

## Supplemental Digital Content

### *Does segregation lead to lower birth weight? An instrumental variable approach*

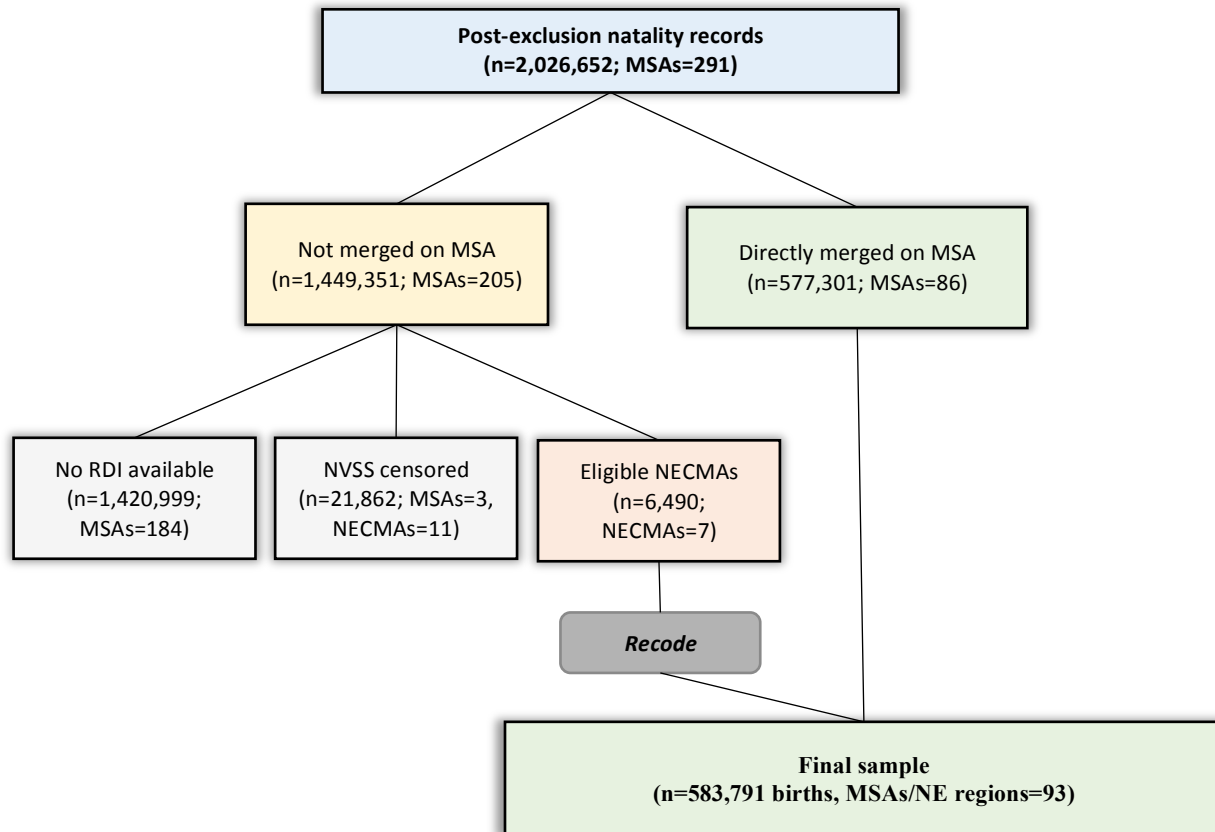
#### **eAppendix 1: Selection of included MSAs**

Data sources were merged by maternal MSA of residence at the time of delivery. Each US MSA is assigned a unique 4-digit Federal Information Processing Standards (FIPS) code. These codes were used to link the data sets for all locations except New England, as the NVSS natality files use New England Consolidated Metropolitan Area (NECMA) codes in lieu of MSAs to identify New England statistical areas. The merging process for New England locations is described below. For confidentiality purposes, the NVSS does not disclose information on a mother's MSA of residence if their place of residence contained fewer than 100,000 residents at the time of the preceding Census (which, in this case, was 1990).

