

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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Section 1.	ldentifying Inf	ormation	
1. Given Name (Fi Joshua	rst Name)	2. Surname (Last Name) Auerbach	3. Effective Date (07-August-2008) 28-August-2012
4. Are you the cor	responding author?	✓ Yes No	
5. Manuscript Title Mitigating Adve		g Bias in Spine Surgery	
6. Manuscript Idei	ntifying Number (if yo	ou know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

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The Work Under Consideration for Publication							
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
1. Grant	✓					×	
						ADD	
2. Consulting fee or honorarium		\checkmark		Paradigm Spine		×	
						ADD	
Support for travel to meetings for the study or other purposes		✓		Paradigm Spine		×	
						ADD	
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 		✓		Paradigm Spine		×	
						ADD	
Payment for writing or reviewing the manuscript		✓		Paradigm Spine		×	
						ADD	
Provision of writing assistance, medicines, equipment, or administrative support	✓					×	



The Work Under Consideration for Publication									
Туре	No	Money Paid to You		Name of Entity	Comments**				
						ADD			
7. Other		✓		Paradigm Spine	Consultant	×			
						ADD			

Section 3. Relevant financial activities outside the submitted work.

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Relevant financial activities out	Relevant financial activities outside the submitted work							
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership	✓					×		
						ADD		
2. Consultancy		✓		Paradigm Spine; Synthes Spine; Medacta International; Medical Metrics, Inc.; Simpirica Spine		×		
						ADD		
3. Employment	✓					×		
						ADD		
4. Expert testimony	✓					×		
						ADD		
5. Grants/grants pending	✓					×		
						ADD		
Payment for lectures including service on speakers bureaus		\checkmark		Paradigm Spine; Synthes Spine		×		

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^{**} Use this section to provide any needed explanation.



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
						ADD			
Payment for manuscript preparation		✓		Paradigm Spine		×			
						ADD			
Patents (planned, pending or issued)		✓		Medacta International		×			
						ADD			
9. Royalties		✓		Medacta International		×			
						ADD			
Payment for development of educational presentations		✓		Paradigm Spine; Synthes Spine Medacta International		×			
						ADD			
11. Stock/stock options	\checkmark					×			
						ADD			
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	✓					×			
						ADD			
13. Other (err on the side of full disclosure)	✓					×			
						ADD			
* This means money that your institution ** For example, if you report a consultanc				ravel related to that consul	tancy on this line.				

Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):



At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Marci	irst Name)	2. Surname (Last Name) Halevi		3. Effective Date (07-August-2008) 11-September-2012
4. Are you the corresponding author?		Yes Vo	Corresponding Author's Na Joshua D. Auerbach, MD	
5. Manuscript Titl Mitigating Adve	e rse Event Reporting B	ias in Spine Surgery		
6. Manuscript Ide	ntifying Number (if you	know it)		

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1. Grant	✓					×	
						ADD	
2. Consulting fee or honorarium	✓					×	
						ADD	
3. Support for travel to meetings for the study or other purposes	✓					×	
						ADD	
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 		✓		Paradigm Spine		×	
						ADD	
Payment for writing or reviewing the manuscript	✓					×	
						ADD	
Provision of writing assistance, medicines, equipment, or administrative support	✓					×	



The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
						ADD			
7. Other		√		Paradigm Spine	Employee	×			
						ADD			

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Relevant financial activities outside the submitted work							
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
1. Board membership	✓					×	
						ADD	
2. Consultancy	✓					×	
						ADD	
3. Employment		✓		Paradigm Spine		X	
						ADD	
4. Expert testimony	✓					X	
						ADD	
5. Grants/grants pending	√					X	
						ADD	
Payment for lectures including service on speakers bureaus	✓					X	
						ADD	
Payment for manuscript preparation	\checkmark					×	

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Type of Relationship (in alphabetical order)	No No	Money Paid to You	Money to Your Institution*	Entity	Comments			
						ADD		
Patents (planned, pending or issued)	✓					×		
						ADD		
9. Royalties	✓					×		
						ADD		
Payment for development of educational presentations	✓					×		
						ADD		
11. Stock/stock options		✓		Paradigm Spine		×		
						ADD		
 Travel/accommodations/ meeting expenses unrelated to activities listed** 	√					×		
						ADD		
Other (err on the side of full disclosure)	✓					×		
* This means money that your institution ** For example, if you report a consultance				ravel related to that consul	tancy on this line.	ADD		

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	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Section 1. Identifying Infor	mation		
Given Name (First Name) Peter	2. Surname (Last Name) Whang		3. Effective Date (07-August-2008) 30-August-2012
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Nan Joshua Auerbach	ne
5. Manuscript Title Mitigating Adverse Event Reporting E	ias in Spine Surgery		
6. Manuscript Identifying Number (if you	know it)		

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The Work Under Consideration f	or Publ	ication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	✓					×
						ADD
2. Consulting fee or honorarium	✓					×
						ADD
Support for travel to meetings for the study or other purposes	✓					×
						ADD
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 		✓		Paradigm Spine	Data Safety Monitoring Board and Clinical Events Committee	×
						ADD
Payment for writing or reviewing the manuscript	✓					×
						ADD
Provision of writing assistance, medicines, equipment, or administrative support	✓					×



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
						ADD		
7. Other	√					×		
						ADD		

Section 3.

