

(2014) 伦审第 (03) 号 电针和普鲁卡必利治疗严重慢性便秘疗效比较多中心随机对照试验 项目名称 陕西省中医医院针灸科 申请单位 课题编号: 2012BAI24B01 项目编号: 国家科技支撑计划 项目来源 2012BAI24B00 主任医师 职称 苏同生 主要研究者 针灸科 承担研究 任务科室 会议审查 审查 行政四楼小 会议地点 2014年03月14日 会议时间 方 式 会议室 1、临床研究方案(版本号及日期: VERSION1.0_20131115); 审查文件 2、病例报告表(版本号及日期: VERSION2.0_20140102); 3、知情同意书(版本号及日期: VERSION2.0_20140102); 4、研究者专业履历及专业科室人员配备、设备设施情况。 不符合要求□ 符合要求■ 研究者的资格: 审查内容 不符合要求口 符合要求■ 人员配备: 不符合要求口 符合要求■ 设备条件: 不符合要求□ 符合要求■ 知情同意书: 不恰当口 获取知情同意书的方法:恰当■ 不符合要求口 符合要求■ 研究方案: 无有效抢救措施口 受试者因参加临床试验 有有效抢救措施■ 无补偿规定口 有补偿规定■ 发生不良反应或意外: 终止或暂停已 不同意 作必要的修正后重审 作必要的修改后同意 同意 审查意见 批准的研究 8人 0 请假: 0人 回避: 1人(投票 实到: 9人 应到: 9人 出席人数 时) 审批意见:经审查该项目符合 GCP、《赫尔辛基宣言》要求,同意开展临床研究。 联系电话: 029-87251691 会议记录者签字: 主任委员签字: 2014年3月14日 日期: 日期:

Ethical Review Approval

(2014) Ethical Review No (03)

| Project | Electro-acupi | ıncture versus pri | ucalopride for | Electro-acupuncture versus prucalopride for severe chronic constipation: a | | | |
|-------------------|--|---|---|--|--|--|---|
| Name | multicenter, randomized, controlled trial | | | | | | |
| Applicant | - | Shaanxi Province Hospital of Traditional Chinese Medicine | | | | | |
| Project | | ive-Year National | | | | | |
| Sponsor | Technology P | Technology Pillar Program Project No. 2012BAI24B01 | | | 4801 | | |
| Research | Acupuncture | | T | <u> </u> | | | Chief |
| Department | Department | Center PI | Tongsheng | Su | " | tle | Physician |
| Review Date | Mar 14, 2014 | Location | Conference Floor of the administrat | ! | R | eview lethod | Quick Review |
| Approved Files | Study Protocol (VERSION 1.0_20131115); Case Report Form (VERSION 2.0_20140102); Informed Consent (VERSION 2.0_20140102); Professional Resume of the researchers and the personnel, facilities and equipment of Acupuncture Department | | | | | | |
| Review Content | Researcher Qua Personnel Facilities and Eq Informed Conse the way obtainin Study protocol Participants if adverse event | uipment nt ng informed consent s occur | meet the requir meet the requir meet the requir meet the requir Appropriate meet the requir have effective re | ement ement ement ement escue mea ement | Do not i Do not i Inappro Do not i Do not i Do not i | neet the requal nave effectiveneet the req | uirement uirement uirement uirement e rescue measure uirement |
| Review | Approved | Approved after Necessary Revision | Re-review aft Necessary Revi | | Disapproved | | mination or uspension |
| Result | 8 | 0 | 0 | 31011 | 0 | 31 | 0 |
| Attendance | Attendance requ | | ttendance: 9 | Avoi | iding: 1 (during | voting) | Absent: 0 |
| | · | | | | | | |

Review Comments:

The files of this project were approved according to the requirements of Good Clinical Practice and Declaration of Helsinki

| Signature of the Director | | Signature of Conference Recorder | |
|---------------------------|--------------|----------------------------------|-------------------|
| | | | Contact Phone No. |
| Date: | Mar 14, 2014 | Date: Mar 14, 2014 | +86 029 87251691 |
| | | | |

