

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

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| Section 1.                              | ldentifying Infor       | mation   |   |
|---|-------------------------|--|---|
| 1. Given Name (Fir<br>William           | rst Name)               | 2. Surname (Last Name)<br>Levine               | 3. Effective Date (07-August-2008)<br>27-April-2011 |
| 4. Are you the cor                      | responding author?      | ✓ Yes No                                       |   |
| 5. Manuscript Title<br>Long Term follow |                         | niarthroplasty for Glenohumeral Osteoarthritis |   |
| 6. Manuscript Ider                      | ntifying Number (if you | know it)                                       |   |

## **Section 2.** The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

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| The Work Under Consideration   | for Publ | lication                |                                  |                |            |     |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>√</b> |                         |                                  |                |            | ×   |



| The Work Un | der Consideration 1 | for Pub  | lication                |                                  |                |            |     |
|-------------|---------------------|----------|-------------------------|----------------------------------|----------------|------------|-----|
|             | Туре                | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
|             |                     |          |                         |                                  |                |            | ADD |
| 7. Other    |                     | <b>✓</b> |                         |                                  |                |            | ×   |
|             |                     |          |                         |                                  |                |            | ADD |

## **Section 3.** Relevant financial activities outside the submitted work.

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| Relevant financial activities out  | side the | submit                  | ted work                         |                 |                  |     |
|--|----------|-------------------------|----------------------------------|-----------------|------------------|-----|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity          | Comments         |     |
| 1. Board membership  | <b>✓</b> |                         |                                  |                 |                  | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| 2. Consultancy   | <b>√</b> |                         |                                  |                 |                  | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| 3. Employment  | <b>✓</b> |                         |                                  |                 |                  | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |                 |                  | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| 5. Grants/grants pending   |          |                         | <b>✓</b>                         | Zimmer, Stryker | Research support | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |                 |                  | ×   |
|  |          |                         |                                  |                 |                  | ADD |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                         | <b>✓</b> |                         |                                  |                 |                  | ×   |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities out  | side the | submit                  | ted work                         |                               |                           |     |
|--|----------|-------------------------|----------------------------------|-------------------------------|---------------------------|-----|
| Type of Relationship (in alphabetical order)   | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity                        | Comments                  |     |
|  |          |                         |                                  |                               |                           | ADD |
| <ol><li>Patents (planned, pending or issued)</li></ol>                                 | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 9. Royalties   | ✓        |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| <ol><li>Payment for development of educational presentations</li></ol>                 | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 11. Stock/stock options  | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed**     | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 13. Other (err on the side of full disclosure)   | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| * This means money that your institution<br>** For example, if you report a consultand |          |                         |                                  | ravel related to that consult | ancy on this line.        |     |
| Section 4. Other relationsh  | nips     |                         |                                  |                               |                           |     |
| Are there other relationships or activi  |          |                         | •                                | to have influenced, or th     | at give the appearance of |     |

# Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? No other relationships/conditions/circumstances that present a potential conflict of interest Yes, the following relationships/conditions/circumstances are present (explain below): At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

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Hide All Table Rows Checked 'No'

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| Given Name (First Name)  Duong         | 2. Surname (Last Name) Nguyen | 3. Effective Date (07-August-<br>06-May-2011         | 2008 |
|--|-------------------------------|--|------|
| 4. Are you the corresponding author?   | Yes 🗸 No                      | Corresponding Author's Name<br>William N. Levine, MD |      |
| 5. Manuscript Title<br>Glenohumeral OA |                               |  |      |

## Section 2. The Work Under Consideration for Publication

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| The Work Under Consideration   | or Pub   | lication                |                                  |                |            |     |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li></ol> | <b>V</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |



| The Work ( | Jnder Consideration ( | for Pub  | lication                |                                  |                |            |     |
|------------|-----------------------|----------|-------------------------|----------------------------------|----------------|------------|-----|
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|            |                       |          |                         |                                  |                |            | ADD |
| 7. Other   |                       | <b>✓</b> |                         |                                  |                |            | ×   |
|            |                       |          |                         |                                  |                |            | ADD |

