

Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version 3	Page 1 of 111
Clinical Investigation Plan MA-501			

Clinical Trial Protocol

MA-501

Clinical Management with SmartPill Motility

Monitoring System and Validation of the SmartPill

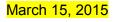
Five Hour Cutoff in Patients with Symptoms of

Gastroparesis

CONFIDENTIAL









Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page 2 of 111
Clinical Investigation Plan MA-501	1000000002		

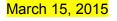
Principal Investigator:	Dr. Braden Kuo
Test Product:	Given SmartPill Motility Monitoring Capsule
Sponsor Name:	Given Imaging Inc.
	Given Imaging Corporation
	3950 Shackleford Road
Sponsor Address:	Suite 500
	Duluth, GA 30096
Sponsor Telephone:	
Study Number:	MA-501
Version Number and date:	Version 3- March 15 2015

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



version 3





Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			3 of 111

The undersigned confirm that they agree to condu	uct the study under the conditions
described in this protocol:	
Investigator	
	Signature:
	Date:
2	
<u>Sponsor</u>	
VP Medical and Regulatory Affairs	
Tim Thomas	Signature:
	Date:

CONFIDENTIAL

MA-501	version 3	March 15, 2015
3		



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			4 of 111

Table of Contents

St	udy Su	mmary	8
1.	Introd	uction	9
2.	Tested	Device1	6
	.2.1	Intended purpose1	6
	.2.2	Device Description1	6
	.2.3	Packaging, distribution, labeling and caution statements1	8
	.2.4	Inventory Control	20
	.2.5	Findings from non-clinical and clinical trials2	20
	.2.6	Manufacturer of investigational device	21
	.2.7	Description of & justification for route of administration & treatment period(s)2	21
	.2.8	Training and experience needed to use the investigational device	22
	.2.9	Risk Anlysis	22
3.	Objec	tives	23
	.3.1	Primary objective2	23
	.3.2	Secondary objectives	23
4.	Study	Endpoints	24
	.4.1	Primary Endpoint2	24
	.4.2	Secondary Endpoint	24
5.	Study	Design2	26
	.5.1	Overall design	26
6.	Subje	zt Eligibility2	27
	.6.1	Inclusion criteria	28
	.6.2	Exclusion criteria	28
	.6.3	Withdrawal criteria	31
7.	Study	Plan	32
	7.1	Enrollment of participants	32
	7.2	Informed Consent Process	32

CONFIDENTIAL



	Expanding the scope o			
		CONFIDENTIAL	1	
Docum	nent title :	Document No.	Version	Page
		10000033062	3	
Clinica	al Investigation Plan- MA-501			5 of 111
L		<u></u>	1	
7.3	Survey Instruments			
7.4	Screening- Assessment of eligibility (Visit 1)			
7.5	SmartPill Testing and Gastric Scintigraphy (Visi			
7.6	Follow up visit (Visit 3)			
7.7	Follow up visit (Visit 4)			
7.8	3 month follow-up (visit 5)			
7.9	6 Month Follow UP (Visit 6)			
7.10	Data organization and shipment			
8. Asses	ments of the SPM and Gastric Scintigraphy system			
8.1	Assessment of efficay			44
8.2	Assessment of Saftey			46
8.3	Adverse Events Definitions and Reporting Requi	irements		46
8.4	Anticipated adverse events reactions associated		• • •	
8.5 Device Deficiency				
9. Statis	tical consideration			54
9.1 l	Determination of Sample size			54
9.2	Interim Analysis			55
9.3	Description of statistical methods			55
9.4	Adverse Events			58
10. Suspe	ension or premature termination			58
11.Data	collection and quality control			59
11.1	Data collection			59
11.2	Archiving			59
11.3 Monitoring Plan				60
12. Ethical and legal aspectes				60
12.1	Independent Ethics Committee (IEC)/ Institution	nal Review Board (IR	B)	60
12.2	Ethical conduct of the study		,	
12.3	Amendments and Deviations from clinical invest	tiagtion plan		61
12.4	Subject Information and Consent			
12.5	Insurance			
12.6	Confidentiality			62
12.7	Use of Data and Publications			63
		τιλι		

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			6 of 111

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			7 of 111

Glossary

SPM- SmartPill Motility Monitoring System

- **GET- Gastric Emptying Time**
- GES- Gastric Emptying Scintigraphy
- UM User Manual
- CRA Clinical Research Associate
- IEC Independent Ethics Committee
- **IRB- Institutional Review Board**
- eCRF electronic Case Report Form

AE - Adverse Event

- SAE Serious Adverse Event
- ADE- Adverse Device Effect
- USADE Unanticipated serious Adverse Device Effect
- SQA Software Quality Assurance
- GCP Good Clinical Practice
- PI Principal Investigator

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			8 of 111

Study Summary

Purpose of study	To evaluate the agreement between gastric emptying scintigraphy tests and SmartPill Motility Monitoring System (SPM) study and to assess both impact on patient management and diagnostic gain associated with the SPM test.
Study design	Comparative study
Number of subjects	275
Subject population	Patients with symptoms of Gastroparesis
No of centers	Up to 12
Duration of enrollment	Up to 24 months from IRB approval to enroll study subjects
Procedure Duration	Two to five weeks (including medication wash) + 6 months follow up
Primary objectives	To evaluate device agreement in the diagnosis of delayed gastric emptying between SmartPill Motility Monitoring System (SPM) gastric emptying time (GET >5 hours) and the non-reference standard gastric scintigraphy test (>10% retention of a solid meal at 4 hours) in patients with symptoms of gastroparesis

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			9 of 111

1. Introduction

Gastroparesis—Clinical Manifestations and Management

Gastroparesis presents with a range of gastrointestinal manifestations including nausea, vomiting, bloating, postprandial fullness, early satiety, and abdominal discomfort in association with demonstrable delays in gastric emptying (1). In the largest accumulated series to date, approximately two thirds of patients exhibited gastroparesis of an idiopathic nature while approximately 25% developed the disease as a consequence of long-standing diabetes mellitus (2). Even in smaller single center series, the predominant etiology of disease appears to be idiopathic (3). Gastroparesis has profound impact on the lives of affected patients, impairing quality of life, and produces a significant health care burden, leading to extensive emergency department visits and inpatient stays.

A range of therapies has been proposed for use in gastroparesis to reduce symptoms and promote adequate nutrient intake (1, 4, 5, 6, 7, 8). Determination of the rate of gastric emptying is commonly employed to facilitate the decision to prescribe one of these therapies for a gastroparesis patient with significant symptoms.

Gastroparesis - Survey Tools

<u>Patient Assessment of Gastrointestinal Disorders—Symptoms (PAGI-SYM) -</u> The PAGI-SYM survey consists of 22 questions which encompass a broad range of symptoms pertinent to organic and functional disorders of the gut (22). Contained within the PAGI-SYM is the Gastroparesis Cardinal Symptom Index (GCSI). The GCSI is comprised on 9 symptoms relevant to gastroparesis and has been stratified CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			10 of 111

into three subscales—nausea/vomiting (3 questions), postprandial fullness/early satiety (4 questions), and bloating (2 questions) (23). The GCSI has been validated in seven university-based clinical practices in the United States which correlates well with patient and physician ratings of gastric symptom severity with an internal consistency reliability score of 0.84. GCSI scores are responsive to changes in overall gastroparesis symptoms reported by both clinicians and affected patients. *Patient Assessment of Gastrointestinal Disorders—Quality of Life (PAGI-QOL)-* The PAGI-QOL has been validated in two large longitudinal, multicenter, multinational trials to reliably assess quality of life in upper gastrointestinal disorders (24). The survey exhibits a high sensitivity to change with therapy in eight week observation studies. The PAGI-QOL consists of 30 options, which each are answered on a scale ranging from 0=lowest to 5=highest. Items are grouped into: daily activities (10 items), clothing (2 items), diet and food habits (7 items), relationship (3 items), and psychological well-being and distress (8 items).

<u>Short Form-36 version 2 (SF-36v2) -</u> The SF-36v2 is a validated survey consisting of 36 questions which rates quality of life in 4 mental and 4 physical health scores (25). In contrast to the PAGI-QOL, it is a generic measure, which relates quality of life in this population to that of patients with unrelated conditions to provide perspective on the degree of impact conferred by gastroparesis.

<u>Rome III Modules -</u> The Rome III modules for (1) nausea, vomiting, and belching and (2) functional dyspepsia to assess upper functional symptoms and (3) all functional bowel disorders to assess lower functional symptoms are validated measures developed by the Rome Foundation to quantify symptoms and to suggest

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			11 of 111

the presence of functional disorders involving the upper and lower gastrointestinal tracts, respectively (26, 27).

<u>Visual Analog Scales (VAS)</u>: VAS will be completed for 8 symptoms which are quantifiable in a continuous fashion before scintigraphic meal ingestion and after each hour of scanning. The VAS form will also provide 3 options for assessing the severity of retching and vomiting. The VAS is a non-validated measure specifically designed for this protocol.

<u>Bristol Stool Form Scale</u>: The Bristol Stool Form Scale provides a measure of stool hardness based on a scale of 1-7 to describe hardest to loosest stool form. The scale provides a visual image along with descriptive text for each successively looser stool type (38).

Status of Diagnostic Testing in Gastroparesis—Emerging Role of SmartPill Wireless Motility Capsule

For many years, the standard of clinical practice mandated performance of gastric scintigraphy for diagnosis of gastroparesis. However, it has been apparent that this method has a number of serious drawbacks which call into question its validity to discriminate those with versus those without disease. Firstly, there are significant variations in methodology between centers which raise the possibility that what might be delayed emptying in one institution would be normal at another. One source of variability has been the choice of meal; many hospitals employ scrambled eggs while others use oatmeal, chicken liver, beef stew, pancakes, or water (9, 10, 11). A second factor in the variability of scintigraphy results has been

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			12 of 111

the timing of image acquisition. The majority of centers measure gastric emptying early in the postprandial period (90-120 minutes after eating), when emptying is incomplete even in healthy individuals. A commonly reported measure, the half time of emptying, in many instances is an extrapolated value that is calculated from the very little emptying that occurs in the early postcibal phase and is likely fraught with inaccuracy. Because of these variabilities in meal- and image timing-related factors, it has been difficult for many institutions to define their range for normal gastric emptying. In a recent survey, 40% of academic and non-academic centers did not have experimental data to support the diagnostic cutoff for delayed gastric emptying at their hospitals (12). As a consequence, a standardized protocol has been advocated that employs a standard test meal (Egg Beaters[®], bread, jam) with measurement of emptying in the late postprandial time period (4 hours) when most emptying is complete in healthy controls (13). Guidelines published by both the American Neurogastroenterology and Motility Society and the Society of Nuclear Medicine recommended this method in an effort to standardize diagnosis of the disease across all centers (14, 15). However to date, a small minority of medical centers in the United States have adopted this protocol. Additional drawbacks of gastric scintigraphy include the requirements to have access to a qualified nuclear medicine department and the potential for radiation exposure to individuals who may already have undergone extensive radiographic testing.

Because of the well-documented deficiencies of gastric scintigraphy, other methods for determination of gastric emptying have been proposed e.g. Breath testing after consumption of different meals labeled with a non-radioactive isotope

CONFIDENTIAL



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			13 of 111

(¹³C) (16, 17). Such measures can be influenced by small bowel and pancreatic diseases that impair digestion and by pulmonary conditions that disrupt gas exchange. Furthermore, most studies of gastric emptying breath tests to date have been validated against non-standardized scintigraphic measures of gastric emptying such as the half time of emptying or emptying rates in the early postprandial period (90-150 minutes).

The SmartPill Motility Monitoring System (SPM) employs an ingested capsule that measures pH and pressure activity within the gastrointestinal lumen. The SPM gastric emptying time (GET) is determined when the capsule pH increases at least 2 units from the acidic environment of the stomach to the more neutral proximal duodenum. In an initial published comparison trial versus gastric scintigraphy in 87 healthy subjects and 61 patients with a previously established scintigraphic diagnosis of gastroparesis, correlation of the percent retention of an Egg Beaters® meal at 4 hours by scintigraphy and the GET of the SPM capsule at 4 hours was 0.73 (18). The diagnostic accuracy of the SPM GET (0.83) was comparable to that of the standardized 4 hour scintigraphy method (0.82). Using a cutoff of 5 hours, the sensitivity and specificity of the SPM were 65% and 87%, respectively, for diagnosis of gastroparesis. These values are comparable or superior to those of scintigraphy at 4 hours (sensitivity 44%, specificity 93%). These findings support an equivalency of the SPM GET with the scintigraphy methodology advocated by the recent consensus guidelines. As a consequence of this trial and after presentation of data suggesting an excellent safety profile of the method, the SPM system was approved by the United States Food and Drug Administration (FDA) in

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			14 of 111

July 2006 for determination of delayed gastric emptying and for measurement of whole gut transit.

Concerns have been raised by clinicians and insurance providers in response to this initial clinical trial that mandate additional investigation. The first critique relates to the sample size of the gastroparesis patients recruited for this study. Although all 61 patients had undergone prior scintigraphy demonstrating delays in gastric emptying, pH data was reliably acquired in only 48 individuals. Of these patients, only 24 exhibited >10% retention of a solid meal on scintigraphy at 4 hours. It has been suggested that this small cohort may be inadequate to support the routine use of the SPM for determination of delayed gastric emptying. A second issue pertains to the cutoff to discriminate normal from delayed gastric emptying. The FDA has approved the SPM for measurement of gastric emptying based on a cutoff of 4 hours; this value was determined by optimizing both the sensitivity and specificity from the ROC curves from the initial study. However after review of the raw data from this initial trial, it was elected to emphasize the specificity of the SPM GET to minimize the numbers of falsely positive delays in emptying that would be diagnosed using the 4 hour cutoff. Taking this approach, a GET cutoff of 5 hours was found to provide a specificity of 87% for diagnosing gastroparesis based on a prior diagnosis of the condition. Also in the initial study, subjects with confirmed gastroparesis on prior scintigraphy were recruited.

This trial is designed to study the intended population in which the device will be used, subjects with suspected gastroparesis based on their self-reported symptom profile. Finally published payer policies call for evidence that conduct of a SmartPill

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			15 of 111

Motility Monitoring System test will impact patient management. The study will compare patient management decisions based on results of gastric emptying scintigraphy tests to decisions based on SmartPill Motility Monitoring System study to assess both impact on patient management and diagnostic gain associated with the latter test.

Study Purpose and Rationale

This protocol is designed to validate use of the SPM for diagnosis of delayed gastric emptying in patients with symptoms of gastroparesis and assess impact of a SmartPill study on patient management in the gastroparetic populations. Patients with symptoms of gastroparesis will be recruited.

Patients will undergo concurrent gastric scintigraphy and SPM testing to determine the presence or absence of delayed gastric emptying based on predetermined diagnostic cutoffs for each technique.

24 months will be required in order to enroll 275 subjects eligible for the trial.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			16 of 111

2. Tested Device

.2.1 Intended purpose

The SmartPill GI Monitoring System measures whole gut and regional gut (stomach, small bowel, and colon) transit times. Measurements of gastrointestinal (GI) tract transit times are used for evaluating motility disorders.

The system measures pH, pressure, and temperature throughout the GI tract. Pressure contraction data from the antrum and duodenum can be used to calculate motility indices.