2014-1 2 10 0 Z

伦理审查批件

| | U Caro v andrea y | | |
|---------|--|--|---|
| 项目名称 | 电针和普卢卡必利治疗 验 | 了严重慢性便秘 | 疗效比较-多中心随机对照试 |
| 批件号 | 2014-1-2 | 项目来源 | 国家级课题 |
| 研究单位. | 中国中医科学院广安门门医院,浙江中医药大 药大学第一附属医院, |]医院,北京中 大学附属三院, 湖南中医药大学 | 医医院,陕西省中医院,东直四川大学华西医院,湖南中医学附属衡阳医院,山东中医药省中医院,青岛市海慈医疗集 |
| 申办者 | 无 | | |
| 主要研究者 | 刘立安 | 研究科室 | 针灸科 |
| 审查类别 | 初审 | 审查方式 | 快速审查 |
| 审查日期 | 2014年2月27日 | 审查地点 | 青岛市海慈医疗集团 |
| 审查委员 | 孙顺昌、武宝通、李昇 | · 早平 | |
| 批准文件及版本 | 2.0_20140102),招募 (VERSION 1.0_2013 1.0_20131115),随访 疗期排便日记卡(VER |) 告 (VERSION 31118), 筛 选 期排便日记卡 8SION 1.0_2013 | 2), 知情同意书(VERSION 2.0_20140102), 研究者手册 期排便日记卡(VERSION (VERSION 1.0_20131115), 治 1115), 研究伦理审查办法(试行)》、 |
| 审查意见 | 国器国家等国际的保护 医白色 | 理人民国 人民期 更次事提会交究者 合试没局《药科原同循。未 要请以严定究展险 入退遵药物临学则意伦 启 研中及重的进的的 标出从临床研织经、委 研 者人响良度报总况 或究案床试究委本招员 究 ,摄何事/告书时 农,天床试究委本招员 | 试验质量管理规范》、《医疗验伦理审查工作指导原则》、 伦理审查管理规范》以及《赫 员会颁布的《人体生物医学研 伦理委员会审查,同意按所批 募材料开展本项研究。 会批准的方案开展临床研究, 、本批件作废,需重新提交伦 对临床研究方案、知情同意书、 交修正案审查申请。 开究风险受益比的非预期不良 |

| 有效期 | 2014年1月18日~201 | 5年1月17日 |
|------------------|--|---------------------|
| 联系人与联系电话 | FOR THE DESIGNATION OF THE PARTY OF THE PART | |
| 联系入马城尔马 斯 | | |
| 主任委员签字 | (45) | V A A A A A |
| | | 青岛市海慈医疗集团伦理委员会(盖章) |
| | | 日期: 2014 年 2 月 27 日 |

Ethical Approval

| | Flectro-acununcture vi | ersus prucalopride for | severe chronic constipation: a | | | |
|--------------------|---|--|---|--|--|--|
| Project Name | multicenter, randomiz | · | severe emonie constipation, a | | | |
| | municenter, randomiz | | the Twelfth Five-Year National | | | |
| Approval No. | 2014-1-2 | Project Sponsor | Science and Technology Pillar | | | |
| Approvarivo. | 2014-1-2 | Project Sponsor | J | | | |
| | Cuandanas a Hassita | l China Asadamı af Cl | Program (2012BAI24B01) | | | |
| | Guang'anmen Hospital, China Academy of Chinese Medical Sciences; Beijing Hospital of Traditional Chinese Medicine affiliated to Capital Medical | | | | | |
| | | | • | | | |
| | • • | • | litional Chinese Medicine; | | | |
| | | , , | iversity of Chinese Medicine; The | | | |
| | • | | Medical University; West China | | | |
| | • | • | pital of Hunan University of | | | |
| Study Centers | | | ted to Hunan University of | | | |
| | | • | Shandong University of | | | |
| | | · • | ce Hospital of Traditional | | | |
| | | | pital of Traditional Chinese | | | |
| | | • • | ncial Hospital of Traditional | | | |
| | | han Hospital of Traditi | ional Chinese and Western | | | |
| | Medicine | | | | | |
| Applicant (if any) | / | 1 | | | | |
| Principal | Li'an Liu | Research | Acupuncture Department | | | |
| Investigator | El dil Eld | Department | | | | |
| Review Category | Initial Review | Review Method | Quick Review | | | |
| Review Date | Feb 27 th , 2014 | Feb 27 th , 2014 Review Location Hiser Medical Group | | | | |
| Committee | Shunchang Sun, Baoto | ng Wu liening Li | | | | |
| Members | Shahenang San, Baoto | ing wa, sieping Li | | | | |
| | Study Protocol (VERSION 2.0_20140102), Informed Consent (VERSION | | | | | |
| | | · · | N 2.0_20140102), Researcher | | | |
| Approved Files | Handbook (VERSION 1 | .0_20131118), Defeca | tion Diary of Screening Period | | | |
| and Versions | (VERSION 1.0_201311: | 15), Defecation Diary o | of Treatment Period (VERSION | | | |
| | 1.0_20131115), Defect | ation Diary of Follow-ເ | ıp Period (VERSION | | | |
| | 1.0_20131115) | | | | | |
| | According to "Ethical F | Review Methods for B | iomedical Study Involving Human | | | |
| | Subjects" issued by the | Ministry of Health, "G | iood Clinical Practice", "Provisions | | | |
| | for Clinical Trials of Mo | edical Device" and "G | uidelines for Ethical Review Work | | | |
| | of Drug Clinical Trials" | issued by State Food a | and Drug Administration (SFDA) of | | | |
| Poviou | the People's Republic o | of China, "Managemen | t Specifications for Ethical Review | | | |
| Review | of TCM Clinical Studies | s" issued by State Adm | ninistration of Traditional Chinese | | | |
| Comments | Medicine, "Declaration | n of Helsinki", and "In | ternational Ethical Guidelines for | | | |
| | Biomedical Research Involving Human Subjects" issued by Council for | | | | | |
| | International Organiza | tions of Medical Scien | ces, the study protocol, informed | | | |
| | consent, and recruitment documents were approved by the ethics committee | | | | | |
| | of Hiser Medical Group | | • | | | |
| | | | | | | |