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|--|----------|-------------------------|----------------------------------|--------|----------|-----|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |
| 1. Board membership  | <b>✓</b> |                         |                                  |        |          | ×   |
|  |          |                         |                                  |        |          | ADD |
| 2. Consultancy   | <b>✓</b> |                         |                                  |        |          | ×   |
|  |          |                         |                                  |        |          | ADD |
| 3. Employment  | <b>✓</b> |                         |                                  |        |          | ×   |
|  |          |                         |                                  |        |          | ADD |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |        |          | ×   |
|  |          |                         |                                  |        |          | ADD |
| 5. Grants/grants pending   | <b>✓</b> |                         |                                  |        |          | ×   |
|  |          |                         |                                  |        |          | ADD |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |        |          | X   |
|  |          |                         |                                  |        |          | ADD |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                         | <b>✓</b> |                         |                                  |        |          | ×   |

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|  |          |                         |                                  |                               |                           | ADD |
| <ol><li>Patents (planned, pending or issued)</li></ol>                                 | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 9. Royalties   | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 10. Payment for development of educational presentations                               | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 11. Stock/stock options  | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed**     | <b>√</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| 13. Other (err on the side of full disclosure)   | <b>✓</b> |                         |                                  |                               |                           | ×   |
|  |          |                         |                                  |                               |                           | ADD |
| * This means money that your institution<br>** For example, if you report a consultand |          |                         |                                  | ravel related to that consult | cancy on this line.       |     |
| Section 4. Other relationsh  | nips _   |                         |                                  |                               |                           |     |
|  |          | uaadaus s               | audal manaati isa                | to have influencedtl-         | at aire the annager       |     |
| Are there other relationships or active  |          |                         | •                                | to nave influenced, or th     | at give the appearance of |     |

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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**SAVE** 



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|--|----------------------------------|--|---|
| 1. Given Name (First Name)<br>Evan       | 2. Surname (Last Name)<br>Flatow |  | s. Effective Date (07-August-2008)<br>06-May-2011 |
| 4. Are you the corresponding author?     | ☐ Yes 🗸 No                       | Corresponding Author's Name<br>William N. Levine, MD | e   |
| 5. Manuscript Title<br>Glenohumeral OA   |                                  |  |   |
| 6. Manuscript Identifying Number (if you | know it)                         |  |   |

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|--|----------|-------------------------|----------------------------------|----------------|------------|-----|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>/</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |
|  |          |                         |                                  |                |            | ADD |
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|--|------|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|
|  | Туре | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |
| 7. Other                                     |      | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |

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|--|----------|-------------------------|----------------------------------|--------------|----------|-----|--|--|--|
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| 1. Board membership  | <b>✓</b> |                         |                                  |              |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |
| 2. Consultancy   |          | <b>√</b>                |                                  | Zimmer, Inc. |          | ×   |  |  |  |
| 2. Consultancy   |          | <b>✓</b>                |                                  | Innomed Inc. |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |
| 3. Employment  | <b>✓</b> |                         |                                  |              |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |              |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |
| 5. Grants/grants pending   | <b>✓</b> |                         |                                  |              |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |              |          | ×   |  |  |  |
|  |          |                         |                                  |              |          | ADD |  |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work  |                       |                            |                                  |                              |                            |          |  |  |  |
|---|-----------------------|----------------------------|----------------------------------|------------------------------|----------------------------|----------|--|--|--|
| Type of Relationship (in alphabetical order)  | No                    | Money<br>Paid to<br>You    | Money to<br>Your<br>Institution* | Entity                       | Comments                   |          |  |  |  |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>  | <b>✓</b>              |                            |                                  |                              |                            | ×        |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| <ol><li>Patents (planned, pending or issued)</li></ol>  |                       | <b>✓</b>                   |                                  | Zimmer, Inc                  |                            | ×        |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| 9. Royalties  |                       | ✓                          |                                  | Zimmer, Inc                  |                            | ×        |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| Payment for development of educational presentations  | <b>✓</b>              |                            |                                  |                              |                            | ×        |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| 11. Stock/stock options   | ✓                     |                            |                                  |                              |                            | X        |  |  |  |
| 12. Travel/accommodations/ meeting expenses unrelated to activities listed**  |                       | <b>✓</b>                   |                                  | Zimmer, Inc                  |                            | ADD<br>X |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| <ol><li>Other (err on the side of full disclosure)</li></ol>  | <b>✓</b>              |                            |                                  |                              |                            | ×        |  |  |  |
|   |                       |                            |                                  |                              |                            | ADD      |  |  |  |
| * This means money that your institution<br>** For example, if you report a consultanc  | received<br>y above t | for your ef<br>:here is no | forts.<br>need to report t       | ravel related to that consul | tancy on this line.        |          |  |  |  |
| Section 4. Other relationsh   | nips                  |                            |                                  |                              |                            |          |  |  |  |
| Are there other relationships or activi potentially influencing, what you wro   |                       |                            |                                  | to have influenced, or th    | aat give the appearance of |          |  |  |  |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  Yes, the following relationships/conditions/circumstances are present (explain below): |                       |                            |                                  |                              |                            |          |  |  |  |
| At the time of manuscript acceptance  | -                     |                            |                                  |                              |                            | ments.   |  |  |  |