.2.2 Device Description

The SmartPill Motility Monitoring System was cleared by the United States Food and Drug Administration (FDA) and caries a CE mark, from July 2006. The SPM system includes an ingestible capsule, a receiver, a laptop computer and analysis software. .

pН

pH is measured every 5 seconds for the first 24 hours, every 10 seconds from 24-48 hours, and every 2.5 minutes after 48 hours. pH changes from 0.05-9.0 are detected with a sensitivity of +0.5 pH units.

<u>Pressure</u>

Pressure measurements from 0-350 mmHg are acquired every 0.5 seconds during the first 24 hours and every 1 second thereafter and are accurate to +5 mmHg for values <100 mmHg and +10% for pressures >100 mmHg.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			17 of 111

Temperatures

Temperatures from 25-49oC are obtained every 20 seconds in the first 24 hours and every 40 seconds thereafter and are accurate to +1°C.

Data is uploaded and stored on the laptop computer. Transit data is analyzed using MotiliGI Monitoring System software, while pressure data is quantified using GIMS Data Viewer software.

The device does not incorporate any medicinal product, human blood derivative or tissues of animal origin.

The SPM system is fully compliant with all safety and radio standards and regulations similar to the currently marketed Platform Systems.

SmartPill Capsule Pack

Each capsule pack contains a single-use capsule, calibration buffer, instructions for use and a patient diary

The SPM capsule measures 26.8 x 11.7 mm and houses sensors for pH, pressure, and temperature and transmits data at a carrier frequency of 434 MHz to a receiver worn by the subject

Data Receiver

The data receiver records biomedical data sent by the capsule. It is worn by the patient on a belt clip or a lanyard (around the neck). The data receiver features an

CONFIDENTIAL



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			18 of 111

Event button that when pushed places a marker in the electronic data. A patient diary for recording the time and reason for the event button use is stored on the backside of the receiver. The data receiver weighs approximately 225g (0.5 lb).

Docking Station

The docking station establishes electronic communication between the data receiver and the system computer for data download and serves as a charging stand for the data receiver. The docking station weighs approximately 200 grams (0.45 lbs).

Activation Fixture

The activation fixture turns the capsule on and off using strong magnets that interact with the capsule's internal power switch.

System Computer and MotiliGI Software

MotiliGI software version 3.0 comes installed on the system computer. MotiliGI receives and processes downloaded data from the data receiver, stores test data, provides data analysis tools, and graphically displays test results. MotiliGI features algorithms that calculate GET, SBTT, CTT, WGTT, and motility indices of the antrum and duodenum.

.2.3 Packaging, distribution, labeling and caution statements

Caution

CONFIDENTIAL

MA-5	50 ⁻
10	



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			19 of 111

SPM should not be used in patients with the following diseases or conditions:

- history of gastric bezoar
- swallowing disorders
- suspected or known strictures, fistulas, or physiological/mechanical GI obstruction
- GI surgery within the past 3 months
- severe dysphagia to food or pills
- Crohn's disease or diverticulitis
- implanted or portable electro-mechanical medical device such as a cardiac pacemaker, defibrillator or infusion pump
- younger than 18 years old.

Data transmission from the capsule to the data receiver is influenced by patient BMI. Significant data dropout can occur in severely obese patients (>40 BMI).

Labeling

All equipment associated with the clinical trial will be identified with visible markings stating "Exclusively for clinical investigations MA-501 only"

Packaging and storage

The SPM should be stored in a dry place, at ambient room temperature (-15-40°C) and humidity (rH 30-90%) and away from magnetic sources. To prevent capsule activation, the SPM capsule should be kept in the box until use.

Even if stored in their original containers and according to recommendations, the SPM capsule should not be used past the expiration date on the capsules.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			20 of 111

.2.4 Inventory Control

Sponsor will initiate shipment of product from the sponsor to the site upon receiving all required documents. (e.g. approval/favorable opinion from IRB/IEC). The sponsor will maintain tracking for all shipment documentation. Prior to any shipment, the site will be informed by the sponsor on the upcoming shipment, expected arrival date and content of the shipment. The site should confirm receipt of the shipment. The site will file Sponsor's Shipping Receipt in the Sponsor's Study File.

An Investigator's Device Accountability form will be conducted under the Regulatory Binder at each site and will be monitored by the site's CRA.

In case of technical failure the site will approach the technical support team which will help solve the problem and will notify the site's CRA.

For each dispensed capsule, the following information should be recorded: the subject study number, date dispensed and the capsule ID number. At the termination of the study, all unused study material must be returned with the corresponding documentation as directed by Given Imaging.

.2.5 Findings from non-clinical and clinical trials

In an initial published comparison trial versus gastric scintigraphy in 87 healthy subjects and 61 patients with a previously established scintigraphic diagnosis of gastroparesis, correlation of the percent retention of an Egg Beaters® meal at 4 hours by scintigraphy and the GET of the SPM capsule at 4 hours was 0.73 (18). The diagnostic accuracy of the SPM GET (0.83) was comparable to that of the standardized 4 hour scintigraphy

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			21 of 111

method (0.82). Using a cutoff of 5 hours, the sensitivity and specificity of the SPM were 65% and 87%, respectively, for diagnosis of gastroparesis. These values are comparable or superior to those of scintigraphy at 4 hours (sensitivity 44%, specificity 93%). These findings support an equivalency of the SPM GET with the scintigraphy methodology advocated by the recent consensus guidelines. As a consequence of this trial and after presentation of data suggesting an excellent safety profile of the method, the SPM system was approved by the United States Food and Drug Administration (FDA) in July 2006 for determination of delayed gastric emptying and for measurement of whole gut transit.

.2.6 Manufacturer of investigational device

Given Imaging Ltd. is the manufacturer of the investigational device

.2.7 Description of & justification for route of administration & treatment period(s)

SPM capsule is ingested by the patient after an 8 hour fast and consumption of the technetium radiolabeled eggbeater meal, two pieces of toast with jam⁽¹³⁾. with 50ml of water. The test requires fasting for accurate results.

Procedure will take up to two weeks + 6 months follow up.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			22 of 111

.2.8 Training and experience needed to use the investigational device

The medical staff and investigators who will perform the SPM procedure will go through a training session on the SPM system and Procedure, performed by the sponsor of the study.

.2.9 Risk Anlysis

Benefits-There may be no direct benefit to patients with possible gastroparesis from participation in this study. However, some patients may receive diagnostic information that helps the care provider plan a treatment program. Indirect benefits of this study include improved insight into the importance of gastric emptying disorders in patients with symptoms of gastroparesis and the role of different methods in the diagnosis of impaired gastric motor function in this disorder.

Alternatives to Study Participation- Participation in the study is voluntary. Subjects may discontinue the study at any time without penalty or loss of any benefits to which they are otherwise entitled

The SPM capsule is in compliance with relevant medical device standards. Our facilities have been certified to relevant medical device quality system requirements. Internal Verification and Validation testing has been successfully completed. All required Certifications and test reports are on file and have been reviewed for acceptability. The risk management summary demonstrates that the risks associated with the SPM capsule products are well mitigated and are as low as reasonably practicable as defined by the applicable standards.

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			23 of 111

3. Objectives

The study population consists of patients with symptoms of gastroparesis

.3.1 Primary objective

To evaluate device agreement in the diagnosis of delayed gastric emptying between SmartPill Motility Monitoring System (SPM) gastric emptying time (GET >5 hours) and the non-reference standard gastric scintigraphy test (>10% retention of a solid meal at 4 hours) in patients with symptoms of gastroparesis

.3.2 Secondary objectives

Several secondary hypotheses can be tested from data generated by this investigation which include but are not exclusive to:

- Objective: To assess the device agreement of SPM with GES for detection of severe gastroparises *Hypothesis*: Severe gastric delay identified with scintigraphy (>35% at 4 hours) (35) is associated with severe prolongation of SPM GET (>8 hours) and impaired contractility (36)
- 2. *Objective:* To assess the correlation of gastroparetic symptoms measured by the PAGI-SYM symptom survey and PAGI-QUL survey instruments with SPM transit and fed response contractility parameters and with gastric emptying scintigraphy. *Hypothesis:* Gastroparetic symptoms correlate with discreet SPM measures
- 3. Objective: To quantify the additional abnormal motility (diagnostic gain) detected with SPM relative to GES . *Hypothesis:* The GI transit and contractility

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			24 of 111

measures provided with SPM result are additional abnormal motility findings (diagnostic gain) over the conventional test gastric emptying scintigraphy in the symptomatic gastroparetic population

4. Objective: To assess the impact of the diagnostic gain associated with SPM on patient management *Hypothesis:* The diagnostic gain realized with SPM results impact patient management decisions.

4. Study Endpoints

.4.1 Primary Endpoint

Per patient device agreement for the diagnosis of delayed gastric emptying between SmartPill Motility Monitoring System (SPM) gastric emptying time (GET >5 hours) (18) and the non-reference standard, gastric Emptying scintigraphy test (>10% retention of a solid meal at 4 hours)(13) in patients with symptoms of gastroparesis

.4.2 Secondary Endpoint

- Agreement between Gastric emptying time of smartpill capsule (GET>8hrs= severe) and gastroduodenal contractility (36) and percent of radiolabeled meal retained at 4 hours on scintigraphy (>35% = severe) (35)
- Correlation between total GCSI scores, GCSI subscale scores for nausea/vomiting, postprandial fullness/early satiety, bloating, PAGI-SYM score for upper abdominal pain, PAGI-QOL score and SPM GET, SPM SBTT, SPM CTT, SPM fed response gastroduodenal contractility (frequency and AUC) and percent retention of radiolabeled meal measured with scintigraphic camera at 4 hours,

CONFIDENTIAL



CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			25 of 111

- Number of abnormal SPM GET, SBTT,CTT(20) and antroduodenal contractility findings (36) (contraction frequency and AUC) and number of abnormal radiolabeled meal emptying findings measured with scintigraphic camera(13)
- 4. Documented patient management plans recording therapy, Dx tests, nutrition, and surgery decisions based on SPM results and based on GES and assessment of patient management change in accordance with following guidelines
 - a. Change in Therapy: A change in category of drug therapy or the addition of a new category of drug therapy constitutes a change in management. Thus a change in treatment from prokinetic to antiemetic, neuromodulator or laxative category constitutes a change in patient management. A change of therapy within category such as switching from one prokinetic drug to another prokinetic drug does not constitute a change in patient management unless the drug is intended to impact a different GI region.
 - b. Dietary guidelines: Changes to diet constituting a change in patient management include: recommendation of diets specific for gastroparesis, recommendation of a liquid diet, initiation of TPN or G or J feeding tube.
 - c. Surgery: Relocation of feeding tube to new location (G tube changed to J Tube) constitutes change in management but not relocation of G tube to new gastric location. Either initiation or elimination of surgical referral constitutes change in management. CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			26 of 111

 d. Diagnostic Testing: Any avoidance or additional diagnostic testing related to patient GI symptoms whether to detect abnormal motility or rule out alarm conditions constitute a change in management.

5. <u>Study Design</u>

.5.1 Overall design

This is a multi-center, prospective study which aims to evaluate positive and negative device agreement in the diagnosis of delayed gastric emptying between SPM system gastric emptying time (GET >5 hours) and the non-reference standard gastric emptying scintigraphy test (>10% retention of a solid meal at 4 hours) in patients with symptoms of gastroparesis.

The study will include 275 patients with symptoms of gastroparesis aged 18-80 years, who have no evidence of metabolic and/or organic disease.

Each subject will go through a Gastric scintigraphy test concurrently conducted with SPM testing after an overnight fast. The subject will be instructed to maintain a diary of times of meal consumption, bowel movements, and sleep.

Patient and physician will complete survey instruments (detailed in section 7 of this protocol).

Subject will return the SPM receiver 5+2 days after ingestion day.

Physician will complete 3 management plans:

 Between 5-28 days (visit 4) after SPM and gastric scintigraphy test day- 3 management plans based first on one motility test blinded to the second test and then independently based on second test but not blinded to the first test result.
 CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			27 of 111

The PI will then develop a third patient management plan based on both test results. This plan will be presented to the patient at the follow-up visit (visit 4)

Subjects will be contacted at 3 and 6 months post SPM procedure for followup assement of symptoms.

Subjects will be compensated for completing the study.

All observations/ assessments to be conducted are displayed in the Trial Flow Chart (Appendix- A) and detailed in the sections below

Study Duration

Each subject is expected to participate in the study for two to five weeks (including medication wash) and up to 6 months follow up. Each subject will report to the study site for at least 3 visits and up to 6 visits.

Up to 24 months will be required to enroll 275 patients following IRB approval of the study. The completion of the study will require 30 months due to data collection and validation, data analysis, and the final clinical report.

6. Subject Eligibility

Patients must meet all the inclusion criteria and none of the exclusion criteria in order to be eligible for the study.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			28 of 111

.6.1 Inclusion criteria

- Males and females between ages of 18-80 years of age with symptoms of gastroparesis for at least 12 weeks.
- Presenting with 2 or more of the following symptoms or signs which, in the opinion of the site investigator, are suggestive of a diagnosis of gastroparesis:
 - .1 Nausea, vomiting, or retching (dry heaves)
 - .2 Postprandial fullness or early satiety
 - .3 Bloating or visible abdominal distention
 - .4 Postprandial discomfort or pain
- Ability to stop proton pump inhibitors for 7 days and histamine₂ receptor antagonists, prokinetic agents, narcotic agents, anticholinergic drugs, and cannabinoids 3 days prior to SPM and gastric scintigraphy testing.
- No evidence of metabolic disease (hypothyroidism, uncontrolled diabetes [hemoglobin A1c >10% within the past 6 months], electrolyte imbalance).
- An upper endoscopy or upper gastrointestinal barium series within the past 3 years showing no organic disease that is potentially causative of symptoms.
- High probability of compliance and completion of study.

.6.2 Exclusion criteria

- Participation in previous SmartPill clinical trials.
- Previous history of bezoars (the presence of retained liquid, bile, or small amounts of poorly organized food residue is permitted).
- Dysphagia to solid food or pills.

CONFIDENTIAL



Experiancy the scope of	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			29 of 111

- Prior surgery involving the luminal gastrointestinal tract (cholecystectomy, appendectomy, and hysterectomy are permitted if performed > 3 months prior to SPM test).
- Any abdominal or pelvic surgery within the past 3 months
- Known or history of inflammatory bowel disease.
- History of diverticulitis, diverticular stricture, and other intestinal strictures.
- Chronic daily use of nonsteroidal anti-inflammatory drugs (ibuprofen, naproxen, etc.)
- Tobacco or alcohol use within eight hours prior to capsule ingestion.
- BMI > 40 kg/m².
- Allergies to eggs, bread, or jam.
- Females of childbearing age who are not practicing birth control and/or are pregnant or lactating. (Urine pregnancy testing will be performed on female subjects of childbearing potential prior to capsule ingestion and gastric scintigraphy).
- Use of cardiac medical devices such as pacemakers and defibrillators (gastric stimulators, bladder stimulators, spinal stimulators, medication infusion devices, insulin pumps, continuous glucose monitors are permitted).
- Uncontrolled diabetes with a hemoglobin A1c >10%.
- Patient is expected to undergo MRI examination within 7 days after ingestion of the capsule

Prohibited Medications

Medications Which May Alter Gastric pH:

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			30 of 111

- (i) Proton pump inhibitors (omeprazole, lansoprazole, esomeprazole, dexlansoprazole, pantoprazole, rabeprazole) for 7 days prior to study including the day of SPM ingestion.
- (ii) Histamine₂ receptor antagonists (cimetidine, ranitidine, famotidine, nizatidine) for 3 days prior to study including the day of SPM ingestion.
- (iii) Antacids (containing magnesium, aluminium, or calcium carbonate) for 1 day prior to study including the day of SPM ingestion.
- Medications That May Affect Gastrointestinal Motility: The following medications must be discontinued for 3 days prior to study including the day of SPM ingestion (if subject develops nausea to the degree that study discontinuation is contemplated, he or she may take promethazine, prochlorperazine, or ondansetron as rescue antiemetics in doses recommended by the site investigator):
- (i) Prokinetic agents (metoclopramide, domperidone, erythromycin, azithromycin, bethanechol, pyridostigmine)
- (ii) Narcotic analgesics (codeine, hydrocodone, oxycodone, methadone, fentanyl, etc.)
- (iii)Anticholinergic agents (dicyclomine, hyoscyamine, scopolamine)
- (iv) Cannabinoids (dronabinol, marijuana)

Permitted Medications

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

<mark>MA-50</mark>	•
20	

version 3



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			31 of 111

Prescription medications for maintenance of stabilized conditions (e.g., hyperlipidemia, thyroid disease, chronic anxiety or depression, birth control, etc.) are permitted if the condition and the dose are stable for three months prior to study participation.