| | 1) Please perform the study by following the principle of GCP and the |
|---------------|--|
| | approved protocol. Please protect the health and rights of |
| | participants. |
| | 2) This approval will be invalid if the study does not start within the |
| | validity date of this approval. |
| | 3) Application of the review amendment should be submitted if any |
| | change of the main investigators, study protocol, informed consent or |
| | recruitment documents is made. |
| | 4) Reports of serious adverse events should be submitted, if any serious |
| | adverse event occurs, or any unexpected adverse event, which would |
| | have an influence on the risk and benefit ratio, occurs. |
| | 5) Please do the continuing review according to the requested frequency |
| | stipulated by the ethics committee and submit the research progress |
| | report one month before the deadline. Applicant should do the |
| | summary of the research progress reports from each center. If any |
| | situation affecting the study progress or gaining the risk of participants |
| | occurs, a report should be submitted in time. |
| | 6) The applicant or investigators should submit the protocol deviation |
| | report if any of the following occurs: patients who do not meet the |
| | inclusion criteria or meet the exclusion criteria; patients complete the |
| | trial who meet the termination criteria; patients who are given the |
| | wrong treatment session or dose; patients who are given the drugs |
| | which are not allowed in the protocol; patients' right/health are |
| | affected negatively. |
| | 7) Final report should be submitted if the study terminates or completes |
| | beforehand. |
| Validity Date | From Jan 18 th , 2015 to Jan 17 th , 2015 |
| Contact | |
| Director | |
| Signature | |
| | Ethics Committee of Hiser Medical Group (Seal) |
| | Feb 27 th , 2014 |

广东省中医院伦理委员会

Institutional Ethics Committee of Guangdong Provincial Hospital of Traditional Chinese Medicine 伦理审查批件