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Relevant financial activities outside the submitted work								
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership		✓		Cerapedics	Safety Advisory Board	×		
1. Board membership		✓		Relievant	Data Safety Monitoring Board and Enrollment Committee	×		
1. Board membership		✓		ProFibrix	Data Monitoring Committee	×		
						ADD		
2. Consultancy		\checkmark		Medtronic		×		
2. Consultancy		√		Stryker Spine		×		
2. Consultancy		\checkmark		Musculoskeletal Transplant Foundation		×		
						ADD		
3. Employment	\checkmark					×		
						ADD		
4. Expert testimony	\checkmark					×		
						ADD		

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Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
5. Grants/grants pending	✓					×
						ADD
Payment for lectures including service on speakers bureaus		✓		Medtronic		×
6. Payment for lectures including service on speakers bureaus		\checkmark		Stryker Spine		×
6. Payment for lectures including service on speakers bureaus		✓		Musculoskeletal Transplant Foundation		×
						ADD
Payment for manuscript preparation	✓					×
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties	✓					×
						ADD
10. Payment for development of educational presentations	√					×
						ADD
11. Stock/stock options		✓		DiFusion	Scientific Advisory Board	×
						ADD
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	✓					×
						ADD
13. Other (err on the side of full disclosure)		✓		Trans1	Independent contractor (data analysis)	×
						ADD

^{*} This means money that your institution received for your efforts.

^{**} For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.



Section 4.	Other relationships
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
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Given Name (First Name)	2. Surname (Last Name)		3. Effective Date (07-August-2008)
Alok	Sharan		05-September-2012
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Na Josh Auerbach	me
5. Manuscript Title Mitigating Adverse Event Reporting I	Bias in Spine Surgery		
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1. Grant	✓					×
						ADD
2. Consulting fee or honorarium		\checkmark		Paradigm Spine		×
						ADD
Support for travel to meetings for the study or other purposes		✓		Paradigm Spine		×
						ADD
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×
						ADD
Payment for writing or reviewing the manuscript	✓					×
						ADD
Provision of writing assistance, medicines, equipment, or administrative support	√					×



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Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
						ADD			
7. Other	✓					×			
						ADD			

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1. Board membership	✓					×	
						ADD	
2. Consultancy	√					X	
						ADD	
3. Employment	✓					X	
						ADD	
4. Expert testimony	✓					X	
						ADD	
5. Grants/grants pending	✓					X	
						ADD	
Payment for lectures including service on speakers bureaus	✓					×	
						ADD	
Payment for manuscript preparation	✓					×	

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Relevant financial activities outs	مطع ماء:	. cu basiti	tod work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options	✓					×
						ADD
 Travel/accommodations/ meeting expenses unrelated to activities listed** 	✓					×
						ADD
Other (err on the side of full disclosure)	✓					×
* This means money that your institution ** For example, if you report a consultance				ravel related to that consult	tancy on this line.	ADD

Carthau A	
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	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

2. The work under consideration for publication.

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Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	ldentifying Infor	mation		
1. Given Name (Fi Kevin	rst Name)	2. Surname (Last Name) McGowan		3. Effective Date (07-August-2008) 30-August-2012
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Na Joshua Auerbach	ame
5. Manuscript Title Mitigating adver	e se event reporting bi	as in spine surgery.		
6. Manuscript Idei	ntifying Number (if you	know it)		

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Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

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The Work Under Consideration (for Publ	ication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	✓					×
						ADD
2. Consulting fee or honorarium			✓	Paradigm Spine		×
						ADD
Support for travel to meetings for the study or other purposes	✓					×
						ADD
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×
						ADD
Payment for writing or reviewing the manuscript			\checkmark	Paradigm Spine		×
						ADD
Provision of writing assistance, medicines, equipment, or administrative support	√					×



The Work Under Consideration for Publication								
т	ype	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
							ADD	
7. Other		✓					×	
							ADD	

Section 3.

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Relevant financial activities outside the submitted work								
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership	✓					×		
						ADD		
2. Consultancy	✓					×		
						ADD		
3. Employment		✓		Musculoskeletal Clinical Regulatory Advisers, LLC		×		
						ADD		
4. Expert testimony	✓					×		
						ADD		
5. Grants/grants pending	✓					×		
						ADD		
Payment for lectures including service on speakers bureaus	✓					×		
						ADD		

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^{**} Use this section to provide any needed explanation.