.6.3 Withdrawal criteria

Patients may withdraw from the study for the following reasons:

- At their own request, or at the request of their legally acceptable representative. The investigator may withdraw a patient from the study at any time for the following reasons:
- Severe side effects clearly related to the study device.
- Presence or appearance of exclusion criteria.
- Appearance of accompanying diseases rendering further participation in the study impossible.
- A significant protocol violation, as determined either by the sponsor or the investigator
- Subject noncompliant with investigational procedures
- Subject noncompliant with visits
- At the specific reasonable request of the sponsor

The sponsor must be informed in each withdrawal case. The reason for withdrawal must be recorded in the case report form and in the patient file. The study can be stopped

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			32 of 111

following unforeseen events or other factors that do not permit continuation of the study. The Investigator and/or Given Imaging and/or local ethics committee and/or regulatory authority can decide whether the study is to be terminated. The appropriate ethics committees will be notified of discontinuation of the trial for any reason no later than 5 working days after the sponsor makes this determination and no later than 15 working days after the sponsor receives a notice from the ethics committee and/or regulatory authority.

7. Study Plan

7.1 Enrollment of participants

Eligibility to participate in the study will be assessed by the investigator based on inclusion and exclusion criteria.

7.2 Informed Consent Process

Each subject will receive a full oral explanation of the study and will receive a copy of the subject Informed Consent Form. The subject will be requested by the investigator or his designee to sign the Informed Consent Form. Informed consent will be obtained before or during visit 1, prior to any study procedures being conducted including medication wash out period.

Consent to participate in this study must be given in writing. The signed informed consent will remain in the file of the subject; a signed copy will be given to the subject.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			33 of 111

An enrollment log will be kept at the site listing all subjects who signed an informed consent for participating in the trial. The log will include the subject's full name, ID, subject's study code and date of enrollment.

In case that new information shall be provided to the subject a new informed consent form, which will include the new information, will be signed by the subject In case of a subject who is unable to give a written informed consent an independent witness will sign the Informed consent on behalf of the subject, considering the subject gave his oral consent.

7.3 Survey Instruments

Brief Rapid Assessment of Subject History (BRASH) - Appendix B

The BRASH is a protocol-specific document that will be completed by a site investigator on the day of the screening visit. The survey consists of several items that provide details into demographic factors (age, gender, ethnicity, race) as well as factors related to the subject's symptoms prompting evaluation to measure gastric emptying. This will include the investigator's assessment of the presumed etiology of disease (idiopathic, diabetic [including type 1 vs. type 2, insulin requirements, and age of onset], other) and the profile of disease (duration of symptoms, acuity of onset, infectious prodrome, prior gastric scintigraphy or SmartPill testing, use of marijuana, use of opiates). A complete medication list will be included in the BRASH including doses and timing and whether they are to be taken on a scheduled or as needed basis.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			34 of 111

Patient Assessment of Gastrointestinal Disorders—Symptoms (PAGI-SYM) -

<u>Appendix C</u>

The PAGI-SYM will be completed by the patient at visits 1 and 2 and again at the 3 and 6 month follow up time points (visits 5 and 6). For this study, symptoms will be quantified by total GCSI scores, GCSI nausea/vomiting subscale scores, GCSI postprandial fullness/early satiety scores, GCSI bloating scores, and PAGI-SYM upper abdominal pain and discomfort scores.

Patient Assessment of Gastrointestinal Disorders—Quality of Life (PAGI-QOL)-Appendix D

The PAGI-QOL will be completed by the patient at visits 1 and 2, and at the scheduled 3 and 6 month follow up time point (visits 5 and 6).

Rome III Modules - Appendix F

The Rome III Modules will be completed by the patient at visit 1 <u>Visual Analog Scales (VAS) - Appendix G</u>:

The VAS will be completed by the patient at visits 1 and 2. During visit 2 subjects will complete a separate VAS after each set of images at 0, 1, 2 and 4 hours *Bristol Stool Form Scale Appendix H:*

The Bristol Stool Form Scale will be completed by the patient at visits 1 and 2.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
		Manalan	Dava
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			35 of 111

7.4 Screening- Assessment of eligibility (Visit 1)

After obtaining the consent, subjects will be assessed for eligibility to participate based on the inclusion and exclusion criteria.

Subject baseline condition will be assessed to include:

- Date of birth, gender, height, weight, BMI index, prior abdominal surgery, G.I symptoms, and reason for referral.
- General medical history will be assessed based on clinical condition categorized by category codes specified in the case report form
- Concomitant medications will be assessed to include name, frequency, dose and duration.
- Documentation of previous GI procedure
- Review of prior hemoglobin A1c levels to exclude values >10%
- Female subjects will be assessed for childbearing potential, and if they do have childbearing potential, they will undergo a urine pregnancy test at visit one and prior to the SPM and gastric scintigraphy procedure. If the test is positive, they will be excluded from the study. If the pregnancy test is negative, the subjects will be eligible to participate, but must agree to use medically accepted contraceptive methods, throughout the course of their study participation.
- Complete BRASH document symptom surveys, PAGI-SYM and PAGI-QOL questionnaires (see appendix B, C, D)
- Full ROME III along with Rome III criteria worksheet (see appendix F)

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			36 of 111

 Diabetic patients will have blood drawn to provide an updated measure of hemoglobin A1c.

Wash Out Period

- 1. Subjects will be instructed to discontinue agents that affect intragastric acidity including proton pump inhibitors (omeprazole, lansoprazole, esomeprazole, pantoprazole, rabeprazole) for 7 days prior to study including the day of SPM ingestion, histamine₂ receptor antagonists (cimetidine, ranitidine, famotidine, nizatidine) for 3 days prior to study including the day of SPM ingestion, and antacids (containing magnesium, aluminum, or calcium carbonate) for 1 day prior to study including the day of SPM ingestion. Several medications with the potential to alter gut motility will be discontinued for 3 days prior to study including the day of SPM ingestion including prokinetic agents (metoclopramide, domperidone, erythromycin, azithromycin, bethanechol, pyridostigmine), narcotic analgesics (codeine, hydrocodone, oxycodone, methadone, fentanyl, etc.), anticholinergic agents (dicyclomine, hyoscyamine, scopolamine), and cannabinoids (dronabinol, marijuana).
- Patients will stop treatments for constipation at least 3 days (a full 72 hours) prior to Day 1, Ingestion Day and continuing for the duration of the monitoring period (4 to 7 days). These treatments include enemas, cathartics, PEG solutions (including Miralax, Enulose).

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			37 of 111

3. Patients may take medications to help stimulate a bowel movement with either milk of magnesia, 2.4 to 4.8 grams (30-60 ml), or magnesium citrate, 11 to 25 grams daily in 1 or more doses, up to 48 hours prior to SmartPill ingestion.

7.5 SmartPill Testing and Gastric Scintigraphy (Visit 2)

The following protocol will be followed on the day of study:

- The subject will report to the study center after overnight fasting and will be asked to void his/her bladder prior to study.
- Women of childbearing potential will undergo urine pregnancy testing to exclude pregnancy.
- Diabetic patients will undergo finger stick testing of blood glucose. If this value exceeds 270 mg/dl, the study will be postponed and rescheduled.
- Prior to testing, the subject will complete the PAGI-SYM, and the PAGI-QOL (appendices C,D respectively)
- The SmartPill capsule will be activated and calibrated.
- Radiolabelled EggBeaters sandwich will be prepared by study team containing egg substitute (120 grams Egg Beaters®), 2 slices of bread, 30 grams strawberry jam (255 kcal, 72% carbohydrates, 24% protein, 2% fat, 2% fiber). To prepare the meal, the Egg Beaters® will be poured into a bowl, sprinkled with 0.5-1 mCi 99mTc-sulfur colloid marker, mixed, and cooked in a microwave oven with stirring until the mixture achieves the consistency of an omelet. Jam will be

CONFIDENTIAL



Expanding the scope o. CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			38 of 111

spread on the bread and a sandwich will be made using the bread and cooked Egg Beaters®.

- The subject will then consume the radiolabelled EggBeaters sandwich with 70 ml water within 20 minutes.
- Immediately after meal completion, the subject will swallow the SPM capsule with 50 ml water. Additional water may be provided if necessary to swallow the SPM capsule.
- One minute anterior and posterior images will be obtained in the ^{99m}Tc window (140 keV<u>+</u>10%) with the patient sitting or standing immediately after meal completion and at 1, 2, and 4, hours afterwards. Additional GES scans will be allowed according to site standard of care. Results of 0, 1, 2 and 4 scans will be collected and recorded for this study.
- Subjects will complete a separate VAS (appendix G) after each set of images at 0, 1, 2, and 4 hours.
- During free time in the 4 hour scintigraphy study, subjects also will complete the Bristol Stool Form Scale (appendix H)
- During the initial 6 hours after capsule ingestion, the subject will be permitted to sit, stand, or walk in close proximity to the nuclear medicine department and gastroenterology laboratory. The subject will not be permitted to sleep to prevent its inhibitory effects on gut motor function. After 6 hours, the subject will be discharged from the study site.
- The subject will be asked to continue to avoid solid food intake until 8 hours after capsule ingestion. Then, the subject will be instructed to consume 240 ml

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

MA-501



CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			39 of 111

Ensure® (1 can supplied by clinical site) and one hour later can resume a normal diet. Fifty ml of water will be permitted every hour after completion of the 4 hour scintigraphy period up to 9 hours after capsule ingestion if desired by the subject; liquid intake will be unrestricted thereafter.

- The subject will be instructed to maintain a diary of times of meal consumption, bowel movements, and sleep. An event marker on the receiver will be depressed for each diary entry. The subject will be told to maintain the receiver in close proximity at all times over the next 5 to 7 days to ensure adequate signal acquisition from the SPM capsule. After each bowel movement, the subject will be asked not to flush to toilet for 5 minutes to ensure that accurate temperature measurements can be obtained by the SPM capsule after evacuation.
- The subject will be given contact information and instructed to notify the site investigator if he/she experiences abdominal pain, nausea, vomiting, fever, or rectal bleeding prior to the follow-up visit.
- The subject will be scheduled for the follow-up visit 5-7 days after the study visit performed either on study clinic or by phone.

Diabetic patients on insulin will be instructed to use half of their normal long-acting dose the morning prior to testing and to refrain from use of short-acting insulin until directed by the site investigator. Finger stick glucose levels will be obtained prior to meal ingestion and 4 hours after consuming the Egg Beaters® meal. Hypoglycemia (glucose <70 mg/dl) or hyperglycemia (glucose >270 mg/dl) will be treated in accordance with the usual practice for each subject and recommended by his/her personal care provider.

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			40 of 111

7.6 Follow up visit (Visit 3)

The subject will return to the study site, or contacted by phone, for a follow-up visit 5+2 days after Visit 2. At that time, the subject will turn in the receiver and completed diary forms. In case the visit performed by phone receiver will be sent to study site by Fedex. The subject will confirm if capsule passage was visualized during defecation. The SmartPill receiver will be examined for the presence of a signal from the capsule. If no signal is detected, the subject can be discharged. If a capsule signal is detected with a pH >4.5 and the subject in not on a proton pump inhibitor, this suggests the capsule has passed into the small intestine or colon. In this instance, the subject can be discharged. If a capsule signal is detected at 5-7 days after capsule ingestion and shows a pH <4.5 suggestive of gastric retention in the stomach, the subject will be prescribed erythromycin liquid suspension to be taken at a dose of 250 mg orally before meals and at bedtime for the next 7-9 days (if not allergic). In case of patient allergic to Erythromycin patient will be followed up per instructions in visit 4.

7.7 Follow up visit (Visit 4)

All subjects return to the study site between day 14 to day 28, from capsule ingestion, to receive their patient management plans. Subjects who did not report or the MotiliGI data does not demonstrate capsule expulsion will receive an abdominal plain radiograph to assess for capsule retention according to PI discretion. If the radiography shows capsule retention, or if the subject reports symptoms or exhibits signs of mechanical

CONFIDENTIAL



CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			41 of 111

obstruction, the site Principal Investigator or another qualified gastroenterologist will perform a comprehensive evaluation and suggest appropriate management.

Management Plan

Between day 5 and days 14 to 28 the PI completes patient management plan based first on one motility test blinded to the second test and then independently based on second test but not blinded to the first test result. The PI will alternate between the conventional test result and SmartPill test result for bases of initial blinded patient management plan with each successive patient. The PI will then develop a third patient management plan based on both test results. This plan will be presented to the patient at the follow-up visit (visit 4).

The PI will select a patient management plan from a maximum of two of six categories listed below consistent with guidelines described in section 9.2.

- 1. Prokinetic
- 2. Antiemetic
- 3. Neuromodulator
- 4. Laxative
- 5. Enteral feedings
- 6. Surgery
- 7. Is additional diagnostic testing required? Yes___ No___

Management of test results data to assure blinding of PI to test results

The Scintigraphy test results (delayed or normal emptying) and the SmartPill GDF file will be supplied to the sponsor Data Management (DM) team within a timely manner of

CONFIDENTIAL

MA	<mark>-50</mark> ′
41	



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version	Page
Clinical Investigation Plan- MA-501	10000033002	5	42 of 111

SPM test completion. The sponsor DM team will provide the SmartPill data along with the patient study code (initials and number) to a central reader for data extraction. Upon receiving the SPM results from the central reader the sponsor DM team will then provide both test results (SPM &GES) to the site PI per the order described above assuring the second test result is not available to the PI until the management plan is complete based on the first test result. All above procedures should take place in a timely manner in order to assure 3 management plans ready by patient visit 4 scheduled visit, 14-28 days from capsule ingestion.

7.8 3 month follow-up (visit 5)

At 3 months <u>+</u>7 days after visit 4 each site will conduct a follow up interview with their patients. Patients may be called or return to their respective clinical study sites to report their current GI symptom profiles using the survey instruments (PAGI-SYM, PAGI-QOL, forms appendices C, D,). The prior three month patient history will be documented including additional diagnostic tests for GI symptoms, emergency room visits, GI specialist visits and medication use. Data will be confirmed where possible with patient medical records.