Approval Notice

| 审查会议地点 临床研究批文 | 2014 年 05 月 09 日 广东省广州市大德路 111 号广东省中医院东区 12 楼会议室 |
|------------------|--|
| 临床研究批文 | 广东省广州市大德路 111 号广东省中医院东区 12 楼会议室 |
| | |
| | 国家"十二五"针灸疗效国际多中心临床评价研究项目编号: 2012BAI24B01 |
| 临床研究项目 | 针灸疗效国际多中心临床评价研究-电针和普芦卡必利治疗严重慢性便秘疗效比较一多中心随机对照试验 |
| 审查文件 | 伦理审查申请表 病例筛选表 工作手册(版本号: VERSION 2.0_20140102) 课题负责人履历 招募受试者材料 知情同意书 电针安全性和接受性评价表; 受试者治疗情况、安全性评价和不良事件记录表 病例报告表、排便日记卡 研究方案(版本号: VERSION 2.0_20140102) 药品说明书 |
| 申办者 | 中国中医科学院广安门医院 |
| 临床研究单位 | 广东省中医院大针灸科 |
| 主要研究者 | 符文彬 教授 |
| 伦理审查方式 | 会议审查 |
| 参会委员 | 曾星、丘小惠、许树柴、刘旭生、邓丽丽、李立凯、李泳、余谊君 |
| 审查意见 | 根据中华人民共和国国家药品食品监督管理局 2003 年颁布实施的《药物临床试验质量管理规范》、卫生部 2007 年颁布的《涉及人的生物医学研究伦理审查办法》,《赫尔辛基宣言》、国际党科学组织委员会颁布的《人体生物医学研究国际道德指南》的伦理原则,经本伦理委员会等,同意按照上述临床研究方案和上述已通过审查的文件进行 针灸疗效国际多中心临床评价的一电针和普声卡必利治疗严重慢性便秘疗效比较一多中心随机对照试验 项目的临床研究。本批件将在各中心机构及其伦理委员会备案。如果对方案在本机构的可行性(包括研究本批件将在各中心机构及其伦理委员会备案。如果对方案在本机构的可行性(包括研究 |
| 伦理委员会声明 | 的资格与经验、设备与条件等)有不同意见,请及时与本伦理委员会。如发生严重不良事件以如项目暂停/提前终止/完成临床研究,请及时通知伦理委员会。如发生严重不良事件以影响研究风险受益比的非预期不良事件,应及时报告本伦理委员会。如临床研究方案、知情影响研究风险受益比的非预期不良事件,应及时通知伦理委员会,重新审查,获得批准后执行。意书的任何修改,主要研究者更换,应及时通知伦理委员会,重新审查,获得批准后执行。想影响受试者参加研究意愿的违反方案情况应及时报告,同时,请在本批件失效日期前1个现影响受试者参加研究意愿的违反方案情况应及时报告,同时,请在本批件失效日期前1个现影响受试者参加研究意愿的违反方案情况应及时报告,同时,请在本批件失效日期前1个 |
| 批件有效期 | 自 2014 年 05 月 09 日止 预计审查日期 2014 年 11 月 09 日 |
| 联系电话 | 020-81887233 转 30818,联系人: 盖娟娟 |
| 主任委员签字 | プログログログ |

Ethical Approval AF/04-05.0/10.0

Institutional Ethics Committee of Guangdong Province Hospital of Traditional Chinese Medicine

Ethical Approval

Approval No. B2014-010-02

| | Approval No. B2014-010-02 |
|------------------------|--|
| Review Date | May 09, 2014 |
| | Conference Room 12, The Eastern Wing of Guangdong Province Hospital |
| Location | of Traditional Chinese Medicine |
| | 111 Dade Road, Guangzhou, Guangdong |
| Durait of Construction | the Twelfth Five-Year National Science and Technology Pillar Program |
| Project Sponsor | (2012BAI24B01) |
| | Electro-acupuncture versus prucalopride for severe chronic constipation: a |
| Project Name | multicenter, randomized, controlled trial |
| | Ethic Review Application Form; |
| | Technical Service Contract; |
| | 3. Case Screening Form; |
| | 4. Researcher Handbook (VERSION 2.0_20140102) |
| | 5. Resume of the Center PI; |
| Approved Files | 6. Recruiting Documents; |
| Approved rifes | 7. Informed Consent; |
| | 8. Evaluating Form for the Acceptability and Safety of Electro- |
| | acupuncture; Form for the adverse events; |
| | 9. Case Report Form, Defecation Diaries; |
| | 10. Study Protocol (VERSION 2.0_20140102); |
| | 11. Drug Instruction |
| Leading Center | Guang'anmen Hospital, China Academy of Chinese Medical Sciences |
| Cooperation Center | Guangdong Province Hospital of Traditional Chinese Medicine |
| Center PI | Wenbin Fu |
| Review Method | Conference Review |
| Committee | Xing Zeng, Xiaohui Qiu, Shuchai Xu, Xusheng Liu, Lili Deng, Likai Li, Yong Li, |
| Members | Yijun Yu |
| Review Comments | According to 'Ethical Review Methods for Biomedical Study Involving Human Subjects' issued by the Ministry of Health, 'Management Specifications for Ethical Review of TCM Clinical Studies' issued by State Administration of Traditional Chinese Medicine, 'International Ethical Guidelines for Biomedical Research Involving Human Subjects' issued by Council for International Organizations of Medical Sciences, 'Declaration of Helsinki', the study protocol, informed consent, and recruitment documents of 'Electro-acupuncture versus prucalopride for severe chronic constipation: a multicenter, randomized, controlled trial' were approved by ethics committee of Guangdong Province Hospital of Traditional Chinese Medicine. |
| | This approval will be duplicated in the ethics committee. Please feel free to |
| | contact the ethics committee if there is any query about the feasibility of |
| Statement of Ethics | the trial (the researcher's qualification or equipment). |
| Committee | Researchers should notify the ethics committee if the trial is suspended, |
| | terminated, or completed. The ethics committee should be noticed if any |
| | severe adverse event occurs. An application for the re-review from ethics |
| | The second secon |