**Hide All Table Rows Checked 'No'** 

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#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

## The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



| Section 1.                             | Identifying Infor       | mation                            |  |    |  |  |  |
|--|-------------------------|-----------------------------------|--|----|--|--|--|
| ,                                      |                         | 2. Surname (Last Name)<br>Fischer | •  |    |  |  |  |
| 4. Are you the corresponding author?   |                         | Yes 🗸 No                          | Corresponding Author's Na<br>William N. Levine, MD | me |  |  |  |
| 5. Manuscript Title<br>Long Term Follo |                         | miarthroplasty for Glenohu        | ımeral Osteoarthritis                              |    |  |  |  |
| 6. Manuscript Ide                      | ntifying Number (if you | know it)                          |  |    |  |  |  |

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration for Publication   |          |                         |                                  |                |            |     |  |  |  |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|--|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |  |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li></ol> | <b>V</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |



| The Work Under Consideration for Publication |      |          |                         |                                  |                |            |     |  |  |
|--|------|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|
|  | Туре | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |
| 7. Other                                     |      | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| Relevant financial activities outside the submitted work                         |          |                         |                                  |        |          |     |  |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|--|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |  |
| 1. Board membership  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 2. Consultancy   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 3. Employment  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 5. Grants/grants pending   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |        |          | X   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                         | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work                                |          |                         |                                  |                               |                           |     |  |  |  |
|---|----------|-------------------------|----------------------------------|-------------------------------|---------------------------|-----|--|--|--|
| Type of Relationship (in alphabetical order)  | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity                        | Comments                  |     |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| <ol><li>Patents (planned, pending or issued)</li></ol>                                  | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| 9. Royalties  | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| <ol><li>Payment for development of educational presentations</li></ol>                  | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| 11. Stock/stock options   | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed**      | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| 13. Other (err on the side of full disclosure)  | <b>✓</b> |                         |                                  |                               |                           | ×   |  |  |  |
|   |          |                         |                                  |                               |                           | ADD |  |  |  |
| * This means money that your institution<br>** For example, if you report a consultance |          |                         |                                  | ravel related to that consult | tancy on this line.       |     |  |  |  |
| Section 4. Other relationsh   | nips     |                         |                                  |                               |                           |     |  |  |  |
| Are there other relationships or activing potentially influencing, what you wro         |          |                         | •                                | to have influenced, or th     | at give the appearance of |     |  |  |  |

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



#### **Instructions**

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#### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

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This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



| Section 1.                             | Identifying Infor       | mation   |   |    |
|--|-------------------------|--|---|----|
| 1. Given Name (First Name)<br>Louis    |                         | 2. Surname (Last Name)<br>Bigliani                     | 3. Effective Date (07-August-2008)<br>27-April-2011 |    |
| 4. Are you the corresponding author?   |                         | Yes No Corresponding Author's Na William N. Levine, MD |   | me |
| 5. Manuscript Title<br>Long Term Follo |                         | miarthroplasty for Glenohu                             | ımeral Osteoarthritis                               |    |
| 6. Manuscript Ide                      | ntifying Number (if you | know it)   |   |    |