7.9 6 Month Follow UP (Visit 6)

At 6 months <u>+</u>7 days after visit 4 each site will conduct a follow up interview with their patients. Patients may be called or will return to their respective clinical study sites and report current GI symptom profiles using the survey instruments (PAGI-SYM, PAGI-

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			43 of 111

QOL, forms appendices C, D). The prior three month patient history will be documented including additional diagnostic tests for GI symptoms, emergency room visits, GI specialist visits and medication use. Data will be confirmed where possible with patient medical records.

An Economic Analysis survey (appendix I) will be filled by study team, if applicable)

7.10 Data organization and shipment

For each patient the following information will be sent to the sponsor:

- a. Completed CRF
- b. Completed BRASH
- c. 4 completed PAGI-SYM
- d. 4 completed PAGI-QOL
- f. 1 completed ROME III modules
- g. 5 completed VAS
- h. 2 Bristol stool form indexes
- i. 3 patient management plans (1 from visit #1 and 3 from visit #4)
- j. SPM raw data (.GDF files)
- k. SPM report
- I. Gastric scintigraphy report
- m. Economic Analysis survey, if applicable

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			44 of 111

All data recorded on the eCRF will be supported by a source document

8. Assesments of the SPM and Gastric Scintigraphy systems

This is a non-randomized prospective study of 275 patients with symptoms of gastroparesis designed to assess the agreement between SmartPill and the predicate, gastric emptying scintigraphy (% retention at 4 hours), through characterization of the device agreement for delayed versus normal gastric emptying. As part of the review of collected data, all quantification of SPM results will be performed by central readers and scintigraphic gastric emptying results will be performed by radiologists at each study site. The principal investigators will create initial patient management plans based on the results of either the scintigraphy or SPM test while blinded to the alternate test result. The test the PI is blinded to will alternate with each successive patient and be managed by the sponsor DM team.

The following parameters will be measured;

8.1 Assessment of efficay SmartPill

Several parameters will be quantified for this study:

<u>SPM gastric emptying time (GET)</u> will be calculated from the time the capsule is ingested to the time it passes into the duodenum. Capsule passage into the duodenum is defined when pH abruptly rises ≥ 2 units from the lowest postprandial value to ≥ 4 and does not decrease to <4 for >10 minutes at any subsequent time.

CONFIDENTIAL



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			45 of 111

<u>Small intestine and colon transit values</u>, for secondary outcomes, will be calculated. Ileocecal capsule passage is defined when pH decreases \geq 1.0 unit at least 30 minutes after gastric capsule evacuation; such a pH decrease has been observed in >95% of patients in the recent colon transit validation trial (19). Small intestine transit will be calculated from the time of duodenal entry to the time of ileocecal passage. Anal capsule expulsion is determined by abrupt 0.045°F/second decreases. Colon transit will be calculated from the time of ileocecal passage to anal expulsion as described previously (20). In cases where ileocecal passage cannot be detected secondary to a lack of a well-defined \geq 1 pH unit decrease, combined small intestine-colon transit will be calculated.

<u>Whole gut transit times</u> will be calculated from the time of capsule ingestion to the time of anal expulsion.

<u>Two validated pressure parameters</u> will be calculated for this study (21). Numbers of contractions >10mmHg in the stomach and small bowel and >25 mmHg in the colon amplitude will be standardized to contractions per minute and per 15 minutes respectively to compare recording periods of different length. Areas under pressure curves (AUC) >10mmHg in the stomach and small bowel per minute and >25 mmHg per 15 minutes in the colon will be calculated in units of mmHg x minutes.

Gastric Scintigraphy

Scintigraphic images will be acquired after meal consumption and then at 1, 2 and 4 hour intervals. For scintigraphic testing, the subject will be placed in front of a gamma camera and 1 minute anterior and 1 minute posterior images will be taken in the 140 CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			46 of 111

keV 99m Tc peak with a 20% window (140 keV ± 10%). Regions of interest will be drawn around gastric images for each time frame. Geometric means will be calculated as square roots of the product of the counts measured on the anterior and posterior images.

8.2 Assessment of Saftey

Safety parameters

To monitor safety, subjects will be asked at each visit and during telephone contacts about changes in their medical conditions. Adverse events should be assessed in terms of their seriousness, duration, intensity, and relationship to the study device. All anticipated and unanticipated adverse events will be collected. Subjects will be able to contact the investigator at any time during the study if they note any change in their medical condition. The outcome of each adverse event will be observed and documented.

8.3 Adverse Events Definitions and Reporting Requirements

An adverse event (AE) is any complaint or untoward medical occurrence that is an unintended disease or injury or untoward clinical sign (including abnormal laboratory findings) in a subject, users or other persons, whether or not related to the investigational medical device. AEs are non device related, non serious medical occurrences.

A Serious adverse event (SAE) is an untoward medical occurrence in a subject that is not related to the investigational device, comparator, or trial procedures, but that meet the criteria of "serious. A serious Adverse event is one that:

CONFIDENTIAL



CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			47 of 111

- Led to a death
- Led to a serious deterioration in the health of the subject that:
 - resulted a life-threatening illness or injury;
 - resulted in permanent impairment of a body structure or body function and required inpatient hospitalization or prolongation of existing hospitalization;
 - resulted in medical or surgical intervention to prevent life threatening illness or permanent impairment to body structure or body function.
- Led to fetal distress, fetal death, congenital abnormality or birth defect.

An Adverse Device Effect (ADE) is an occurrence related to or caused by the investigational device, procedure or comparator that is not serious.

A Serious Adverse Device Effect (SADE) is an adverse device effect, comparator, or procedure that has resulted in any of the consequences characteristic of a serious adverse event and is serious, but is not unanticipated.

An Unanticipated Serious Adverse Device Effect (USADE) (also called an unanticipated device effect in per 21 CFR Part 812) is any medical occurrence or unintended disease or injury or serious adverse effect (including abnormal laboratory findings) on health or safety or any life-threatening problem or death caused by, or associated with, a device, if that effect, problem, or death was not previously identified in nature, severity, or degree of incidence in the investigational plan or application, or any other unanticipated serious problem associated with a device that relates to the rights, safety, or welfare of subject.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			48 of 111	

A device deficiency is an inadequacy of a medical device with respect to its identity, quality, durability, reliability, safety and performance.

Adverse events will be collected and documented until the end of CE procedure follow up period, which will be considered to be the end of the study.

All adverse events will be graded as follows:

Mild: Sign or symptom, usually transient, requiring no special treatment and generally not interfering with usual activities.

<u>Moderate</u>: Sign or symptom, which may be ameliorated by simple therapeutic measures, may interfere with usual activity.

<u>Severe</u>: Sign or symptom that are intense or debilitating and that interfere with usual activities. Recovery is usually aided by therapeutic measures and the discontinuation of the study device may be required.

The relationship of the adverse event to the study is defined as follows:

Definitely: An adverse event was shown to be related to the study device

<u>Probably</u>: An adverse event has a strong temporal relationship to study device, and another etiology is unlikely or significantly less likely.

<u>Possibly</u>: An adverse event has a strong temporal relationship to the study device, and an alternative etiology is equally or less likely compared to the potential relationship to study device.

<u>Probably not</u>: An adverse event has little or no temporal relationship to the study device and/or a more likely alternative etiology exists.

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			49 of 111

<u>Unrelated</u>: An adverse event has no temporal relationship to study device or has a much more likely alternative etiology.

8.4 Anticipated adverse events reactions associated with SPM ans Gastric Scintigraphy

The potential risks of this study include those related to the SmartPill capsule, those occurring during gastric scintigraphy, and those related to radiation exposure from abdominal radiography.

Capsule Retention: The risk of retention of the SmartPill capsule is minimal. Other FDA released medical capsule devices such as the Given PillCam and the Heidelberg pH capsule are similar in size and shape and are considered to pose similar risk. In 435 healthy and 443 non-healthy individuals who ingested the Heidelberg pH capsule, there were no complications in the healthy subjects and one complication in a non-healthy subject with pyloric stenosis (29). In this individual, the retained capsule in the stomach was retrieved using upper endoscopy. More than 1,500,000 capsule endoscopies have been performed since Given Imaging introduced the first ingestible video capsule for human use in 2000. Today, capsule endoscopy is considered to be well tolerated and safe. Capsule retention has not been reported in normal volunteers or patients with diverticulosis and no history of diverticulitis (30). Less than 1% of patients with localized diseases develop capsule retention (31, 32). However, the risk of capsule retention may be as high as 13% in patients with a history of Crohn's disease and also is reportedly increased in individuals on chronic use of non-steroidal anti-inflammatory drugs (33, 34).

CONFIDENTIAL



capanising the scope of	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			50 of 111	

Thirty one potential adverse events were logged in shipments of 8451 SmartPill capsules including twenty eight for prolonged retention (>5days). Two of the remaining events involved retention of SPM in the esophagus and one incident involved an episode of vomiting after capsule ingestion. Retention in the colon accounted for seventeen of the twenty-eight incidents of prolonged retention, three incidents involved retention in the small bowel and the capsule was retained in the stomach in the remaining eight subjects. Subjects with prolonged retention in colon all resolved without intervention beyond laxative therapy. One small bowel retention, resolved with administration of bowel prep, resulted in detection of a malignancy prompting surgery to remove a previously undetected tumor that had created a stricture in the small bowel. The second small bowel retention passed without incident. A third incident of small bowel retention required surgery for resolution and also resulted in detection of a previously undetected tumor. Endoscopic intervention was used to retrieve the capsule in seven of the eight cases of gastric retention and prokinetic therapy was used to stimulate peristalsis and move the capsule out of the stomach into the small bowel in the remaining case where it then passed naturally from the body on its own. In the two incidents of capsule retention in the esophagus patients reported no difficulty breathing and the capsules were removed with routine endoscopy without further incident. In summary, there were 31 potential adverse events out of shipments of 8451 capsules for a potential adverse event rate of 0.4% with intervention beyond laxatives required in 11 cases or 0.1%.

CONFIDENTIAL



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			51 of 111

In three prior multicenter clinical studies involving administration of SmartPill to 175 healthy subjects, 59 subjects with gastroparesis, and 260 subjects with chronic constipation, one adverse event involving capsule retention in the stomach in a gastroparetic subject was reported. The capsule emptied from the stomach after IV erythromycin administration and passed normally. In this study, subjects will be instructed to examine their stools for evidence of capsule passage. Capsule excretion verification will be performed as described in sections number 7.6 and 7.7. Other Capsule Risks: Other potential adverse events considered in prior clinical trials of the SmartPill capsule included nausea, vomiting, aspiration of the capsule or test meal, abdominal pain, diarrhea or constipation. Technical issues that can occur include premature battery termination (failure) of either the capsule or receiver, signal loss within 6 hours or before the capsule emptying from the stomach, signal loss after 6 hours but before 48 hours, loss of capsular structural integrity, and failures to receiver or capsule electronics. In previous studies with adult subjects (N=326), 28 adverse events were reported: 19 were not device related, 7 were probably not device related, 1 was possibly related (substernal pain), and 1 was definitely related (19, 20). In this individual, the capsule failed to exit the stomach 9 days after its ingestion secondary to entrapment in a bulking agent consumed by the subject. Capsule evacuation from the stomach was effected within 24 hours by administration of the prokinetic agent erythromycin as described above; no endoscopic or surgical intervention was required for this related adverse event.

Scintigraphy Risks: The risks associated with gastric scintigraphy include radiation exposure and allergy to the test meal components. The total effective radiation dose

CONFIDENTIAL



expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			52 of 111	

equivalent for gastric scintigraphy is <200 millirem. The organ with the greatest exposure (860 millirem) during testing is the upper small intestine. This degree of radiation exposure is less than the yearly background exposure received by a typical resident of the United States. The risks of allergic reaction to the egg substitute, bread, and jam are slight. Any subject with such an allergy will be prohibited from study participation.

Radiography Risk: Those individuals undergoing abdominal radiography to confirm capsule expulsion will be exposed to a whole body radiation dose of 127 millirem. This value is less than the yearly background exposure received by a typical resident of the United States.

An unanticipated Adverse Event, which, based on the investigator's judgment, is device related should be notified to Given Imaging within 24 hours after the investigator is made aware of the event.

A subject will be followed up after an occurrence of an adverse event until the resolution of the event and/or the investigator's decision.

Recording and documentation of adverse events

Every adverse event should be recorded in the case report form. The following data must be documented:

- Type of event
- Subject number
- Time of occurrence: date, time

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			53 of 111	

- Time of resolution: date, time
- Severity degree: mild / moderate / severe / unknown
- Relationship to study device: probable/possible/unlikely/not related

Measures taken

• Outcome of event: unchanged / worsened / improved / resolved / unknown / death

Reporting responsibilities:

• An investigator shall submit to the sponsor and to the reviewing IRB a report of any unanticipated serious adverse events, adverse device effect and device deficiencies that could have led to a serious adverse device effect occurring during an investigation within 24 hours of learning of the event, but in no event later than 10 working days after the investigator first learns of the effect.

• A sponsor who conducts an evaluation of an unanticipated adverse device effect under 812.46(b) shall report the results of such evaluation to FDA and to all reviewing IRB's and participating investigators within 10 working days after the sponsor first receives notice of the effect. Thereafter the sponsor shall submit such additional reports concerning the effect as FDA requests. (21 CFR Part 812.150)

8.5 Device Deficiency

A device deficiency is an inadequacy of a medical device with respect to its identity, quality, durability, reliability, safety and performance. Given Imaging Customer service is responsible to manage and document all device deficiencies related to the identity, CONFIDENTIAL



	CONFIDENTIAL				
Document title :	Document No.	Version	Page		
	10000033062	3			
Clinical Investigation Plan- MA-501			54 of 111		

quality, durability, reliability safety or performance of an investigational medical device throughout the clinical investigation

9. <u>Statistical consideration</u>

9.1 Determination of Sample size

In that accurate assessment of π_{PPA} and π_{NPA} is of utmost importance in this study, the sample size of 275 is based on the expected precision associated with our estimates expressed in terms of confidence interval width and 10% additional patients in order to compensate for 1 interim analysis performed. It is expected that 10% of data will be lost to follow-up or not evaluable resulting in an effective sample size of approximately 248. The precision associated with each estimate is a function of the true unknown value of the parameter being estimated, and the percentage of delayed diagnoses by the predicate in the case of positive percent agreement and the percentage of negative diagnoses by the predicate in the case of negative percent agreement. For the purpose of our calculations of the expected confidence interval half width, it is assumed that 40% of patients will be delayed by the predicate. A range of possible scenarios for the true value of the parameter were considered for π_{PPA} and π_{NPA} and calculations of the expected interval half width appear in the table below:

True value	50%	60%	70%	80%	90%
PPA	10.3	10.1	9.5	8.3	6.2

CONFIDENTIAL



Document title : Doc		
	cument No. Version 00033062 3	Page
Clinical Investigation Plan- MA-501		55 of 111

NPA	8.4	8.3	7.7	6.7	5.1

Note that a true value for π_{PPA} or π_{NPA} of 50% represents the worst-case scenario with regards to precision and therefore may be taken as an upper bound of expected accuracy. Calculations reveal that both confidence intervals will have a half width of at most 9.8

9.2 Interim Analysis

Upon enrollment of 150 subjects an intermediate analysis for primary end point will be performed

9.3 Description of statistical methods

Any deviation from specified statistical plan will be in addition to "per protocol" analysis and will be reported as such. Post-hoc analysis will be conducted according to the existing data gathered, if necessary

Continuous variables will be summarized using tables of descriptive statistics: number of patients with recorded observations, mean, standard deviation, median, minimum, and maximum. Categorical variables will be summarized using counts and percentages. Descriptive statistics will be presented by diagnosis and clinical center. Diagnostic outcome will be tabulated and compared for SPM and conventional test and percentage gain determined. Frequency of patient management change resulting from

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			56 of 111	

SPM test relative to conventional test will be recorded for categories of: therapy, elimination of diagnostic testing, diet and surgery. Parameters ascribed to the safety of patients will be summarized by diagnosis and clinical center. No method of imputation will be used for missing data. All available data from patients who fail to complete this study will be included in all safety summaries. A summary of missing data will be provided according to the number of subjects, the time points where the data are missing and clinical center. For each clinical center, number and percent of subjects with no missing data will be presented in tabular form.