Ethical Approval AF/04-05.0/10.0

| | committee is need if the study protocol or informed consent is amended. Please notify the ethics committee if any protocol deviation occurs. Please do the continuing review according to the requested frequency stipulated by the ethics committee and submit the research progress report one month before the deadline. | | | |
|-----------------------------------|---|-----------|--------------------------------|--|
| Validity Date | From May 09 th , 2014 to | Review | Half a year | |
| | May 09 th , 2015 | Frequency | Next review date: Nov 09, 2014 | |
| Contact | Juanjuan Gai, +86 020 81887233-30818 | | | |
| Director Signature | nature | | | |
| Institutional Ethics Committee of | | | | |
| | Guangdong Province Hospital of Traditional Chinese Medicine (Seal) | | | |
| | May 09 th , 2014 | | | |

武汉市第一医院 医学伦理委员会伦理审查批复件

武卫一院伦审【2014】3号

| 项目名称 | 电针和普芦卡必利治疗 照试验 | 严重慢性便秘疗药 | 效比较——多中心随机对 |
|--------|------------------------|----------|----------------|
| 申报单位 | 武汉市第一医院(武汉市中西医结合医院)针灸科 | | |
| 项目负责人 | 张红星 | 伦理审批号 | 武卫一院伦审【2014】3号 |
| 审批伦理委员 | 武汉市第一医院医学伦理委员会 | | |

伦理委员会收到以下相关文件:

- (1) 伦理审查申请、临床试验方案和临床研究可行性分析,技术的安全性、社 会效益和经济效益分析
 - (2) 知情同意书样本
 - (3) 招募广告样本
- (4) 项目牵头单位中国中医科学院广安门医院伦理审查批件和实验药物的合格 检验报告
 - (5) 从事此项技术人员组成和履历

医学伦理委员会意见:

- 1、经本伦理委员会审查: 同意我院针灸科参与中国中医科学院广安门医院牵头的电针和普芦卡必利治疗严重慢性便秘疗效比较——多中心随机对照试验
- 2、在项目开展过程中,应遵守国际《赫尔辛基宣言》及我国的伦理原则、道德 标准及相关法律、法规、制度等。
- 3、每年向医学伦理委员会报告一次工作情况。如对病种、实施方案或知情同意 书等进行任何修改,均应及时向医学伦理委员会书面报告,经同意后方可继续进行。

主任委员:

批复日期: 17

14—四年二月二月一日

声明: 本委员会仅对备案的临床研究项目中涉及的伦理与道德问题负责

联系地址: 武汉市中山大道 215 号,武汉市第一医院医学伦理委员会 邮编: 430022 联系电话: 027—85332012

Ethical Approval from the Ethics Committee

武卫一院伦审[2014] 3

| Project Name Electro-acupuncture versus prucalopride for severe chronic constipation | | | | | |
|---|---|--|--|--|--|
| Project Name | multicenter, randomized, controlled trial | | | | |
| Applicant | Wuhan Hospital of Traditional Chinese and Western Medicine | | | | |
| Center PI | Hongxing Zhang Approval No. 武卫一院伦审[2014] 3 | | | | |
| Committee | Ethics Committee of Wuhan Hospital of Traditional Chinese and Western | | | | |
| Members | Medicine | | | | |

Approved Files:

- (1) Ethical Review Application, Feasibility Analysis for the Study, Analysis for the Safety, Social Benefit and Economic Benefit of the Intervention;
- (2) Informed Consent;
- (3) Recruiting Advertisement;
- (4) Ethical Approval for the Study of the Leading Center (Guang'anmen Hospital, China Academy of Chinese Medical Sciences);
- (5) Personnel and the Resume of Researchers.

Review Comments:

- The project of 'Electro-acupuncture versus prucalopride for severe chronic constipation: a multicenter, randomized, controlled trial' was approved to perform by ethics committee of Wuhan Hospital of Traditional Chinese and Western Medicine;
- 2) Please abide by the 'Declaration of Helsinki', and the ethical principle and related laws and regulations of China;
- 3) An application for the re-review from ethics committee is need if the study protocol or informed consent is amended. The researcher should do annual report for this project to ethic committee each year.

Director of the Ethics Committee:

Approval Date: Feb 21, 2014

Note: The ethics committee is only responsible for the ethical and moral issues of the documented clinical project.

Address: Ethics Committee of Wuhan Hospital of Traditional Chinese and Western Medicine, 215 Zhongshan

Avenue, Wuhan, Hubei 430022

Contact Phone Number: +86 027 85332012