

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

3. Relevant financial activities outside the submitted work.

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4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Infor	mation	
1. Given Name (Fir Michael	rst Name)	2. Surname (Last Name) Fehlings	3. Effective Date (07-August-2008) 06-April-2012
4. Are you the corn	esponding author?	✓ Yes No	
	ion Rule to Determin	e Outcomes in Patients with Cervical Sp , Multicentre AOSpine North America C	oondylotic Myelopathy undergoing Surgical SM Study
6. Manuscript Ider	ntifying Number (if you	know it)	

Section 2. The Work Under Consideration for Publication

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The Work Under Consideration f	or Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant			✓	AOSpine North America	Costs to support study.	×
						ADD
2. Consulting fee or honorarium	√					×
						ADD
3. Support for travel to meetings for the study or other purposes		\checkmark		AOSpine North America	Travel for work related to trial covered.	×
						ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	√					×
						ADD
5. Payment for writing or reviewing the manuscript	\checkmark					×
						ADD



The Work Under Consideration for Publication						
Туре	No	Money Paid to You	Your	Name of Entity	Comments**	
Provision of writing assistance, medicines, equipment, or administrative support	✓					×
						ADD
7. Other	\checkmark					×
						ADD

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Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership	✓					×
						ADD
2. Consultancy		✓		Depuy Spine		×
						ADD
3. Employment	✓					×
						ADD
4. Expert testimony	✓					×
						ADD
5. Grants/grants pending	✓					×
						ADD
6. Payment for lectures including service on speakers bureaus	✓					×

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Payment for manuscript preparation	✓					×
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties		√		Depuy Spine		×
						ADD
Payment for development of educational presentations		✓		Depuy Spine		×
						ADD
11. Stock/stock options	✓					×
						ADD
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	√					×
						ADD
13. Other (err on the side of full disclosure)	\checkmark					×
						ADD
* This means money that your institution ** For example, if you report a consultance				ravel related to that consul	tancy on this line.	

Section 4.

Other relationships

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\checkmark No other relationships/conditions/circumstances that present a potential conflict of in	terest
--	--------

Yes, the following relationships/conditions/circumstances are present (explain below):



At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Hide All Table Rows Checked 'No'

SAVE

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Section 1.	ldentifying Infor	mation	
Given Name (Find S. Tim	rst Name)	2. Surname (Last Name) Yoon	3. Effective Date (07-August-2008) 08-April-2012
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Michael Fehlings, MD, PhD
	tion Rule to Determin	e Outcomes in Patients wit , Multicentre AOSpine Nort	h Cervical Spondylotic Myelopathy undergoing Surgical th America CSM Study
6. Manuscript Ider	ntifying Number (if you	know it)	

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The Work Under Consideration (or Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant			\checkmark	AO Spine North America		×
						ADD
2. Consulting fee or honorarium	✓					×
						ADD
3. Support for travel to meetings for the study or other purposes		\checkmark		AO Spine North America		×
						ADD
4. Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like	√					×
						ADD
5. Payment for writing or reviewing the manuscript	\checkmark					×
						ADD



The Work Under Consideration for Publication						
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
Provision of writing assistance, medicines, equipment, or administrative support	✓					×
						ADD
7. Other	✓					×
						ADD

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Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
1. Board membership		✓		Korean American Spine Society	Unpaid position	×
1. Board membership		\checkmark		The Spine Jouranl Deputy Editor	Unpaid position	×
						ADD
2. Consultancy		√		Medtronic		×
2. Consultancy		√		Stryker		×
						ADD
3. Employment	✓					×
						ADD
4. Expert testimony	✓					×
						ADD
5. Grants/grants pending			✓	Nuvasive		×

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Relevant financial activities out	side the	submit	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
5. Grants/grants pending			✓	Biomet Spine		×
5. Grants/grants pending			\checkmark	AOSpine North America		×
						ADD
Payment for lectures including service on speakers bureaus	✓					×
						ADD
Payment for manuscript preparation	✓					×
						ADD
Patents (planned, pending or issued)	\checkmark					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options		✓		Phygen		×
11. Stock/stock options		✓		Meditech Advisors		×
						ADD
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	✓					×
						ADD
13. Other (err on the side of full disclosure)	✓					×
						ADD

