

#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

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| Section 1. Identifying Inform   | nation  |   |
|---|---|---|
| 1. Given Name (First Name)<br>Thema                                       | 2. Surname (Last Name)<br>Nicholson                       | 3. Date<br>19-February-2016   |
| 4. Are you the corresponding author?                                      | Yes 🖌 No  | Corresponding Author's Name<br>Namdari, Surena  |
| 5. Manuscript Title<br>Randomized Controlled Trial of Intersca            | alene Block Versus Injecta                                | ble Liposomal Bupivacaine in Shoulder Arthroplasty  |
| 6. Manuscript Identifying Number (if you ki                               | now it)   |   |
|   |   | _   |
| Section 2. The Work Under C   | onsideration for Publi                                    | cation  |
| any aspect of the submitted work (including                               |   | n a third party (government, commercial, private foundation, etc.) for<br>ata monitoring board, study design, manuscript preparation,   |
| statistical analysis, etc.)?<br>Are there any relevant conflicts of inter | est? Yes 🖌 No   |   |
|   |   |   |
| Section 3. Relevant financial   | activities outside the                                    | submitted work.   |
| of compensation) with entities as descr                                   | ibed in the instructions. U<br>port relationships that we | nether you have financial relationships (regardless of amount<br>se one line for each entity; add as many lines as you need by<br>re <b>present during the 36 months prior to publication</b> . |

| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? |     | Yes | N/ | 0 |
|--|-----|-----|----|---|
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Thema Nicholson has nothing to disclose.

### **Evaluation and Feedback**



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| Section 1.                          | Identifying Infor   | mation                                 |   |
|-------------------------------------|---------------------|--|---|
| 1. Given Name (Fi<br>Surena         | irst Name)          | 2. Surname (Last Name)<br>Namdari      | 3. Date<br>19-February-2016             |
| 4. Are you the co                   | rresponding author? | ✓ Yes No                               |   |
| 5. Manuscript Titl<br>Randomized Co |                     | calene Block Versus Injectable Liposom | al Bupivacaine in Shoulder Arthroplasty |

6. Manuscript Identifying Number (if you know it)

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

# Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? 🖌 Yes

If yes, please fill out the appropriate information below.

| Name of Entity         | Grant?       | Personal<br>Fees <b>?</b> | Non-Financial<br>Support? | Other? | Comments                     |  |
|------------------------|--------------|---------------------------|---------------------------|--------|------------------------------|--|
| Zimmer                 | $\checkmark$ |                           |                           |        | divisional research support  |  |
| Integra                | $\checkmark$ |                           |                           |        | divisional research support  |  |
| Depuy                  | $\checkmark$ |                           |                           |        | divisional research support  |  |
| Miami Device Solutions |              | $\checkmark$              |                           |        | consulting                   |  |
| DJO Surgical           |              | $\checkmark$              |                           |        | consulting                   |  |
| Integra Life Sciences  | $\checkmark$ | $\checkmark$              |                           |        | research support, consulting |  |



## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

| Patent?                | Pending? | Issued? | Licensed? | Royalties?   | Licensee? | Comments |  |
|------------------------|----------|---------|-----------|--------------|-----------|----------|--|
| Miami Device Solutions |          |         |           | $\checkmark$ |           |          |  |
| DJO Surgical           |          |         |           | $\checkmark$ |           |          |  |
| Elsevier               |          |         |           | $\checkmark$ |           |          |  |

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Dr. Namdari reports grants from Zimmer, Integra, and Depuy, personal fees from Miami Device Solutions, personal fees from DJO Surgical, outside the submitted work; In addition, Dr. Namdari is paid royalties from Miami Device Solutions, DJO Surgical, and Elsevier.