# **Section 2.** The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration for Publication   |          |                         |                                  |                |            |     |  |  |  |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|--|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |  |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li></ol> | <b>V</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |
|  |          |                         |                                  |                |            | ADD |  |  |  |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |  |  |



| The Work Under Consideration for Publication |      |          |                         |                                  |                |            |     |  |  |
|--|------|----------|-------------------------|----------------------------------|----------------|------------|-----|--|--|
|  | Туре | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |
| 7. Other                                     |      | <b>✓</b> |                         |                                  |                |            | ×   |  |  |
|  |      |          |                         |                                  |                |            | ADD |  |  |

# Section 3. Relevant financial activities outside the submitted work.

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| Relevant financial activities outside the submitted work                         |          |                         |                                  |        |          |     |  |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|--|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |  |
| 1. Board membership  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 2. Consultancy   | <b>✓</b> |                         |                                  |        |          | X   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 3. Employment  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| 5. Grants/grants pending   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |  |
| <ol><li>Payment for manuscript<br/>preparation</li></ol>                         | <b>✓</b> |                         |                                  |        |          | ×   |  |  |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work                           |          |                         |                                  |                     |  |     |  |  |  |
|--|----------|-------------------------|----------------------------------|---------------------|--|-----|--|--|--|
| Type of Relationship (in alphabetical order)                                       | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity              | Comments   |     |  |  |  |
|  |          |                         |                                  |                     |  | ADD |  |  |  |
| 8. Patents (planned, pending or issued)  |          | <b>✓</b>                | <b>✓</b>                         | Zimmer Holding Inc. | In order to eliminate future conflicts I have received a lump sum royalty payment and will not personally receive additional royalties from the company. Columbia University will continue to receive royalties, a portion of which will be paid to my lab account in accord with the University's IP policy | ×   |  |  |  |
|  |          |                         |                                  |                     |  | ADD |  |  |  |
| 9. Royalties   |          | <b>✓</b>                | <b>✓</b>                         | Zimmer Holding Inc. | In order to eliminate future conflicts I have received a lump sum royalty payment and will not personally receive additional royalties from the company. Columbia University will continue to receive royalties, a portion of which will be paid to my lab account in accord with the University's IP policy | ×   |  |  |  |
|  |          |                         |                                  |                     |  | ADD |  |  |  |
| <ol><li>Payment for development of educational presentations</li></ol>             | <b>✓</b> |                         |                                  |                     |  | ×   |  |  |  |
|  |          |                         |                                  |                     |  | ADD |  |  |  |
| 11. Stock/stock options  | <b>✓</b> |                         |                                  |                     |  | ×   |  |  |  |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed** | <b>✓</b> |                         |                                  |                     |  | X X |  |  |  |
| 13. Other (err on the side of full   |          |                         |                                  |                     |  | ADD |  |  |  |
| disclosure)  | <b>✓</b> |                         |                                  |                     |  | ×   |  |  |  |
|  |          |                         |                                  |                     |  | ADD |  |  |  |



- \* This means money that your institution received for your efforts.
- \*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

| Cartina A  |  |
|------------|--|
| Section 4. | Other relationships  |
|            | elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?  |
|            | utionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):   |
|            | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statement<br>rnals may ask authors to disclose further information about reported relationships. |
|            | Hide All Table Rows Checked 'No' SAVE  |

#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



#### **Instructions**

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#### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

#### 4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



| Section 1.                              | Identifying Infor       | mation                          |  |   |
|---|-------------------------|---------------------------------|--|---|
| 1. Given Name (Fi<br>Christopher        | rst Name)               | 2. Surname (Last Name)<br>Ahmad |  | 3. Effective Date (07-August-2008)<br>27-April-2011 |
| 4. Are you the cor                      | responding author?      | Yes 🗸 No                        | Corresponding Author's Na<br>William N. Levine, MD | me  |
| 5. Manuscript Title<br>Long Term follow |                         | niarthroplasty for Glenohu      | meral Osteoarthritis                               |   |
| 6. Manuscript Ide                       | ntifying Number (if you | know it)                        |  |   |