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Section 4.	Other relationships
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
	Hide All Table Rows Checked 'No'

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1. Given Name (Fi Alexander	rst Name)	2. Surname (Last Name) Vaccaro	3. Effective Date (0 09-April-2012	07-August-2008)
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Michael Fehlings, MD, PhD	
	ion Rule to Determin	e Outcomes in Patients wi , Multicentre AOSpine Nor	h Cervical Spondylotic Myelopathy undergoir th America CSM Study	ng Surgical
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Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	✓					×
						ADD
2. Consulting fee or honorarium	✓					×
						ADD
Support for travel to meetings for the study or other purposes	✓					×
						ADD
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	V					×
						ADD
Payment for writing or reviewing the manuscript	✓					×
						ADD
Provision of writing assistance, medicines, equipment, or administrative support	✓					×



The Work Under Consideration for Publication								
Ту	/pe	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
							ADD	
7. Other		\checkmark					×	
							ADD	

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Relevant financial activities outside the submitted work								
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments			
1. Board membership	✓					×		
						ADD		
2. Consultancy		✓		Gerson Lehrman Group		×		
2. Consultancy		✓		Guidepoint Global		×		
2. Consultancy		✓		Medacorp		×		
2. Consultancy		✓		Benvenue Medical		×		
						ADD		
3. Employment	\checkmark					×		
						ADD		
4. Expert testimony	\checkmark					×		
						ADD		
5. Grants/grants pending		\checkmark		Stryker Spine		×		
5. Grants/grants pending		√		Cerapedics		×		

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Payment for lectures including service on speakers bureaus	✓					×
						ADD
Payment for manuscript preparation	\checkmark					×
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties		\checkmark		DePuy		×
9. Royalties		✓		Medtronics		×
9. Royalties		✓		Stryker Spine		×
9. Royalties		✓		Biomet Spine		×
9. Royalties		\checkmark		Globus		×
9. Royalties		√		Nuvasive		×
9. Royalties		\checkmark		Aesculap		×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options		✓		Replication Medica		×
11. Stock/stock options		✓		K-2 Medical		×
11. Stock/stock options		\checkmark		Paradigm Spine		×
11. Stock/stock options		\checkmark		Stout Medical		×
11. Stock/stock options		✓		Spine Medica		×
11. Stock/stock options		✓		Computational Biodynamics		×
11. Stock/stock options		✓		Progressive Spinal Technologies		×
11. Stock/stock options		\checkmark		Spinology		×
11. Stock/stock options		√		Orthovita		×



11. Stock/stock options		✓	Vertiflex	×
11. Stock/stock options		✓	Small Bone Innovations	×
11. Stock/stock options		✓	Disk Motion Technology	×
11. Stock/stock options		✓	NeuCore	×
11. Stock/stock options		√	Cross Current	×
11. Stock/stock options		√	Syndicom	×
11. Stock/stock options		✓	In Vivo	×
11. Stock/stock options		✓	Flagship Surgical	×
11. Stock/stock options		✓	Advanced Spinal Intellectual Properties	×
11. Stock/stock options		\checkmark	Cytonics	×
11. Stock/stock options		✓	Bonovo Orthopaedics	×
11. Stock/stock options		✓	Electrolux	×
11. Stock/stock options		✓	Gamma Spine	×
11. Stock/stock options		✓	Location Based Intelligence	×
11. Stock/stock options		✓	FlowPharma	×
11. Stock/stock options		✓	R.I.S.	×
11. Stock/stock options		✓	Rothman Institute and Related Properties	×
				ADD
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	✓			×
				ADD
13. Other (err on the side of full disclosure)	✓			×
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Cartinu A	
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4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Na Michael Fehlings MD, Ph	
	tion Rule to Determin	e Outcomes in Patients wi Multicentre AOSpine Nor		opathy undergoing Surgical
6. Manuscript Idei	ntifying Number (if you	know it)		