No



**Evaluation and Feedback** 



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|--|---|------------------------------|--------------------------|--|--|--|--|
| 1. Given Name (First Name)<br>Joseph   | 2. Surname (Last Name)<br>Abboud  |                              | 3. Date<br>20-June-2016  |  |  |  |  |
| 4. Are you the corresponding author? Yes Vo Corresponding Author's Name Surena Namdari, MD   |   |                              |                          |  |  |  |  |
| 5. Manuscript Title<br>Randomized Controlled Trial of Interse  | calene Block versus Injecta   | able Liposomal Bupivacaine i | in Shoulder Arthroplasty |  |  |  |  |
| 6. Manuscript Identifying Number (if you  <br>JBJS-D-16-00296R1  | 6. Manuscript Identifying Number (if you know it)<br>IB IS-D-16-00296B1 |                              |                          |  |  |  |  |
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| Section 2. The Work Under O  | Consideration for Publ  | lication                     |                          |  |  |  |  |
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|   | Identifying Inforn                | nation                            |  |                         |  |  |  |
| 1. Given Name (Fi<br>Mark   | rst Name)                         | 2. Surname (Last Name)<br>Lazarus |  | 3. Date<br>20-June-2016 |  |  |  |
| 4. Are you the cor  | responding author?                | Yes 🖌 No                          | ✓ No Corresponding Author's Name<br>Surena Namdari, MD |                         |  |  |  |
| 5. Manuscript Title<br>Randomized Controlled Trial of Interscalene Block versus Injectable Liposomal Bupivacaine in Shoulder Arthroplasty   |                                   |                                   |  |                         |  |  |  |
| 6. Manuscript Ider<br>JBJS-D-16-00296   | ntifying Number (if you ki<br>5R1 | now it)                           |  |                         |  |  |  |
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|--|--|----------------------------|--------------------------|--|--|--|
| 1. Given Name (First Name)<br>Dean   | 2. Surname (Last Name)<br>Steinberg  |                            | 3. Date<br>22-June-2016  |  |  |  |
| 4. Are you the corresponding author?   | re you the corresponding author? Yes 🖌 No Corresponding Author's Name Surena Namdari, MD |                            |                          |  |  |  |
| 5. Manuscript Title<br>Randomized Controlled Trial of Interse  | calene Block versus Injecta  | able Liposomal Bupivacaine | in Shoulder Arthroplasty |  |  |  |
| 6. Manuscript Identifying Number (if you know it)<br>JBJS-D-16-00296R1   |  |                            |                          |  |  |  |
| Section 2. The Work Under  |  |                            |                          |  |  |  |
| The Work Under   | Consideration for Pub  | lication                   |                          |  |  |  |
| Did you or your institution <b>at any time</b> rec<br>any aspect of the submitted work (includir<br>statistical analysis, etc.)?<br>Are there any relevant conflicts of inte | ng but not limited to grants, o  |                            |                          |  |  |  |

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|  | 1 1 |     | • |    |



# Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

## Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Steinberg has nothing to disclose.

### **Evaluation and Feedback**



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



| Section 1. Identifying Inform                                   | mation                             |  |
|---|------------------------------------|--|
| 1. Given Name (First Name)<br>Gerald                            | 2. Surname (Last Name)<br>Williams | 3. Date<br>20-June-2016  |
| 4. Are you the corresponding author?                            | Yes 🗸 No                           | Corresponding Author's Name<br>Surena Namdari, MD  |
| 5. Manuscript Title<br>Randomized Controlled Trial of Interso   | calene Block versus Injecta        | ble Liposomal Bupivacaine in Shoulder Arthroplasty   |
| 6. Manuscript Identifying Number (if you l<br>JBJS-D-16-00296R1 | know it)                           |  |
|   |                                    |  |
| Section 2. The Work Under O                                     | Consideration for Publ             | ication  |
|   | ng but not limited to grants, c    | n a third party (government, commercial, private foundation, etc.) for<br>lata monitoring board, study design, manuscript preparation, |

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

| Are there any relevant conflicts of interest? |  | Yes | $\checkmark$ | No |
|---|--|-----|--------------|----|
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| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? | Yes | V N | ю |
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## Section 6. Disclosure Statement

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Dr. Williams has nothing to disclose.

### **Evaluation and Feedback**