Primary Analyses

Since a clearly defined universally accepted physiological definition of disease in this population does not exist, the diagnostic test under evaluation (SPM GET) will be compared to a non-reference standard test method, the percent retention of a radiolabelled solid meal at 4 hours on gastric scintiscanning. Device agreement will be examined through use of the positive percent agreement (PPA) and negative percent agreement (NPA). (38), the Statistical Evaluation of Medical Tests for Classification and

Prediction. Oxford University Press Inc, New York. Let π_{PPA} represent the true positive percent agreement defined as the probability of a positive SPM test result given the

non-reference is positive and let π_{NPA} represent the probability of a negative SPM test result given the non-reference is negative. The primary analyses of this trial is estimation of these parameters in order to assess the equivalence between the diagnostic test under evaluation and the non-reference standard. Maximum likelihood

estimates of $\pi_{\it PPA}$ and $\pi_{\it NPA}$ will be computed based on collected data i.e., conditional CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

MA-501



expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			57 of 111

relative frequencies in addition to corresponding 95% confidence intervals based on the methodology of Clopper and Pearson (28). Additional measures such as estimates of the overall percent agreement and Cohen's kappa will be calculated.

Secondary Analyses

1. The correlation between diagnoses of profound gastric retention on scintigraphy (>35% at 4 hours) with severe prolongation of SPM GET (>8 hours) and impaired contractility will be examined using relative frequencies. Ninety-five percent confidence intervals will also be provided.

2. Total GCSI scores as well as GCSI nausea/vomiting, GCSI postprandial fullness/early satiety, and GCSI bloating subscale scores, PAGI-SYM upper abdominal pain scores and PAGI-QOL scores will be correlated with SPM GET, SPM SBTT, SPM CTT scintigraphic gastric retention at 4 hours and SPM gastroduodenal and fed response contractility profiles. Since validity of the standard confidence interval corresponding to the Pearson correlation requires distributional assumption which will not be met based on the nature of the data to be collected, bootstrap methodologies will be utilized alternatively to construct said intervals.

3. The relative frequency will be computed for SPM transit and contractility results that provide diagnostic gain (additional abnormal motility findings) compared to conventional test results. A corresponding 95 percent confidence interval will also be provided.

4. The percent of changes to patient management plans will be estimated.

Frequencies of the types of changes will be summarize and presented in tabular form.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			58 of 111

Primary Safety Stopping Rule

A decision for discontinuation of the study may be made in consultation with the investigator if more than 5 patients require a gastroenterologist consultation for evaluation and removal of a retained capsule within the gastrointestinal tract.

9.4 Adverse Events

Individual listings of adverse events including type of device, age, weight, height, gender, adverse events (reported term), start, duration, relationship to device and severity will be provided

10. <u>Suspension or premature termination</u>

The study can be terminated following unforeseen events or other aspects that do not permit continuation of the study. Given Imaging and/or local ethics committee and/or regulatory authority can decide whether the study is to be terminated. The appropriate ethics committees will be notified of discontinuation of the trial for any reason no later than 5 working days after the sponsor makes this determination and no later than 15 working days after the sponsor receives a notice from the ethics committee and/or regulatory authority.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			59 of 111

11. Data collection and quality control

11.1 Data collection

It is the responsibility of the investigator to ensure the completeness and accuracy of the case report forms. One case report form must exist for each subject participating in the study. Each clinical site may receive validated electronic case report form (eCRF) software for electronic capture of data. The CRA will maintain eCRF validation records or provide access to them. Electronic case report form entries will be user-identifiable and will include an audit trail. Erroneous values and/or text must not be obliterated. Instead, the error must be crossed out with a single line in black ink, the correct value/text added, and the correction signed, initialized and dated by the clinical coordinator.

Once CRFs have been collected by the study monitor no changes should be made to the CRFs. In case that corrections are required due to Illogical data, missing data / empty fields, misspellings, contradictory data or other reasons, the data analysis team will generate a query on designated forms and sent it to the CRA for resolution.

As the queries are resolved:

the study coordinator correct the CRF at the site and send the corrected CRF's to the data analysis team or return sign and complete data query sheet back to the data analysis team for data entry.

All CRF's will be verified against source data by a dedicated study monitor.

11.2 Archiving

All source documents and case report forms will be kept for a period of no less than five years after the later of the following dates: the date of which the study is terminated or

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version	Page
Clinical Investigation Plan- MA-501	1000003002	5	60 of 111

completed or; the date that the records are no longer required supporting marketing applications.

11.3 Monitoring Plan

The study will be monitored in accordance with the site recruitment rate for data verification and data collection.

12. <u>Ethical and legal aspectes</u>

12.1 Independent Ethics Committee (IEC)/ Institutional Review Board (IRB)

Documented approval from appropriate Ethics Committee will be obtained prior to study start, according to ICH GCP, local laws, regulations and organization. When necessary, an extension, amendment or renewal of the Ethics Committee approval must be obtained. The Ethics Committees must supply to the sponsor, a list of the Ethics Committee membership and a statement to confirm that the Ethics Committee is organized and operates according to GCP and applicable laws and regulations.

12.2 Ethical conduct of the study

This clinical investigation shall not begin until the required approval/ favorable opinion from the IRB or regulatory authority have been obtained. The procedures set out in this study protocol, pertaining to the conduct, evaluation, and documentation of this study, are designed to ensure that the sponsor and investigator abide by Good Clinical Practice Guidelines (GCP in the appropriate current version) and that this clinical investigation will be conducted in accordance with the ethical principles that have their CONFIDENTIAL



expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			61 of 111

origin in the Declaration of Helsinki. The study will also be carried out in keeping with ISO 14155 and applicable local law(s) and regulation(s). This may include an inspection by Given Imaging representatives and/or Regulatory Authority representatives at any time. The investigator must agree to the inspection of study-related records by the Regulatory Authority/Given Imaging representatives, and must allow direct access to source documents to the Regulatory Authority/ Given Imaging representatives. Regulatory Authority approvals/ authorizations/ notifications, where required, will also be in place and fully documented prior to study start. Furthermore, any additional requirements imposed by the IRB or regulatory authority

shall be followed.

12.3 Amendments and Deviations from clinical investigation plan

- Protocol changes will be approved by the sponsor, investigator/s and ethical committee before change is implemented in the study.
- The investigator is not allowed to deviate from the CIP, except for sponsored approved deviations and under emergency circumstances and deviations to protect the rights, safety and well-being of human subjects
- Deviations, deviations for emergency use, and violations will be analyzed by the sponsor and their significance assessed and reported by the sponsor to competent authority.
- Deviation for emergency use for non-significant risk study will be reported to IRB within five days or as required by national law

CONFIDENTIAL



CONFIDENTIAL			
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			62 of 111

Corrective and preventive actions and PI disqualification criteria: as per section
 2.3 of the study investigator agreement

12.4 Subject Information and Consent

A core information and consent form will be provided. Prior to the beginning of the trial, the investigator must have the Ethics Committee written approval/favorable opinion of the written informed consent form and any other written information to be provided to subjects. The written approval of the Ethics Committee together with the approved subject information/informed consent forms must be filed in the study files.

The process of obtaining informed consent must be in accordance with applicable regulatory requirement(s), and must adhere to GCP and to the ethical principles originating in the Declaration of Helsinki. Written informed consent must be obtained before any study specific procedure takes place. Participation in the trial and date of informed consent given by the subject should be documented appropriately in the subject files.

12.5 Insurance

All subjects participating in the trial will have insurance coverage by the Sponsor, which is in line with applicable local laws

12.6 Confidentiality

All records identifying the subject will be kept confidential and, to the extent permitted by the applicable laws and/or regulations, will not be made publicly available.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			63 of 111

Subject names will be kept confidential. Only the subject number and initials will be recorded in the case report form, and if the subject name appears on any other document (e.g. GES report), it must be obliterated. In cases where the local low does not allow using the subject initials serial number will be appointed (e.g. AAA, BBB). Study findings stored on a computer will be stored in accordance with local data protection laws. The subjects will be informed in writing that representatives of the sponsor, IEC or Regulatory Authorities may inspect their medical records to verify the information collected, and that all personal information made available for inspection laws. Subjects will also be informed that information regarding the study that does not include patient identifiers will be posted on clinicaltrials.gov.

If the results of the trial are published, the subject's identity will remain confidential. The investigator will maintain a list to enable subjects' records to be identified.

12.7 Use of Data and Publications

All data and results and all intellectual property rights in the data and results derived from the study will be the property of Given Imaging, who may utilize the data in various ways, such as for submission to government regulatory authorities or disclosure to other investigators, educational, further product development and marketing uses. The investigator acknowledges that the system tested in the study, the Given SmartPill Motility Monitoring System is a product available commercially in the United States. The investigator, while free to utilize data derived from the study for scientific purposes, must discuss any publication with the sponsor prior to release and obtain written

CONFIDENTIAL



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			64 of 111

consent of the sponsor on the intended publication. The sponsor recognizes the right of the investigator to publish the results upon completion of the study. However, the investigator must send a draft manuscript of the publication or abstract to the sponsor forty-five days in advance of submission in order to obtain approval prior to submission of the final version for publication. This will be reviewed promptly and approval will not be withheld unreasonably. In case of a difference of opinion between the sponsor and the investigator(s), the contents of the publication will be discussed in order to find a solution which satisfies both parties.

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			65 of 111

13. <u>References</u>

- 1) Abell TL, Bernstein RK, Cutts T, et al. Treatment of gastroparesis: a multidisciplinary clinical review. Neurogastroenterol Motil 2006; 18: 263-283.
- Gastroparesis Clinical Research Consortium. Clinical features of patients with idiopathic gastroparesis and relationship to gender, body weight, and gastric emptying: analysis of 118 patients (abstract). Gastroenterology 2009; 136: W2045.
- Soykan, I, Sivri B, Saroseik I, et al. Demography, clinical characteristics, psychological and abuse profiles, treatment, and long-term follow-up of patients with gastroparesis. Dig Dis Sci 1998; 43: 2398-2404.
- Longstreth GF, Malagelada JR, Kelly KA. Metoclopramide stimulation of gastric motility and emptying in diabetic gastroparesis. Ann Intern Med 1977; 86: 195-196.
- 5) Koch KL, Stern RM, Stewart WR, Vasey MW. Gastric emptying and gastric myoelectrical activity in patients with diabetic gastroparesis: effect of long-term domperidone treatment. Am J Gastroenterol 1989; 84: 1069-1075.
- 6) Lin HC, Sanders SL, Gu YG, Doty JE. Erythromycin accelerates solid emptying at the expense of gastric sieving. Dig Dis Sci 1994; 39: 124-128.
- Gupta P, Rao SS. Attenuation of isolated pyloric pressure waves in gastroparesis in response to botulinum toxin injection: a case report. Gastrointest Endo 2002; 56: 770-772.

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			66 of 111

- Hasler WL. Methods of gastric electrical stimulation and pacing: a review of their benefits and mechanisms of action in gastroparesis and obesity. Neurogastroenterol Motil 2009; 21: 229-243.
- Lacy BE, Crowell MD, Schettler-Duncan A, et al. The treatment of diabetic gastroparesis with botulinum toxin injection of the pylorus. Diab Care 2004; 27: 2341-2347.
- Russo A, Stevens JE, Giles N, et al. Effect of the motilin agonist KC 11458 on gastric emptying in diabetic gastroparesis. Aliment Pharmacol Ther 2004; 20: 333-338.
- 11) Lehmann R, Honegger RA, Feinle C, et al. Glucose control is not improved by accelerating gastric emptying in patients with type 1 diabetes mellitus and gastroparesis. a pilot study with cisapride as a model drug. Exp Clin Endo Diab 2003; 111: 255-261.
- 12) House A, Champion MC, Chamberlain M. National survey of radionuclide gastric emptying studies. Can J Gastroenterol 1997; 11: 317-321.
- Tougas G, Eaker EY, Abell TL, et al. Assessment of gastric emptying using a low fat meal: establishment of international control values. Am J Gastroenterol 2000; 95: 1456-1462.
- 14) Abell TL, Camilleri M, Donohoe K, et al. Consensus recommendations for gastric emptying scintigraphy: a joint report of the American Neurogastroenterology and Motility Society and the Society of Nuclear Medicine. Am J Gastroenterol 2008; 103:753-763.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			67 of 111

- Abell TL, Camilleri M, Donohoe K, et al. Consensus recommendations for gastric emptying scintigraphy: a joint report of the American Neurogastroenterology and Motility Society and the Society of Nuclear Medicine. J Nucl Med Technol 2008; 36: 44-54.
- Braden B, Adams S, Duan LP, et al. The [¹³C] acetate breath test accurately reflects gastric emptying of liquids in both liquid and semisolid test meals.
 Gastroenterology 1995; 108: 1048-1055.
- Odunsi ST, Camilleri M, Szarka LA, Zinsmeister AR. Optimizing analysis of stable isotope breath tests to estimate gastric emptying of solids. Neurogastroenterol Motil 2009; 21: 706-e38.
- 18) Kuo B, McCallum RW, Koch KL, et al. Comparison of gastric emptying of a nondigestible capsule to a radio-labelled meal in healthy and gastroparetic subjects. Aliment Pharmacol Ther 2008; 27: 186-196.
- 19) Camilleri M, Thorne NK, Ringel Y, et al. Wireless pH and motility capsule for colonic transit: prospective comparison with radiopaque markers in chronic constipation. (Submitted to Am J Gastroenterol).
- 20) Rao SS, Kuo B, McCallum RW, et al. Investigation of wireless manometry capsule for colonic and whole gut transit: a comparative study with radioopaque markers in health and constipation. Clin Gastroenterol Hepatol 2009; 7: 537-544.
- Hasler WL, Saad RJ, Rao SS, et al. Heightened colon motor activity measured by a wireless capsule in patients with constipation: relation to colon transit and IBS. Am J Physiol—Gastrointest Liver Physiol. 2009; 297: G1107-G1114.



expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			68 of 111

- 22) Rentz AM, Kahrilas P, Stanghellini V, et al. Development and psychometric evaluation of the patient assessment of upper gastrointestinal symptom severity index (PAGI-SYM) in patients with upper gastrointestinal disorders. Qual Life Res 2004; 13: 1737-1749.
- 23) Revicki DA, Rentz AM, Dubois D, et al. Development and validation of a patientassessed gastroparesis symptom severity measure: the Gastroparesis Cardinal Symptom Index. Aliment Pharmacol Ther 2003; 18: 141-150.
- 24) De la Loge C, Trudeau E, Marquis P, et al. Cross-cultural development and validation of a self-administered questionnaire to assess quality of life in upper gastrointestinal disorders. Qual Life Res 2004; 13: 1751-1762.
- 25) Ware JE, Kosinski M, Keller SK. *SF-36*® *Physical and Mental Health Summary Scales: A User's Manual*. Boston, MA, The Health Institute, 1994.
- 26) Tack J, Talley NJ, Camilleri M, et al. Functional gastroduodenal disorders. Gastroenterology 2006; 130:1466-1479.
- 27) Longstreth GF, Thompson WG, Chey WD, et al. Functional bowel disorders.Gastroenterology 2006; 130:1480-1491.
- 28) Clopper CJ, Pearson ES. The use of confidence or fiducial limits illustrated in the case of the binomial. Biometrika 1934; 26: 404-413.
- Faegenburg D, Kryle LS, Kashiwaba H, et al. Intestinal obstruction causes by ingestion of a Heidelberg capsule: report of a case. Am J Gastroenterol 1985; 80: 787-789.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			69 of 111

- Raju GS, Gerson L, Das A, Lewis B. American Gastroenterological Association (AGA) Institute technical review on obscure gastrointestinal bleeding.
 Gastroenterology 2007; 133: 1697-1717.
- 31) Bankin JS, Friedman S. Wireless capsule endoscopy requiring surgical intervention: the world's experience. Am J Gastroenterol 2002; 97: S298.
- Sears DM, Avots-Avotins A, Culp K, Gavin MW. Frequency and clinical outcome of capsule retention during capsule endoscopy for GI bleeding of obscure origin.
 Gastrointest Endosc 2004; 60: 822-827.
- 33) Cheifetz AS, Kornbluth AA, Legnani P, et al. The risk of retention of the capsule endoscope in patients with known or suspected Crohn's disease. Am J Gastroenterol 2006; 101: 2218-2222.
- 34) Li F, Gurudu SR, De Petris G, et al. Retention of the capsule endoscope: a single-center experience of 1000 capsule endoscopy procedures. Gastrointest Endosc 2008; 68: 174-180.
- T. Abel. Consensus Recommendations for Gastic Emtpying Scintigraphy: A Joint Report of the American Nuerogastroenterology and Motility Society, AJG 2008; 103:753
- L. Kloetzer, B. Kuo. Motility of the antroduodenum in healthy and gastroparetics characterized by wireless motility capsule. Neurogastroenterology and Motility 2010; 21: 1-8
- 37) Pepe, M.S. (2003). The Statistical Evaluation of Medical Tests for Classification and Prediction. Oxford University Press Inc, New York.



Expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
Clinical Investigation Plan- MA-501	10000033062	3	70 of 111	

³⁸⁾ Lewis SJ, Heaton KW. Stool form scale as a useful guide to intestinal transit time. Scand J Gastroenterol 1997;32:920–924

39) Deniss A. Revicki et al. Reliablity and Validity of the gastrointestinal symptom rating scale in patinets with gastroesophageal reflux disease. Quality of Life Reasearch, 1998;7: 75-83

CONFIDENTIAL



Expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			71 of 111	

14. <u>Appendices</u>

Visit	Visit 1		Visit 2	Visit 3	Visit 4	Visit 5	Visit 6
	screening visit	Med Wash	SPM and GES	Return SPM receiver	Management plan	3 months follow up	6 months follow up
Days	Day (-14)-(-1)	Day (-7)-0	Day 0	Day 5+2	Day 14-28	90 <u>+</u> 7 after visit 4	180 <u>+</u> 7 after visit 4
Informed Consent ¹	X						
Assess Inclusion/ Exclusion criteria	x						
Urine pregnancy test	0		0				
Previous hemoglobin A1c levels	0						
Previous GI procedures	х						
BRASH	Х						
PAGI-SYM	х		х			х	х
PAGI-QOL	х		Х			х	x

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3



	CONFIDENTIAL				
Document title :	Document No.	Version	Page		
	10000033062	3			
Clinical Investigation Plan- MA-501			72 of 111		

Visit	Visit 1		Visit 2	Visit 3	Visit 4	Visit 5	Visit 6
	screening visit	Med Wash	SPM and GES	Return SPM receiver	Management plan	3 months follow up	6 months follow up
						90 <u>+</u> 7	180 <u>+</u> 7
						after	after
Days	Day (-14)-(-1)	Day (-7)-0	Day 0	Day 5+2	Day 14-28	visit 4	visit 4
ROME III MODULES	х						
VAS	x		X*				
Bristol Stool Form Scale	x		Х				
Patient manageme nt plan	x				X**		
blood drawn to provide hemoglobi n A1c measure	0						
finger stick testing of blood glucose			0				
SPM test			Х				
Gastric scintigraph y			Х				

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3



expanding the scope of	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			73 of 111

Visit	Visit 1		Visit 2	Visit 3	Visit 4	Visit 5	Visit 6
	screening visit	Med Wash	SPM and GES	Return SPM receiver	Management plan	3 months follow up	6 months follow up
Days	Day (-14)-(-1)	Day (-7)-0	Day 0	Day 5+2	Day 14-28	90 <u>+</u> 7 after visit 4	180 <u>+</u> 7 after visit 4
return receiver & diary				X			
Verify capsule excretion				Х	0		
abdominal plain radiograph					0		
prior three month patient history						Х	
prior six month patient history							х
Follow-up telephone call						х	x
Adverse events	0	0	0	0	0	0	0

1 Informed concent will be obtained prior to the conduct of any study procedure

O If applicable

*Subjects will complete a separate VAS after each set of images at 0, 1, 2 and 4 hours

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

MA-501	
73	

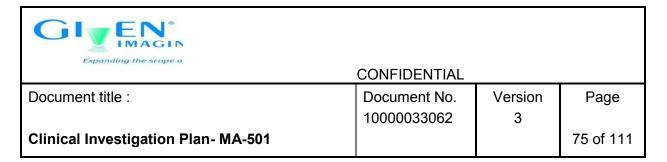


Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			74 of 111

**PI will complete 3 managemnet plans and present to the patinet the one based on both SPM and Scintigraphy

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Appendix B: BRIEF RAPID ASSESSMENT OF SUBJECT HISTORY (BRASH)

Query	Response
Subject ID number	
Date of study	
Age	
Gender	
Ethnicity	Hispanic Not Hispanic
Race	White
	Black/African-American
	Asian
	American Indian/Alaskan Native
	Hawaiian/Pacific Islander
	Refused
Height (inches)	
Weight (pounds)	
1. Is subject diabetic?	1. Yes-type 1 Yes-type 2 No
	2. Yes No
	3. Yes No

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			76 of 111

Query	Response
2. Is diabetes	4 years
etiologic (in opinion of	
investigator)?	
3. Does subject take	
insulin?	
4. Age of onset of	
diabetes?	
Are other diseases	Yes No Potential etiology
potentially etiologic?	
Duration of symptoms	Years Months
Was the onset of	Yoo No
symptoms acute?	Yes No
1. Was symptom	
onset preceded by an	1. Yes No
infectious prodrome?	
2. If so, characterize	2. Respiratory Upper GI Lower GI Other
the infection.	

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

MA-501	version 3	March 15, 2015
76		



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			77 of 111

Query	Response
Results of prior gastric	Delayed Normal Rapid Never done
scintigraphy	
Results of prior	
SmartPill gastric	Delayed Normal Rapid Never done
emptying time	
measurement	
Marijuana use	Yes If so, quantity
	No
Opiate use	Yes No
Medications	Drug Dose Scheduled vs. PRN
	1
	2
	3
	4
	5
	6
	7

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			78 of 111

Query	Response
	8
	9
	10
	11
	12
	13
	14
	15
	16
	17
	18
	19
	20

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			79 of 111

Appendix C: PATIENT ASSESSMENT OF UPPER GASTROINTESTINAL DISORDERS—SYMPTOMS (PAGI-SYM)

This questionnaire asks you about the severity of symptoms you may have related to your gastrointestinal problem. For each symptom, please circle the number that best describes how severe the symptom has been during the prior 2 weeks. If you have not experienced this symptom, circle 0. If the symptom has been very mild, circle 1. If the symptom has been mild, circle 2. If it has been moderate, circle 3. If it has been severe, circle 4. If it has been very severe, circle 5. There are no right or wrong answers. Please answer each question as accurately as possible. Please be sure to answer every question.

	None	Very Mild	Mild	Moderate	Severe	Very Severe
Nausea (feeling sick to your stomach as if you were going to vomit or throw up)	0	1	2	3	4	5
Retching (heaving as if to vomit, but nothing comes up)	0	1	2	3	4	5
Vomiting	0	1	2	3	4	5
Stomach fullness	0	1	2	3	4	5
Not able to finish a normal- sized meal	0	1	2	3	4	5

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL					
Document title :	Document No.	Version	Page			
	10000033062	3				
Clinical Investigation Plan- MA-501			80 of 111			

	None	Very	Mild	Moderate	Severe	Very
		Mild				Severe
Feeling excessively full after	0	1	2	3	4	5
meals						
Loss of appetite	0	1	2	3	4	5
Bloating (feeling like you	0	4		2	4	
need to loosen your clothes)	0	1	2	3	4	5
Stomach or belly visibly	0	1	2	3	4	5
larger	Ū		_			Ū
Upper abdominal pain	0	1	2	3	4	5
(above the Navel)	0	I	2	5	т	5
Upper abdominal discomfort	0	1	2	3	4	5
(above the navel)	•					
Lower abdominal pain	0	1	2	3	4	5
(below the navel)	0	·	_	Ū		Ū
Lower abdominal discomfort	0	1	2	3	4	5
(below the navel)	J	I			т	
Heartburn during the day						
(burning pain rising in your	0	1	2	3	4	5
chest or throat)						

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			81 of 111

	None	Very Mild	Mild	Moderate	Severe	Very Severe
		INITIC				Severe
Heartburn when lying down						
(burning pain rising in your	0	1	2	3	4	5
chest or throat)						
Feeling of discomfort inside	0	1	2	3	4	5
your chest during the day	0	I	2	5	4	5
Feeling of discomfort inside						
your chest at night (during	0	1	2	3	4	5
your sleep time)						
Regurgitation or reflux during						
the day (fluid or liquid from	0	1	2	3	4	5
your stomach coming up into	0	I	2	5	4	5
your throat)						
Regurgitation or reflux when						
lying down (fluid or liquid	0	1	2	3	4	5
from your stomach coming	0	I	2	5	7	5
up into your throat)						
Bitter, acid or sour taste in	0	1	2	3	4	5
your mouth	•	•			•	

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			82 of 111

	None	Very Mild	Mild	Moderate	Severe	Very Severe
Constipation	0	1	2	3	4	5
Diarrhea	0	1	2	3	4	5

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	C C
Clinical Investigation Plan- MA-501			83 of 111

Appendix D: PATIENT ASSESSMENT OF UPPER GASTROINTESTINAL DISORDERS—QUALITY OF LIFE (PAGI-QOL)

The following questions ask about how some of the gastrointestinal problems you may be experiencing (such as pain, discomfort or other problems) may have affected your overall quality of life and well-being in the past 2 weeks. Please answer every question by circling the number that best represents your opinion. There are no right or wrong answers.

During the past 2 weeks, because of your gastrointestinal problems, how often	None of the time	A little of the time	Some of the time	A good bit of the time	Most of the time	All of the time
Had to depend on others for daily activities?	0	1	2	3	4	5
Have you avoided daily activities?	0	1	2	3	4	5
Had difficulty concentrating?	0	1	2	3	4	5
Has it taken longer than usual to perform daily activities?	0	1	2	3	4	5

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			84 of 111

During the past 2 weeks, because of your gastrointestinal problems, how often	None of the time	A little of the time	Some of the time	A good bit of the time	Most of the time	All of the time
Have you felt tired?	0	1	2	3	4	5
Have you lost desire to socialize?	0	1	2	3	4	5
Have you worried about stomach symptoms in public?	0	1	2	3	4	5
Have you avoided physical activities or sports?	0	1	2	3	4	5
Have you avoided travelling?	0	1	2	3	4	5
Have you felt frustrated about limitations?	0	1	2	3	4	5
Have you felt constricted in the clothes you wear?	0	1	2	3	4	5

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			85 of 111

During the past 2 weeks, because of your gastrointestinal problems, how often	None of the time	A little of the time	Some of the time	A good bit of the time	Most of the time	All of the time
Have you felt frustrated about not dressing as you like?	0	1	2	3	4	5
Have you felt concerned about what you can eat?	0	1	2	3	4	5
Have you avoided certain foods?	0	1	2	3	4	5
Have you restricted eating out?	0	1	2	3	4	5
Have you felt less enjoyment in food?	0	1	2	3	4	5
Have you felt changing foods could trigger your symptoms?	0	1	2	3	4	5

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			86 of 111

During the past 2 weeks, because of your gastrointestinal problems, how often	None of the time	A little of the time	Some of the time	A good bit of the time	Most of the time	All of the time
Have you felt frustrated about not being able to choose your foods?	0	1	2	3	4	5
Have you felt frustrated about not being able to choose your drinks?	0	1	2	3	4	5
Has your relationship with your spouse or partner been disturbed?	0	1	2	3	4	5
Has your relationship with your children or relatives been disturbed?	0	1	2	3	4	5
Has your relationship with your friends been disturbed?	0	1	2	3	4	5

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			87 of 111

During the past 2 weeks, because of your gastrointestinal problems, how often	None of the time	A little of the time	Some of the time	A good bit of the time	Most of the time	All of the time
Have you been in a bad mood?	0	1	2	3	4	5
Have you been depressed?	0	1	2	3	4	5
Have you felt anxious?	0	1	2	3	4	5
Have you felt angry?	0	1	2	3	4	5
Have you felt irritable?	0	1	2	3	4	5
Have you felt discouraged?	0	1	2	3	4	5
Have you been stressed	0	1	2	3	4	5
Have you felt helpless?	0	1	2	3	4	5

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			88 of 111

Appendix F: ROME III MODULES

Nausea, Vomiti	ng, and Belching Disorders Modul	le
 In the last 3 months, how often did you have bothersome nausea? 	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 3
Did this namen start more than 6 months ago?	⊕ No ⊕ Yes	
 In the last 3 months, how often did you vomit? 	 Never — Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	.Skip to question 8
 Have you had this vomiting 6 months or longer? 	⊕ No ⊕ Yes	
5. Did you make yourself vomit?	 Never or rarely Sometimes Often Most of the time Always 	
6. Did you have vomiting in the last year that occurred in separate episodes of a few days and then stopped?	 Never or rarely Sometimes Often Most of the time Always 	Skip to question 8
Did you have at least three episodes during the past year?	⊕ No ⊕ Yes	
 In the last 3 months, how often did food come back up into your month? 	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 12
 Have you had this problem (food corring back up into your mouth) 6 months or longer? 	⊕ No ⊕ Yes	
10. When food came back up into your month, did it usually stay in your month for a while before you swalkwed it or spit it out?	 Never or rarely Sometimes Often Most of the time Always 	

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Document title :	Document No. 10000033062	Version 3	Page
Clinical Investigation Plan- MA-501			89 of 111

 Did you have retching (heaving) before food came into your mouth? 	 Wever or rarely Sometimes Often Most of the time Always 	
12. In the last 3 months, how often did you experience bothersome belching?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip remaining question
 Did this bothersome belching start more than 6 months ago? 	⊕ No ⊕ Yes	

B2a: Aerophagia

Diagnostic criteria*

Must include all of the following:

- 1. Troublesome repetitive belching at least several times a week
 - Bothersome belching more than 1 day a week (question12>4)
- 2. Air swallowing that is objectively observed or measured
- * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 13-1)

B3a: Chronic Idiopathic Nausea (CIN)

Diagnostic criteria*

Must include all of the following:

- 1. Bothersome nausea, occurring at least several times per week
- Nausea more than once a week (question 1>4)
- 2. Not usually associated with vomiting
- Vomiting less than one day a week (question 3<4)
- Absence of abnormalities at upper endoscopy or metabolic disease that explains the nausea No question.
- * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 2=1)

B3b: Functional vomiting

Diagnostic criteria*

Must include all of the following:

 On average one or more episodes of vomiting per week Vomiting occurs at least once a week (question 3>3)

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

2



expanding the scope of	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			90 of 111

 Absence of criteria for an eating disorder, rumination, or major psychiatric disease according by DSM-IV Patient does not meet criteria for Rumination Disorder (RUMINATE=0)

No questions for eating disorder or major psychiatric disease.