Merging was highly dependent upon RDI data, as only certain MSAs received an RDI score. From the initial, post-exclusion sample of 2,026,652 natality records (including the necessary exclusion of nonmetropolitan/rural counties not belonging to an MSA), 577,301 were directly merged with the segregation data based on maternal MSA of residence. Of the natality records not merged, 1,420,999 reflected births occurring in a location/MSA for which RDI calculation was not available (including all Southern MSAs and the 77 non-Southern locations lacking available historical railroad maps), 21,862 occurred in a censored MSA, and 6,490 occurred in a New England location for which RDI data was available, but the MSA/NECMA linkage could not be made directly. The RDI was derived using historical data; it is therefore a static measure and does not change over time. However, like many geographical delineations, MSAs are defined for administrative purposes and evolve over time to reflect regional changes and growth. Ananat applied RDI information to MSAs as defined in 1988. By 2000, 14 of these previously-defined MSAs (four of which were in New England) had either ceased to exist or had been combined with other locations. Because these regions were no longer uniquely identified, they were excluded from analysis, resulting in 107 (as opposed to 121) MSAs. Of these, three MSAs had populations of less than 100,000 as of the 1990 Census and were thus censored in the 2000 natality data; these MSAs were necessarily excluded from analysis (n=104). Another 11 New England regions were excluded due to censoring as described below (final n=93).

To account for the MSA/NECMA discordance, New England natality files were linked to segregation data by a combination of principal city and state. The rationale for this approach was that the central cities would certainly be contained within the RDI calculations, the segregation indices, and the natality files, whereas attempting to aggregate New England MSAs (or even counties) to the NECMA level would have resulted in the inclusion of areas to which the RDI and segregation indices would not apply. NECMAs were generally quite diverse in comparison to MSAs and frequently contained multiple major urban areas; for example, the Boston-Worcester-Lawrence-Lowell-Brockton NECMA captured much of eastern Massachusetts (and some of southern New Hampshire) and contained locations with a wide range of dissimilarity and railroad division indices. Most NECMAs also contained parts of many counties, and it was possible for an individual county to be in multiple NECMAs. This strategy resulted in a smaller sample size for New England locations, but it was the cleanest way to incorporate this data. It should be noted, however, that this method likely produced analytical New England locations

with disproportionately high proportions of black residents, given the higher concentration of blacks in central cities than surrounding suburbs. Of the 18 New England locations in the RDI dataset that were still in existence as of 2000, 11 had populations of less than 100,000 as of the 1990 Census and were excluded from analysis due to NVSS censoring practices. The final merged dataset contained 93 MSAs (7 of which were actually New England cities) and 583,791 birth records. The merge process is concisely illustrated in the following diagram:



Finally, a list of included and excluded MSAs, as well as the reason for exclusion, is provided below. The list of “original MSAs” refers to the sample with which RDI was originally validated. There are additional US MSAs not reflected here, as RDI calculation was dependent upon historical map availability (24).

#### Included/excluded MSAs

		Reason for exclusion (if applicable)	
Original MSAs (Ananat)	Included?	NVSS censored (pop<100,000)	MSA not uniquely identified
Anaheim, CA	No		X
Aurora, IL	No		X
Battle Creek, MI	No		X

Beaver, PA	<i>No</i>	X
Brockton, MA	<i>No</i> X	X
Burlington, VT	<i>No</i> X	X
Danbury, CT	<i>No</i> X	X
Elmira, NY	<i>No</i>	X
Fall River, MA	<i>No</i> X	X
Fitchburg, MA	<i>No</i> X	X
Iowa City, IA	<i>No</i>	X
Joliet, IL	<i>No</i>	X
Kankakee, IL	<i>No</i>	X
Lawrence, MA	<i>No</i> X	X
Lorain, OH	<i>No</i>	X
Manchester, NH	<i>No</i> X	X
Middletown, CT	<i>No</i> X	X
Muskegon, MI	<i>No</i>	X
New Bedford, MA	<i>No</i> X	X
New London, CT	<i>No</i> X	X
Niagara Falls, NY	<i>No</i>	X
Norwalk, CT	<i>No</i> X	X
Pittsfield, MA	<i>No</i> X	X
Portland, ME	<i>No</i> X	X
Portsmouth, NH	<i>No</i> X	X
Poughkeepsie, NY	<i>No</i>	X
Salem, MA	<i>No</i> X	X
Vancouver, WA	<i>No</i>	X
Akron, OH	Yes	
Albany, NY	Yes	
Altoon, PA	Yes	
Ann Arbor, MI	Yes	
Atlantic City, NJ	Yes	
Benton Harbor, MI	Yes	
Binghamton, NY	Yes	
Bloomington, IN	Yes	
Boise, ID	Yes	
Boulder, CO	Yes	
Bridgeport, CT	Yes X	
Buffalo, NY	Yes	
Canton, OH	Yes	
Champaign, IL	Yes	
Chico, CA	Yes	
Cincinnati, OH	Yes	
Colorado Springs, CO	Yes	
Dayton, OH	Yes	
Decatur, IL	Yes	
Des Moines, IA	Yes	
Detroit, MI	Yes	
Duluth, MN	Yes	
Erie, PA	Yes	
Eugene, OR	Yes	
Flint, MI	Yes	
Fort Collins, CO	Yes	