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1. Grant	✓					×
						ADD
2. Consulting fee or honorarium	✓					×
						ADD
Support for travel to meetings for the study or other purposes	✓					×
						ADD
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×
						ADD
Payment for writing or reviewing the manuscript	✓					×
						ADD
Provision of writing assistance, medicines, equipment, or administrative support	√					×



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
						ADD		
7. Other	✓					×		
						ADD		

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						ADD		
2. Consultancy	\checkmark					×		
						ADD		
3. Employment	✓					×		
						ADD		
4. Expert testimony	\checkmark					×		
						ADD		
5. Grants/grants pending	✓					×		
						ADD		
Payment for lectures including service on speakers bureaus	✓					×		
						ADD		
Payment for manuscript preparation	✓					×		

^{*} This means money that your institution received for your efforts on this study.

^{**} Use this section to provide any needed explanation.



Relevant financial activities outs	ide the	submitt	ted work			
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options	✓					×
						ADD
 Travel/accommodations/ meeting expenses unrelated to activities listed** 	√					×
						ADD
Other (err on the side of full disclosure)	✓					×
* This means money that your institution ** For example, if you report a consultance				ravel related to that consul	tancy on this line.	ADD

Section 4.	Other relationships
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
	tionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

Hide All Table Rows Checked 'No'

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Instructions

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Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

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4. Other relationships.

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Section 1.	Identifying Infor	mation		
· · · · · · · · · · · · · · · · · · ·		2. Surname (Last Name) Massicotte		3. Effective Date (07-August-2008) 03-January-2011
4. Are you the corresponding author?		☐ Yes 🗸 No	Corresponding Author's Name Michael Fehlings, MD, PhD	
	tion Rule to Determin	e Outcomes in Patients wit , Multicentre AOSpine Nor		opathy undergoing Surgical
6. Manuscript Ider	ntifying Number (if you	know it)		

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

The Work Under Consideration for Publication									
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**				
1. Grant	✓					×			
						ADD			
2. Consulting fee or honorarium	✓					×			
						ADD			
Support for travel to meetings for the study or other purposes	✓					×			
						ADD			
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×			
						ADD			
Payment for writing or reviewing the manuscript	✓					×			
						ADD			
Provision of writing assistance, medicines, equipment, or administrative support	√					×			



The Work Under Consideration for Publication									
Ту	/pe	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
							ADD		
7. Other		\checkmark					×		
							ADD		

Section 3. Relevant financial activities outside the submitted work.

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Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
1. Board membership	✓					×			
						ADD			
2. Consultancy	✓					X			
						ADD			
3. Employment	✓					×			
						ADD			
4. Expert testimony	✓					×			
						ADD			
5. Grants/grants pending	\checkmark					×			
						ADD			
Payment for lectures including service on speakers bureaus	✓					×			
						ADD			
Payment for manuscript preparation	✓					×			

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						ADD			
Patents (planned, pending or issued)	√					×			
						ADD			
9. Royalties	✓					×			
						ADD			
Payment for development of educational presentations	✓					×			
						ADD			
11. Stock/stock options	✓					×			
						ADD			
 Travel/accommodations/ meeting expenses unrelated to activities listed** 		✓		AOSPINE North America	Member of the Educational Committee	×			
						ADD			
Other (err on the side of full disclosure)	✓					×			
						ADD			
* This means money that your institution ** For example, if you report a consultance				ravel related to that consul	tancy on this line.				

Section 4.	
Section 1.	Other relationships
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
	ationships/conditions/circumstances that present a potential conflict of interest
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. Irnals may ask authors to disclose further information about reported relationships.