Absence of self-induced induced vomiting and chronic cannabinoid use and absence of absormalities in the central nervous system or metabolic diseases to explain the recurrent vomiting

Never or rarely make yourself vomit (question 5-0)

* Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis

Yes. (question 4-1)

B3c: Cyclic Vomiting Syndrome (CVS)

Diagnostic criteria*

Must include all of the following:

- Stereotypical episodes of vomiting regarding onset (acute) and duration (less than one week) Vomiting occurs more often than 'never or rarely' (question 3>0) (other criteria implied by criteria 2 & 3)
- 2. Three or more discrete episodes in the prior year

At least 3 episodes during the year. Yes. (question 7-1)

3. Absence of nausea and vomiting between episodes

Occurred in separate episodes and then stopped more often than 'never or rarely' (question 6>0)

* Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis

Yes. (question 4-1)

B4: Rumination Syndrome in Adults

Diagnostic criteria*

Must include all of the following:

 Persistent or recurrent regurgitation of recently ingested food into the mouth with subsequent spitting or remastication and swallowing

Bring up food at least 1 day/week (question 8>3)

Hold food in mouth before spitting or swallowing often (question 10>1)

2. Regurgitation is not preceded by retching

Was bringing up food preceded by retching? No. (question 11–0)

* Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis

Yes. (question 9-1)

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without

prior written permission from Given Imaging.



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			91 of 111

	Functional Dyspepsia Module				
1.	In the last 3 months, how often did you have pain or discomfort in the middle of your chest (not related to heart problems)?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 			
2	In the last 3 months, how often did you have heartburn (a burning discomfort or burning pain in your chest)?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 			
3	In the last 3 months, how often did you feel uncomfortably full after a regular- sized meal?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 5		
4.	Have you had this uncomfortable fullness after meals 6 months or longer?	⊕ No ⊕ Yes			
5.	In the last 3 months, how often were you unable to finish a regular size meal?	 Never — Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 7		
6.	Have you had this inability to finish regular size meals 6 months or longer?	⊕ No □ Yes			
7.	In the last 3 months, how often did you have pain or burning in the middle of your abdomen, above your belly button but not in your chest?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip remaining questions		
8.	Have you had this pain or burning 6 months or longer?	⊕ No □ Yes			
9.	Did this pain or burning occur and then completely disappear during the same day?	 Never or rarely Sometimes Often Most of the time Always 			

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Clinical Investigation Plan- MA-501

Document title :

CONFIDENTIAL

Document No.	Version	Page
10000033062	3	
		92 of 111

 Usually, how severe was the pain or burning in the middle of your abdomen, above your belly button? 11. Was this pain or burning relieved by taking antacids? 	Very mild Mild Modenate Severe Very severe Very severe Sometimes Often Most of the time	
 Did this pain or burning usually get better or stop after a bowel movement or passing gas? 	Always Always Always Sometimes Often Most of the time Always	
 How often was this pain or discomfort relieved by moving or changing positions? 	Never or narely Sometimes Often Most of the time Always	
14. In the last 6 months, how often did you have steady pain in the middle or right side of your upper abdomen?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip remaining questions
15. Did this pain last 30 minutes or longer?	Never or rarely Sometimes Ofen Most of the time Always	
16. Did this pain build up to a steady, senere level?	Never or narely Sometimes Often Most of the time Always	
17. Did this pain go away completely between episodes?	Never or rarely Sometimes Often Most of the time Always	
18. Did this pain stop you from your usual activities, or cause you to see a doctor urgently or go to the emergency department?	Never or rarely Sometimes Often Most of the time Always	

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Document title :	Document No. 10000033062	Version	Page
Clinical Investigation Plan- MA-501	1000000002	5	93 of 111

B1. Functional Dyspepsia

Diagnostic criteria*

Must include:

One or more of:

- a. Bothersome postprandial fullness
- Uncomfortably full after regular sized meal, more than 1 day/week (question 3>4) Onset more than 6 months ago (question 4=1)
- b. Early satiation
 - Unable to finish regular sized meal, more than 1 day/week (question 5 >4) Onset more than 6 months ago. Yes. (question 6–1)
- c. Epigastric pain
- Pain or burning in middle of abdomen, at least 1 day/week (question 7>3) Onset more than 6 months ago. Yes. (question 8–1)
- d. Epigastric buraing
 - (This criterion is incorporated in the same question as epigastric pain)

AND

- No evidence of structural disease (including at upper endoscopy) that is likely to explain the symptoms No question.
- * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 8-1)
- B1a: Postprandial Distress Syndrome (PDS)

Diagnostic criteria*

Must include all of the following:

- 1. Bothersome postprandial fullness, occurring after ordinary sized meals, at least several times per week
- Uncomfortably full after regular sized meal, more than 1 day/week (question 3>4)
- Early satiation that prevents finishing a regular meal, at least several times per week Unable to finish regular sized meal more than 1 day/week (question 5>4)
- * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis

Requires a "Yes" to both. (question 4-1) & (question 6-1)

B1b: Epigastric Pain Syndrome (EPS)

Diagnostic criteria*

Must include all of the following:

- Pain or burning localized to the epignstrium, of at least moderate severity at least once per week Pain or burning in middle of abdomen, at least 1 day/week (question 7>3) Pain is at least moderate severity (question 10>2)
- 2. The pain is intermittent
- Pain or burning often disappears completely in the same day (question 9>1)
- 3. Not generalized or localized to other abdominal or chest regions

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3

3



Expanding the scope o	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			94 of 111

Chest pain occurs once a month or less often (question 1 <3) Hearthurn occurs once a month or less often (question 2 <3)

- Not relieved by defecation or passage of flatus Never or rarely gets better after defecation (question 12-0)
- 5. Not fulfilling criteria for biliary pain
- Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 8 -1)

E. Functional Gallbladder and Sphincter of Oddi Disorders (for exclusion)

Diagnostic criteria*

Must include episodes of pain located in the epigastrium and/or right upper quadrant

Steady pain which may occur less than once per month (question 14>0)

- AND all of the following:
 - 1. Episodes lasting 30 minutes or longer
 - At least often (question 15>1)
 - Recurrent symptoms occurring at different intervals (not daily) At least often (question 17>1)
 - The pain builds up to a steady level At least often (question 16>1)
 - The pain is moderate to severe enough to interrupt the patient's daily activities or lead to an emergency department visit
 - At least often (question 18>1)
 - The pain is not relieved by bowel movements Never or rarely. (question 12–0)
 - 6. The pain is not relieved by postural change
 - Never or rarely. (question 13–0)
 - The pain is not relieved by antacids Never or rarely. (question 11-0)
 - Exclusion of other structural disease that would explain the symptoms. No question.

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

<mark>MA-501</mark>	
94	



Clinical Investigation Plan- MA-501

CONFIDENTIAL

Document title :

Document No. 10000033062

Version Page

3

95 of 111

Functional Bowel Disorders				
IBS 1. In the last 3 months, how often did you have discomfort or pain anywhere in your abdomen?	 Never — Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 9		
 For women: Did this discomfort or pain occur only during your menstrual bleeding and not at other times? 	 No Yes Does not apply because 1 have had the change in life (menopause) or 1 am a male 			
Have you had this discomfort or pain 6 months or longer?	⊕ No ⊕ Yes			
4. How often did this discomfort or pain get better or stop after you had a bowel movement?	 Wever or rarely Sometimes Often Most of the time Always 			
5. When this disconfort or pain started, did you have more frequent bowel movements?	 Never or rarely Sometimes Often Most of the time Always 			
6. When this discomfort or pain started, did you have less frequent bowel movements?	 Never or rarely Sometimes Often Most of the time Always 			
 When this disconfort or pain started, were your stools (bowel movements) locser? 	 Never or rarely Sometimes Often Most of the time Always 			
 When this discomfort or pain started, how often did you have harder stools? 	 Never or rarely Sometimes Often Most of the time Always 			
 In the last 3 months, how often did you have fewer than three bowel movements (0-2) a week? 	 Dever or rarely Sometimes Often Most of the time Always 			
10. In the last 3 months, how often did you have hard or lumpy stools?	 Never or rarely Sometimes Often Most of the time Always 	Alternative scale: Never or rarely About 25% of the time About 50% of the time About 75% of the time Always, 100% of the time		

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

version 3

1



Clinical Investigation Plan- MA-501

Document title :

CONFIDENTIAL

Document No.	Version	Page
10000033062	3	
		96 of 111

 11. In the last 3 months, how often did you strain during bowel movements? 12. In the last 3 months, how often did you have a feeling of incomplete emptying after bowel movements? 	 Never or rarely Sometimes Often Most of the time Always Never or rarely Sometimes Often Most of the time 	
13. In the last 3 months, how often did you have a sensation that the stool could not be passed, (i.e., blocked), when having a bowel movement?	Always Never or rarely Sometimes Ofen Most of the time Always	
14. In the last 3 months, how often did you press on or around your bottom or remove stool in order to complete a bowel movement?	 Never or rarely Sometimes Often Most of the time Always 	
 Did any of the symptoms of constipation listed in questions 9-14 above begin more than 6 months ago? 	© No © Yes	
16. In the last 3 months, how often did you have loose, mushy or watery stools?	 Never or rarely — Skip to question 19 Sometimes Often Most of the time Always 	Alternative scale: Never or rarely About 25% of the time About 50% of the time About 75% of the time About 75% of the time
 In the last 3 months, were at least three fourths (3/4) of your stools loose, mushy or watery? 	© No © Yes	
 Did you begin having frequent loose, mushy, or watery stools more than 6 months ago? 	⊕ No ⊕ Yes	
19. In the last 3 months, how often did you have bloating or distension?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip remaining question
 Did your symptoms of bloating or distention begin more than 6 months ago? 	⊕ No ⊕ Yes	

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			97 of 111

21.	In the last 3 months, how often did you feel uncomfortably full after a regular-sized meal?	 Never – Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 23
22.	Have you had this uncomfortable fullness after meals 6 months or longer?	⊕ No ⊕ Yes	
23.	In the last 3 months, how often were you unable to finish a regular size meal?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip to question 25
24.	Have you had this inability to finish regular size meals 6 months or longer?	⊕ No ⊕ Yes	
25.	In the last 3 months, how often did you have pain or burning in the middle of your abdomen, above your belly button but not in your chest?	 Never Less than one day a month One day a month Two to three days a month One day a week More than one day a week Every day 	Skip remaining question
26.	Have you had this pain or burning 6 months or longer?	⊕ No ⊕ Yes	

C. Functional Bowel Disorders

C1. Irritable Bowel Syndrome

Diagnostic Criteria*

Recurrent abdominal pain or disconfort^{**} at least 3 days/month in last 3 months associated with <u>two</u> or more of criteria #1 - #3 below:

Pain or discomfort at least 2-3 days/month (question 1>2)

For women, does pain occur only during menstrual bleeding? (question 2=0 or 2)

Improvement with defecation

Pain or discomfort gets better after BM at least sometimes (question 4>0)

Ouset associated with a change in frequency of stool

Onset of pain or discomfort associated with more stools at least sometimes (question 5>0), OR

Onset of pain or discomfort associated with fewer stools at least sometimes (question 6>0)

Onset associated with a change in form (appearance) of stool

Onset of pain or discomfort associated with looser stools at least sometimes (question 7>0), OR Onset of pain or discomfort associated with arder stools at least sometimes (question b>0)

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

3



Clinical Investigation Plan- MA-501

Document title :

CONFIDENTIAL

Document No.	Version	Page
10000033062	3	
		98 of 111

* Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 3-1) **"Disconfort" means an uncomfortable sensation not described as pain. In pathophysiology research and clinical trials, a pain/discomfort frequency of at least two days a week is recommended for subject eligibility. Pain or discomfort more than one day per week (question 1>4) Criteria for IBS-C question 10>0 and question 16-0. Criteria for IBS-D question 10-0 and question 16>0. Criteria for IBS-M question 10>0 and question 16>0. Criteria for IBS-U question 10-0 and question 16-0. C2. Functional Bloating Diagnostic criteria* Must include all of the following: Recurrent feeling of bloating or visible distension at least 3 days/month in 3 months Bloating or distention at least 2-3 days/month (question 19>2) There are insufficient criteria for a diagnosis of functional dyspepsia. Insufficient criteria for functional dyspepsia [(question 13<5) OR (question 14-0)] , AND [(question 15<5) OR (question 16=0)], AND [(question 17<5) OR (question 18-0)] There are insufficient criteria for a diagnosis of irritable bowel syndrome or functional constipation. * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 19-1) C3. Functional Constipation Diagnostic criteria* Must include two or more of the following: Straining during at least 25% of defecations At least often. (question 11>1) b) Lumpy or hard stools at least 25% of defecations At least often. (question 10>1) c) Sensation of incomplete evacuation at least 25% of defecations At least sometimes. (question 12>0) d) Sensation of anorectal obstruction/blockage at least 25% of defecations At least sometimes. (question 13>0)

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

MA-50	1
98	



expanding the scope of	CONFIDENTIAL				
Document title :	Document No.	Version	Page		
	10000033062	3			
Clinical Investigation Plan- MA-501			99 of 111		

 Manual maneuvers to facilitate at least 25% of defections (e.g., digital evacuation, support of the pelvic floor)

At least sometimes. (question 14>0)

Fewer than three defecations per week

At least often. (question 9>1)

Loose stools are rarely present without the use of laxatives. Loose stools occur never or rarely (question 7–8), &

Insufficient criteria for IBS * Criteria fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis Yes. (question 15=1)

C4. Functional Diamhea

Diagnostic Criterion*

Loose (mushy) or watery stools without pain occurring at least 75% of stools AND

Watery stools at least % of time (question 17-1)

Pain or discomfort never occurs (question I=0)

* Criterion fulfilled for the last 3 months with symptom onset at least 6 months prior to diagnosis

Yes. (question 18-1)

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Clinical Investigation Plan- MA-501

CONFIDENTIAL

Document title :

Document No. 10000033062

Version Page

3

100 of 111

	с	onstipation Module	
1.	In the last 3 months, how often did you have discomfort or pain anywhere in your abdomen?	 O Never → ① Less than one day a month ② One day a month ③ Two to three days a month ④ One day a week ⑤ More than one day a week ⑥ Every day 	Skip to question 9
2.	For women: Did this discomfort or pain occur only during your menstrual bleeding and not at other times?	 No Yes Does not apply because I have had the change in life (menopause) or I am a male 	
3.	Have you had this discomfort or pain 6 months or longer?	© No ① Yes	
4.	How often did this discomfort or pain get better or stop after you had a bowel movement?	 Never or rarely Sometimes Often Most of the time Always 	
5.	When this discomfort or pain started, did you have more frequent bowel movements?	 0 Never or rarely 1 Sometimes 2 Often 3 Most of the time 3 Always 	
6.	When this discomfort or pain started, did you have less frequent bowel movements?	 Never or rarely Sometimes Often Most of the time Always 	
7.	When this discomfort or pain started, were your stools (bowel movements) looser?	 0 Never or rarely 1 Sometimes 2 Often 3 Most of the time 4 Always 	
8.	When this discomfort or pain started, how often did you have harder stools?	 Never or rarely Sometimes Often Most of the time Always 	
9.	In the last 3 months, how often did you have fewer than three bowel movements (0-2) a week?	 Never or rarely Sometimes Often Most of the time Always 	
10.	In the last 3 months, how often did you have hard or lumpy stools?	 Never or rarely Sometimes Often Most of the time Always 	

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL				
Document title :	Document No.	Version	Page		
	10000033062	3			
Clinical Investigation Plan- MA-501			101 of 111		

11. In the last 3 months, how often did you strain during bowel movements?	 Never or rarely Sometimes Often Most of the time Always
12. In the last 3 months, how often did you have a feeling of incomplete emptying after bowel movements?	 Never or rarely Sometimes Often Most of the time Always
13. In the last 3 months, how often did you have a sensation that the stool could not be passed, (i.e., blocked), when having a bowel movement?	 Never or rarely Sometimes Often Most of the time Always
14. In the last 3 months, how often did you press on or around your bottom or remove stool in order to complete a bowel movement?	 Never or rarely Sometimes Often Most of the time Always
15. In the last 3 months, how often did you have difficulty relaxing or letting go to allow the stool to come out during a bowel movement?	 Never or rarely Sometimes Often Most of the time Always
16. Did any of the symptoms of constipation listed in questions 9-15 above begin more than 6 months ago?	© No © Yes
17. In the last 3 months, how often did you have loose, mushy or watery stools?	 Never or rarely Sometimes Often Most of the time Always

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.