Glens Falls, NY	Yes	
Grand Forks, ND	Yes	
Grand Rapids, MI	Yes	
Hamilton, OH	Yes	
Harrisburg, PA	Yes	
Hartford, CT	Yes	X
Jackson, MI	Yes	
Jamestown, NY	Yes	
Janesville, WI	Yes	
Johnstown, PA	Yes	
Kalamazoo, MI	Yes	
Lancaster, PA	Yes	
Lansing, MI	Yes	
Las Cruces, NM	Yes	
Lawton, OK	Yes	
Lima, OH	Yes	
Los Angeles, CA	Yes	
Lowell, MA	Yes	X
Mansfield, OH	Yes	
Merced, CA	Yes	
Minneapolis, MN	Yes	
Newark, NJ	Yes	
New Haven, CT	Yes	X
Oakland, CA	Yes	
Oklahoma City, OK	Yes	
Olympia, WA	Yes	
Omaha, NE	Yes	
Peoria, IL	Yes	
Philadelphia, PA	Yes	
Phoenix, AZ	Yes	
Portland, OR	Yes	
Pueblo, CO	Yes	
Reading, PA	Yes	
Redding, CA	Yes	
Reno, NV	Yes	
Riverside, CA	Yes	
Rochester, NY	Yes	
Rockford, IL	Yes	
Saginaw, MI	Yes	
Salem, OR	Yes	
Salinas, CA	Yes	
San Francisco, CA	Yes	
Santa Barbara, CA	Yes	
Santa Cruz, CA	Yes	
Santa Rosa, CA	Yes	
Scranton, PA	Yes	
Seattle, WA	Yes	
Spokane, WA	Yes	
Springfield, IL	Yes	
Springfield, MA	Yes	X
State College, PA	Yes	

Steubenville, OH	Yes	
Stockton, CA	Yes	
Syracuse, NY	Yes	
Toledo, OH	Yes	
Trenton, NJ	Yes	
Tucson, AZ	Yes	
Utica, NY	Yes	
Vineland, NJ	Yes	
Visalia, CA	Yes	
Waterbury, CT	Yes	X
Williamsport, PA	Yes	
Worcester, MA	Yes	X
Yakima, WA	Yes	
York, PA	Yes	
Youngstown, OH	Yes	
Yuba City, CA	Yes	

**eTable 1: Covariate distribution across quantiles of RDI, by race**

<b>White</b>					
	<b>RDI q1</b>	<b>RDI q2</b>	<b>RDI q3</b>	<b>RDI q4</b>	<b>q1-q4</b>
<b>Maternal age</b>	28.8	28.2	28.8	28.0	0.8
<b>Education (years)</b>	13.9	13.7	13.9	13.5	0.4
<b>Married</b>	77.3	75.9	77.7	74.8	2.5
<b>Parity</b>	2.3	2.2	2.2	2.1	0.2
<b>Prior preterm birth(s) (%)</b>	1.3	1.3	1.4	1.5	-0.2
<b>Alcohol use (%)</b>	1.4	1.0	1.2	1.2	0.2
<b>Medical risk factors</b>	7.7	7.1	8.1	9.3	-1.6
<b>Inadequate PNC</b>	3.2	2.9	3.9	3.0	0.2
<b>Low birth weight (%)</b>	4.3	4.8	4.2	4.8	-0.5
<b>Sex</b>	51.3	51.1	51.3	51.2	0.1
<b>Gestational age</b>	39.0	38.9	39.0	39.0	0.0
<b>MSA population</b>	1,408,714	2,633,303	2,518,572	2,056,476	-647,762
<b>MSA % black</b>	8.0%	7.4%	11.1%	12.9%	-4.9%