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4. Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Infor	mation	
1. Given Name (First Name) 2. Surname (Last Name) Branko Kopjar		,	3. Effective Date (07-August-2008) 17-April-2012
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Michael Fehlings, MD, PhD
	tion Rule to Determin	e Outcomes in Patients wit , Multicentre AOSpine Nort	h Cervical Spondylotic Myelopathy undergoing Surgical h America CSM Study
6. Manuscript Ide	ntifying Number (if you	know it)	

Section 2. The Work Under Consideration for Publication

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The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
1. Grant			✓	AO Foundation		×		
						ADD		
2. Consulting fee or honorarium	✓					×		
						ADD		
Support for travel to meetings for the study or other purposes		✓		AO Foundation		×		
						ADD		
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×		
						ADD		
Payment for writing or reviewing the manuscript	✓					×		
						ADD		
Provision of writing assistance, medicines, equipment, or administrative support	✓					×		



The Work Under Consideration for Publication									
Ту	/pe	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
							ADD		
7. Other		\checkmark					×		
							ADD		

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Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
1. Board membership	✓					×			
						ADD			
2. Consultancy	\checkmark					×			
						ADD			
3. Employment	✓					×			
						ADD			
4. Expert testimony	\checkmark					×			
						ADD			
5. Grants/grants pending	✓					×			
						ADD			
Payment for lectures including service on speakers bureaus	✓					×			
						ADD			
Payment for manuscript preparation	✓					×			

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^{**} Use this section to provide any needed explanation.



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						ADD			
Patents (planned, pending or issued)	✓					×			
						ADD			
9. Royalties	✓					×			
						ADD			
Payment for development of educational presentations	✓					×			
						ADD			
11. Stock/stock options	✓					×			
						ADD			
 Travel/accommodations/ meeting expenses unrelated to activities listed** 	√					×			
						ADD			
Other (err on the side of full disclosure)	✓					×			
* This means money that your institution received for your efforts. ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.									

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	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
	tionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

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Section 1.	Identifying Infor	mation	
,		2. Surname (Last Name) Arnold	3. Effective Date (07-August-2008) 06-April-2012
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Michael Fehlings, MD, PhD
	tion Rule to Determin	e Outcomes in Patients wi , Multicentre AOSpine Nor	th Cervical Spondylotic Myelopathy undergoing Surgical th America CSM Study
6. Manuscript Idei	ntifying Number (if you	know it)	

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The Work Under Consideration for Publication							
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
1. Grant	✓					×	
						ADD	
2. Consulting fee or honorarium	✓					×	
						ADD	
Support for travel to meetings for the study or other purposes	✓					×	
						ADD	
 Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like 	✓					×	
						ADD	
Payment for writing or reviewing the manuscript	✓					×	
						ADD	
Provision of writing assistance, medicines, equipment, or administrative support	√					×	



The Work Under Consideration for Publication							
Ту	pe No		Money to Your Institution*	Name of Entity	Comments**		
						ADD	
7. Other	✓					×	
						ADD	

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Relevant financial activities outside the submitted work							
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
1. Board membership		✓		AOSpine	11,000	×	
						ADD	
2. Consultancy		\checkmark		Stryker Spine	22,500	×	
2. Consultancy		✓		K2M	4,000	×	
2. Consultancy		✓		Medtronic	2,000	X	
2. Consultancy		✓		Spinewave	1,250	×	
2. Consultancy		√		Integra Spine	6,625	×	
						ADD	
3. Employment	✓					×	
						ADD	
4. Expert testimony	✓					×	
						ADD	
5. Grants/grants pending		✓		AOSpine North America	76,960	×	

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Relevant financial activities outside the submitted work						
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments	
						ADD
Payment for lectures including service on speakers bureaus	✓					×
						ADD
Payment for manuscript preparation	✓					×
						ADD
Patents (planned, pending or issued)	✓					×
						ADD
9. Royalties	✓					×
						ADD
Payment for development of educational presentations	✓					×
						ADD
11. Stock/stock options	✓					×
						ADD
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	√					×
						ADD
Other (err on the side of full disclosure)	✓					×
						ADD

Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

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