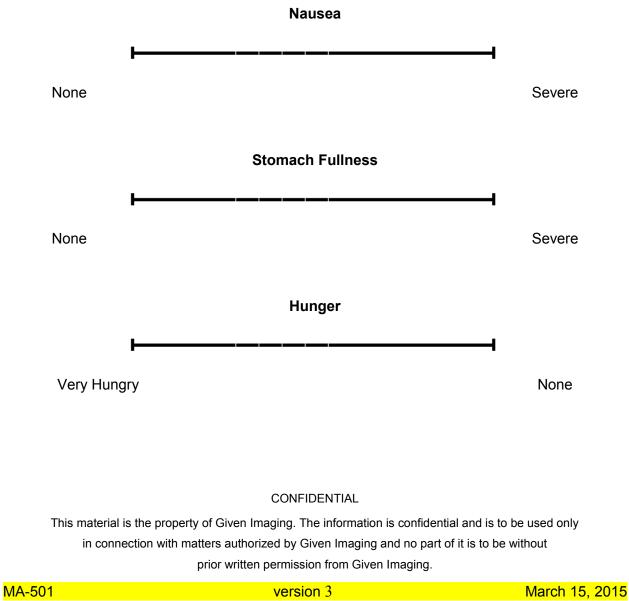


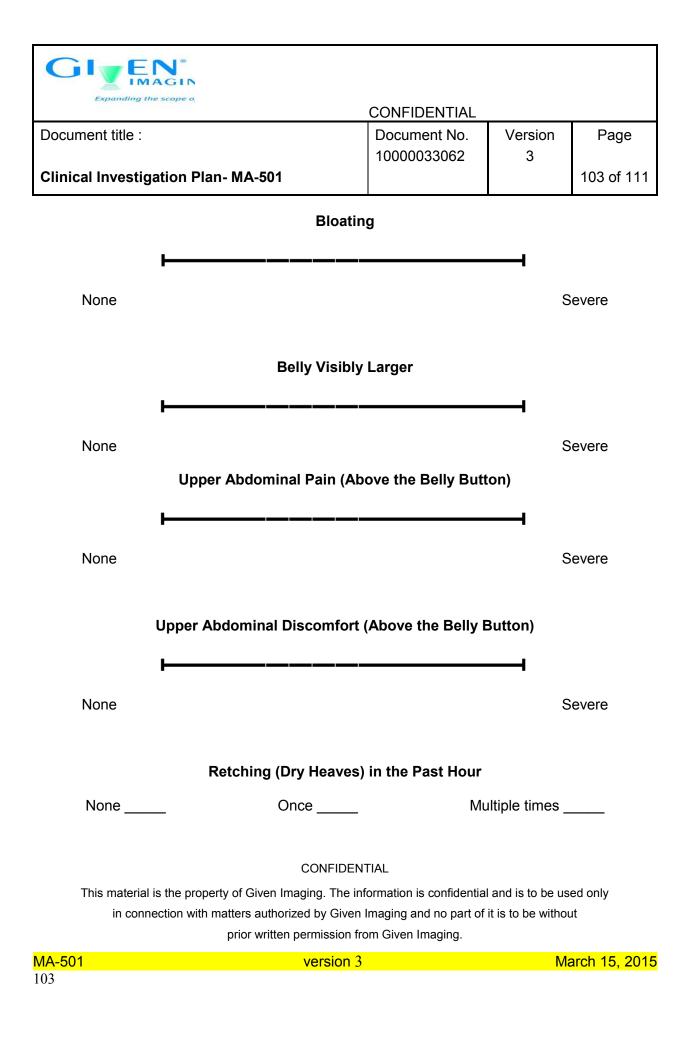
Expanding the scope of CONFIDENTIAL				
Document title :	Document No. 10000033062	Version 3	Page	
Clinical Investigation Plan- MA-501			102 of 111	

Appendix G: VISUAL ANALOG SCALES FOR ASSESSING SYMPTOMS OF

GASTROPARESIS IN REAL TIME

When you are told, please draw a vertical line through each of the lines below to rate how severe each symptom is at this moment in time.







Expanding the scope o					
	CONFIDENTIAL				
Document title :	Document No.	Version	Page		
	10000033062	3			
Clinical Investigation Plan- MA-501			104 of 111		

Vomiting in the Past Hour

None _____

Once _____

Multiple times _____

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope o				
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			105 of 111	

Appendix H: THE BRISTOL STOOL FORM SCALE

Date and time of bowel movement:

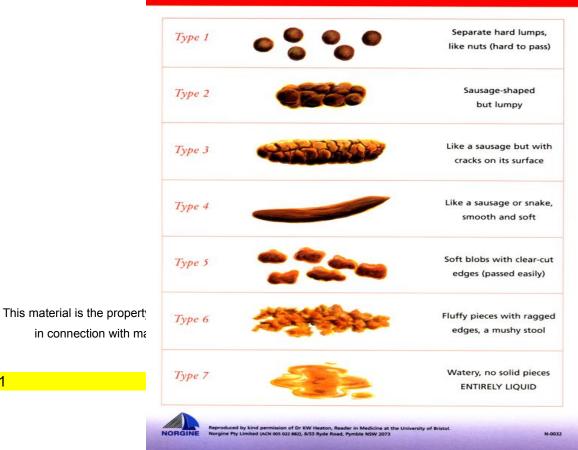
Please describe your bowel movement (stool) as: (Check the most appropriate answer for the form of your stool)

- ____Type 1: Separate hard lumps, like nuts (hard to pass).
- ____Type 2: Sausage-shaped but lumpy.
- ____Type 3: Like a sausage or snake but with cracks on its surface.
- ____Type 4: Like a sausage or snake smooth and soft.
- ____Type 5: Soft blobs with clear-cut edges (easy to pass).
- ____Type 6: Fluffy pieces with ragged edges, a mushy stool.
- ____Type 7: Watery, no solid pieces.

MA-501

105

THE BRISTOL STOOL FORM SCALE





Expanding the scope o			
	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			106 of 111

Appendix I: Sample of Economic Analysis survey

Patient Information	Age		Gender	M / F	Race		Diabetic History	Y/N	
Prior GI Medical	GI Surgery(ies)								
History	Hysterectomies								
	Category of	Prokinetic	ASP per						
	Motility Drug	Antiemetic	ASP per					-	
	Use	Neuromodulator	ASP per						
		PPI	ASP per						
Initial patient		Laxative	ASP per						
management plan									
	Category of		ASP per						
	Non-Motility		ASP per						
	Drug Use		ASP per						
		Other	ASP per						
	Dietary plan		Cost						
Emergency room visit for Nausua/Vomiting	E/M Level		Procedure code		Avg. cost per				
Testine Medality	Scintigraphy	Time to test		Procedure code		Cost per		# of tests	
Testing Modality	SmartPill	Time to test		Procedure code		Cost per		# of tests	

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



CONFIDENTIAL				
Document No.	Version	Page		
10000033062	3			
		107 of 111		
	Document No.	Document No. Version		

		Procedure		Continue	
	AD Manometry	code	APC	Cost per	
		Procedure			
	SBFT	code	APC	Cost per	
		Procedure			
	ROM	code	APC	Cost per	
		Procedure			
	ARM	code	APC	Cost per	
		Procedure			
	CT scan	code	APC	Cost per	
Additional Dx		Procedure			
Additional Dx	MRI	code	APC	Cost per	
		Procedure			
	Ultrasound	code	APC	Cost per	
	hydrogen breath	Procedure			
	test	code	APC	Cost per	
		Procedure	Cost		
	C13 breath test	code	per		
		Procedure			
	Other	code	APC	Cost per	
		Procedure			
	Other	code	APC	Cost per	

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



Expanding the scope 0				
	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			108 of 111	

	Comico		Procedure code		APC	Avg.	
	Service				APC	payment	
	Service		Procedure code		APC	Avg.	
	Service				AFC	payment	
	Service		Procedure code		APC	Avg. payment	
Physician Services			Procedure		AIC		
	Service		code		APC	Avg. payment	
			Procedure		/ 0	Avg.	
	Service		code		APC	payment	
			Procedure			Avg.	
	Service		code		APC	payment	
	Category of Motility Drug Use	Prokinetic	ASP per				
		Antiemetic	ASP per				
		Neuromodulator	ASP per				
		PPI	ASP per				
		Laxative	ASP per				
Subsequent patient							
management plan	Category of		ASP per				
	Non-Motility		ASP per				
	Drug Use		ASP per				
		Other	ASP per				
	Dietary change		Cost				
		G tube changed		Procedure			
	Surgery change	to J tube	Y / N	code	Cost		

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



expanding the scope o	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			109 of 111	

Appendix J: CLINICAL STUDY SITES

Investigator	Institution	Contact details
Braden Kuo, MD	Massachusetts General	MGH Digestive Healthcare
	Hospital, Boston, MA	Center 165 Cambridge St.,
		9th floor Boston, MA 02114
Henry Parkman, MD	Temple University,	Temple Clinical Research
	Philadelphia, PA	Institute (TCRI) Student
		Faculty Center 3340 N. Broad
		Street, 427 C Philadelphia,
		PA 19140
William Hasler, MD	University of Michigan, Ann	University of Michigan
	Arbor, MI	Medical Center 3912
		Taubman Center, SPC 5362
		Ann Arbor, MI 48109
S. Satish Rao, MD	Georgia Health Sciences	Georgia Regents Medical
	University, Augusta GA	Center, BB R2538
		1120 15th Street
		Augusta, GA 30909
Linda Nguyen MD	Stanford University Medical	300 Pasteur Dr., Room
	Center. Stanford, CA	H0262, MC: 5244
		Palo Alto, CA 94305
Richard McCallum	Texas Tech University, El	Texas Tech University Health
	Paso TX	Sciences Center

CONFIDENTIAL

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL			
Document title :	Document No.	Version	Page	
	10000033062	3		
Clinical Investigation Plan- MA-501			110 of 111	

Institution	Contact details
	3601 4th Street, STOP 8146
	Lubbock, TX 79430-8146
Indiana University School of	Indiana University Health UH
Medicine, Indianapolis, IN	1634550 North University
	Blvd. I Indianapolis, IN, 46202
ClinSearch, LLC	Clinsearch,LLC
Chattanooga, TN	6035 Shallowford Road, Suite
	109 Chattonooga, TN 37421
Florida Digestive Health	Florida Digestive Health
Specialists FL	Specialists
	8250 Bryan Dairy Road, Suite
	200 Largo, FL 33777
Fletcher Allen Health Care	Fletcher Allen Health Care
Miami Miller School of	University of Miami Leonard
medicine	Miller School of Medicine
	Division of Gastroenterology
	1120 NW 14th Street
	Indiana University School of Medicine, Indianapolis, IN ClinSearch, LLC Chattanooga, TN Florida Digestive Health Specialists FL Fletcher Allen Health Care Miami Miller School of

REV.#	DESCRIPTION	DATE

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.



	CONFIDENTIAL		
Document title :	Document No.	Version	Page
	10000033062	3	
Clinical Investigation Plan- MA-501			111 of 111

role	according to
role3a. Omit GES scans results provided to sponsor within 3 days of procedure. GES results will be provided to sponsor in a timely manner b. Allow per protocol performance of site standard of care Scintigraphy scanc. Allow per protocol of visit #3 performance by phone, sending receiver by FedExd. EGD inclusion criteria to be extended to 3 years prior to enrollmente. Add primary end point interim analysis after enrollment of 150 patientsf. Sample size increase to 275 subjects in order to compensate for 1 interim analysisg. Omit SF-36 survey- as per admin change #1 h. Omit ROME III modules filled by the subjects on visits 2, 5 and 6 – as per admin change #1i. Omit Screening visit patient management plan- as per admin change #1	DMS data
 3 a. Omit GES scans results provided to sponsor within 3 days of procedure. GES results will be provided to sponsor in a timely manner b. Allow per protocol performance of site standard of care Scintigraphy scan c. Allow per protocol of visit #3 performance by phone, sending receiver by FedEx d. EGD inclusion criteria to be extended to 3 years prior to enrollment e. Add primary end point interim analysis after enrollment of 150 patients f. Sample size increase to 275 subjects in order to compensate for 1 interim analysis g. Omit SF-36 survey- as per admin change #1 h. Omit ROME III modules filled by the subjects on visits 2, 5 and 6 – as per admin change #1 i. Omit Screening visit patient management planas per admin change #1 	according to
 within 3 days of procedure. GES results will be provided to sponsor in a timely manner b. Allow per protocol performance of site standard of care Scintigraphy scan c. Allow per protocol of visit #3 performance by phone, sending receiver by FedEx d. EGD inclusion criteria to be extended to 3 years prior to enrollment e. Add primary end point interim analysis after enrollment of 150 patients f. Sample size increase to 275 subjects in order to compensate for 1 interim analysis g. Omit SF-36 survey- as per admin change #1 h. Omit ROME III modules filled by the subjects on visits 2, 5 and 6 – as per admin change #1 i. Omit Screening visit patient management plan- as per admin change #1 	DMS data
 correct Listie meal volume to 240m (1 car)- as per admin change #2 k. Correct Amount of radiolabeled substance (99mTc-sulfur colloid) to be mixed within the eggbeaters meal to be Between 0.5 and 1 mCi 99mTc-sulfur colloid marker- as per admin 	according to DMS data

This material is the property of Given Imaging. The information is confidential and is to be used only in connection with matters authorized by Given Imaging and no part of it is to be without prior written permission from Given Imaging.