<b>Black</b>					
	<b>RDI q1</b>	<b>RDI q2</b>	<b>RDI q3</b>	<b>RDI q4</b>	<b>q1-q4</b>
<b>Maternal age</b>	25.3	25.3	24.7	24.9	0.4
<b>Education (years)</b>	12.5	12.5	12.3	12.2	0.3
<b>Married</b>	28.6	28.0	23.0	24.8	3.8
<b>Parity</b>	3.1	2.7	2.7	2.6	0.5
<b>Prior preterm birth(s) (%)</b>	2.1	2.0	2.4	1.5	0.6
<b>Alcohol use (%)</b>	2.2	1.8	2.1	1.4	0.8
<b>Medical risk factors</b>	8.7	6.8	8.7	8.1	0.6
<b>Inadequate PNC</b>	11.0	7.7	14.0	12.5	-1.5
<b>Low birth weight (%)</b>	11.1	10.5	11.3	12.1	-1.0
<b>Sex</b>	50.8	50.6	51.1	50.1	0.7
<b>Gestational age</b>	38.4	38.5	38.4	38.4	0.0
<b>MSA population</b>	1,725,479	4,976,287	3,507,642	2,925,026	-1,199,547
<b>MSA % black</b>	13.6%	9.8%	15.5%	17.9%	-4.3%

**eTable 2: RDI robustness**

	<b>Individual-level birth weight</b>			<b>Birth weight gap<sup>a, b</sup></b>
	Overall	White	Black	Overall
<b>Original 2SLS estimates</b>	<b>-1.87</b>	<b>-0.68</b>	<b>-2.76</b>	<b>2.11</b>
	<b>(-4.46, 0.72)</b>	<b>(-3.48, 2.11)</b>	<b>(-6.01, 0.48)</b>	<b>(-1.78, 5.99)</b>
Maternal age (centered)	-1.18	-0.21	-2.63	2.12
	(-3.39, 1.04)	(-2.38, 1.96)	(-5.67, 0.40)	(-1.56, 5.81)
Marital status	-1.19	-0.49	-2.35	2.21
	(-3.36, 0.98)	(-2.88, 1.90)	(-5.10, 0.40)	(-1.71, 6.13)
Birth order	-1.87	-0.67	-2.77	2.08
	(-4.46, 0.72)	(-3.45, 2.11)	(-6.01, 0.47)	(-1.58, 5.73)
Medical risk factors	-1.84	-0.63	-2.90	2.17
	(-4.48, 0.80)	(-3.45, 2.18)	(-6.21, 0.40)	(-0.97, 5.31)
Prior preterm births	-1.80	-0.56	-3.08	1.99
	(-4.29, 0.69)	(-3.26, 2.14)	(-6.05, -0.11)	(-1.85, 5.83)
Inadequate PNC	-1.64	-0.64	-2.64	1.91
	(-4.14, 0.86)	(-3.39, 2.10)	(-5.61, 0.32)	(-1.91, 5.72)
Education	-0.85	-0.02	-2.27	2.25
	(-2.99, 1.28)	(-2.16, 2.11)	(-4.82, 0.28)	(-1.50, 6.00)
MSA proportion black	-1.26	-2.19	-4.73	1.67
	(-7.35, 4.83)	(-8.72, 4.34)	(-12.10, 2.64)	(-14.07, 17.42)
MSA population	-1.99	-1.38	-4.23	-
	(-5.19, 1.21)	(-4.67, 1.91)	(-9.01, 0.55)	-

<sup>a</sup>Individual-level covariates for gap analysis aggregated by MSA & race

<sup>b</sup>MSA population weighted estimates

**eTable3: Demographics by MSA # black**

	<b>&lt;=5000 MSA black</b>		<b>&gt;5000 MSA black</b>	
	White <i>n</i> =32,978	Black <i>n</i> =459	White <i>n</i> =452,391	Black <i>n</i> =97,963
<b>Geographic/MSA characteristics</b>				
Dissimilarity index	.33	.34	.62	.68
MSA population	261,342	212,732	2,287,575	3,302,918
MSA percent black	1%	2%	10%	14%
<b>Maternal characteristics</b>				
Age (years)	27.4	24.6	28.5	25.0
Education (years)	13.6	12.8	13.8	12.4
High school graduate (%)	88.3	79.0	89.7	74.3
Married (%)	73.5	34.6	76.7	25.9
Parity (count)	2.2	2.5	2.2	2.8
Diabetes (%)	3.0	3.1	3.0	2.9
Chronic hypertension (%)	0.6	1.5	0.7	1.3
Lung disease (%)	2.1	3.1	1.8	3.0
Prior preterm birth (%)	1.4	3.1	1.4	2.0
Alcohol use during pregnancy (%)	1.2	1.2	1.2	1.8
Inadequate PNC (%)	4.1	10.2	3.2	11.3
<b>Infant characteristics</b>				
Male (%)	51.0	53.6	51.2	50.6
Gestational age (weeks)	39.0	38.6	39.0	38.4
Birth weight (grams)	3413.0	3190.6	3437.4	3149.8
Low birth weight (%)	4.6	10.7	4.5	11.3