## **Section 2.** The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| The Work Under Consideration for Publication   |          |                         |                                  |                |            |     |  |
|--|----------|-------------------------|----------------------------------|----------------|------------|-----|--|
| Туре   | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |
| 1. Grant   | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |          |                         |                                  |                |            | ADD |  |
| 2. Consulting fee or honorarium  | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |          |                         |                                  |                |            | ADD |  |
| <ol><li>Support for travel to meetings for<br/>the study or other purposes</li></ol>   | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |          |                         |                                  |                |            | ADD |  |
| <ol> <li>Fees for participation in review<br/>activities such as data monitoring<br/>boards, statistical analysis, end<br/>point committees, and the like</li> </ol> | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |          |                         |                                  |                |            | ADD |  |
| <ol><li>Payment for writing or reviewing<br/>the manuscript</li></ol>  | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |          |                         |                                  |                |            | ADD |  |
| <ol><li>Provision of writing assistance,<br/>medicines, equipment, or<br/>administrative support</li></ol>   | <b>√</b> |                         |                                  |                |            | ×   |  |



| The Work Under Consideration for Publication |      |          |                         |                                  |                |            |     |  |
|--|------|----------|-------------------------|----------------------------------|----------------|------------|-----|--|
|  | Туре | No       | Money<br>Paid<br>to You | Money to<br>Your<br>Institution* | Name of Entity | Comments** |     |  |
|  |      |          |                         |                                  |                |            | ADD |  |
| 7. Other                                     |      | <b>✓</b> |                         |                                  |                |            | ×   |  |
|  |      |          |                         |                                  |                |            | ADD |  |

#### Section 3. Release

Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

| Relevant financial activities outside the submitted work                         |          |                         |                                  |  |          |     |  |
|--|----------|-------------------------|----------------------------------|--|----------|-----|--|
| Type of Relationship (in alphabetical order)                                     | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity   | Comments |     |  |
| 1. Board membership  | <b>✓</b> |                         |                                  |  |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |
| 2. Consultancy   |          | <b>√</b>                |                                  | Acumed & Arthrex                                       |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |
| 3. Employment  | <b>✓</b> |                         |                                  |  |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |
| 4. Expert testimony  | <b>✓</b> |                         |                                  |  |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |
| 5. Grants/grants pending   |          |                         | <b>✓</b>                         | Stryker, Zimmer,<br>Arthrex & Major<br>League Baseball |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |
| <ol><li>Payment for lectures including<br/>service on speakers bureaus</li></ol> | <b>✓</b> |                         |                                  |  |          | ×   |  |
|  |          |                         |                                  |  |          | ADD |  |

<sup>\*</sup> This means money that your institution received for your efforts on this study.

<sup>\*\*</sup> Use this section to provide any needed explanation.



| Relevant financial activities outside the submitted work   |          |                         |                                  |        |          |     |  |  |
|--|----------|-------------------------|----------------------------------|--------|----------|-----|--|--|
| Type of Relationship (in alphabetical order)   | No       | Money<br>Paid to<br>You | Money to<br>Your<br>Institution* | Entity | Comments |     |  |  |
| 7. Payment for manuscript preparation  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| <ol><li>Patents (planned, pending or issued)</li></ol>   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         | _                                |        |          | ADD |  |  |
| 9. Royalties   | ✓        |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| Payment for development of educational presentations   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 11. Stock/stock options  | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 12. Travel/accommodations/<br>meeting expenses unrelated to<br>activities listed**   | <b>√</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| 13. Other (err on the side of full disclosure)   | <b>✓</b> |                         |                                  |        |          | ×   |  |  |
|  |          |                         |                                  |        |          | ADD |  |  |
| * This means money that your institution received for your efforts.  ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line. |          |                         |                                  |        |          |     |  |  |
| Section 4. Other relationsh  | nips     |                         |                                  |        |          |     |  |  |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?          |          |                         |                                  |        |          |     |  |  |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  |          |                         |                                  |        |          |     |  |  |
| Yes, the following relationships/conditions/circumstances are present (explain below):   |          |                         |                                  |        |          |     |  |  |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.  |          |                         |                                  |        |          |     |  |  |

Hide All Table Rows Checked 'No'

On occasion, journals may ask authors to disclose further information about reported relationships.

SAVE



#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.