Relevant financial activities outs	ide the	submitt	ted work					
Type of Relationship (in alphabetical order)	No No	Money Paid to You	Money to Your Institution*	Entity	Comments			
7. Payment for manuscript preparation	✓					×		
						ADD		
Patents (planned, pending or issued)	√					×		
						ADD		
9. Royalties	✓					×		
						ADD		
Payment for development of educational presentations	√					×		
						ADD		
11. Stock/stock options	✓					×		
						ADD		
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	✓					×		
						ADD		
13. Other (err on the side of full disclosure)	√					×		
* This means money that your institution received for your efforts. ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.								
Section 4. Other relationsh	nips							
Are there other relationships or activi potentially influencing, what you wro				to have influenced, or th	at give the appearance of			

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 1.	Identifying Infor	mation		
1. Given Name (First Name) Greg 2. Surname (Last Name) Maislin			3. Effective Date (07-August-2008) 30-August-2012	
4. Are you the corn	responding author?	Yes ✓ No	Corresponding Author's Na Joshua D. Auerbach, MD	
5. Manuscript Title Mitigating adver	e se event reporting bi	as in spine surgery		
6. Manuscript Ider	ntifying Number (if you	know it)		

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The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
1. Grant	✓					×			
						ADD			
2. Consulting fee or honorarium			V	Paradigm Spine LLC	Paid to Biomedical Statistical Consulting (BSC). Greg Maislin is Principal Biostatistician of BSC and the Study Biostatistician for the RCT generating these data.	×			
						ADD			
3. Support for travel to meetings for the study or other purposes	✓					×			
						ADD			
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	✓					×			
						ADD			



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
5. Payment for writing or reviewing the manuscript	✓					×		
						ADD		
Provision of writing assistance, medicines, equipment, or administrative support	✓					×		
						ADD		
7. Other	√					×		
						ADD		

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	✓					×
						ADD
2. Consultancy	✓					×
						ADD

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^{**} Use this section to provide any needed explanation.



Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
3. Employment			√	Biomedical Statistical Consulting	Mr. Maislin is Principal Biostatistician of Biomedical Statistical Consulting (BSC), an independent contract research organization specializing in statistical analysis of clinical trials conducted for regulatory review purposes, primarily in the area of orthopedic medical devices.	×
						ADD
4. Expert testimony	✓					×
						ADD
5. Grants/grants pending			√	University of Pennsylvania School of Medicine	Mr. Maislin is Adjunct Professor of Statistics in Medicine at the University of Pennsylvania School of Medicine. This work is unrelated to the subject matter of this study.	×
						ADD
Payment for lectures including service on speakers bureaus	✓					×
						ADD
Payment for manuscript preparation	√					×
						ADD
Patents (planned, pending or issued)	√					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD



11. Stock/stock options	✓					×			
						ADD			
 Travel/accommodations/ meeting expenses unrelated activities listed** 	I to					×			
						ADD			
13. Other (err on the side of full disclosure)	✓					×			
						ADD			
* This means money that your institution received for your efforts. ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.									
Section 4. Other relati	onships								
Are there other relationships or potentially influencing, what yo			•	to have influenced, o	r that give the appearance o	f			
✓ No other relationships/cond	itions/circum	stances th	nat present a p	otential conflict of int	erest				
Yes, the following relationsh	ips/condition	ns/circums	tances are pre	sent (explain below):					
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.									
Hide A	Hide All Table Rows Checked 'No' SAVE								

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Michael	rst Name)	2. Surname (Last Name) Gerling		3. Effective Date (07-August-2008) 10-September-2012
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Na Joshua Auerbach	me
5. Manuscript Title Mitigating Adve	e rse Event Reporting B	ias In Spine Surgery		
6. Manuscript Ide	ntifying Number (if you	know it)	_	

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The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
1. Grant	✓					×		
						ADD		
2. Consulting fee or honorarium	✓					×		
						ADD		
3. Support for travel to meetings for the study or other purposes	✓					×		
						ADD		
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 		✓		Paradigm Spine	I was on the Clinical Events Committee for the IDE trial that was used in this study.	×		
						ADD		
Payment for writing or reviewing the manuscript	✓					×		
						ADD		
Provision of writing assistance, medicines, equipment, or administrative support	√					×		



The Work Under Consideration for Publication						
Турс	e No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
						ADD
7. Other	✓					×
						ADD

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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	✓					×
						ADD
2. Consultancy	✓					X
						ADD
3. Employment	✓					×
						ADD
4. Expert testimony	✓					×
						ADD
5. Grants/grants pending	✓					×
						ADD
Payment for lectures including service on speakers bureaus	✓					×
						ADD
Payment for manuscript preparation	✓					×

^{*} This means money that your institution received for your efforts on this study.

^{**} Use this section to provide any needed explanation.



Relevant financial activities outs	ide the	submitt	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Patents (planned, pending or issued)	√					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options	✓					×
						ADD
 Travel/accommodations/ meeting expenses unrelated to activities listed** 	√					×
						ADD
Other (err on the side of full disclosure)	✓					×
* This means money that your institution ** For example, if you report a consultanc				ravel related to that consul	tancy on this line.	ADD

Section 4.	Other relationships				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?					
	tionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):				
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.				

Hide All Table Rows Checked 'No'

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