

#### Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1.	Identifying Inforn	nation		
1. Given Name (Fin Alexander	rst Name)	2. Surname (Last Name) Choo		3. Date 07-December-2013
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na	me
5. Manuscript Title MUSCLE GENE EX		IN HUMAN ROTATOR CUF	F PATHOLOGY	
6. Manuscript Ider	ntifying Number (if you ki	now it)		
			_	
Section 2.	The Work Under C	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including etc.)?	g but not limited to grants, da		mmercial, private foundation, etc.) for esign, manuscript preparation,
Are there any rele	evant conflicts of inter	est? 🖌 Yes 🔄 No		

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to a	ld a row.
Excess rows can be removed by pressing the "X" button.	

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
OREF resident research grant	$\checkmark$					

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Are there any relevant conflicts of interest? Yes

✓ No

#### Section 4. **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ✓ No Yes



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Dr. Choo reports grants from OREF resident research grant , during the conduct of the study; .

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Section 1. Identifying Inf	ormation	
<ol> <li>Given Name (First Name)</li> <li>Samuel</li> <li>Are you the corresponding author?</li> </ol>	2. Surname (Last Name) Ward	3. Date 09-December-2013
5. Manuscript Title	RNS IN HUMAN ROTATOR CUFF PATHOLOGY	

6. Manuscript Identifying Number (if you know it)

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
NIH HD073180	$\checkmark$				Ward- R01	
NIH HD050837	$\checkmark$				Lieber R24 Infrastructure	
OREF	$\checkmark$				Alex Choo- Resident Grant	

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Are there any relevant conflicts of interest?

Yes 🖌 No

#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes

Section 4.

🖌 No



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Dr. Ward reports grants from NIH HD073180, grants from NIH HD050837, grants from OREF, during the conduct of the study; .

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Section 1. Identifying Inform	nation		
1. Given Name (First Name) Simon	2. Surname (Last Name) Schenk		3. Date 09-December-2013
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Na Samuel Ward, PT, PhD	me
5. Manuscript Title MUSCLE GENE EXPRESSION PATTERNS	IN HUMAN ROTATOR CUF	F PATHOLOGY	
6. Manuscript Identifying Number (if you ki	now it)		
Section 2. The Work Under C	onsideration for Publi	ication	
Did you or your institution <b>at any time</b> rece any aspect of the submitted work (including statistical analysis, etc.)?			-
Are there any relevant conflicts of inter-	est? ✔ Yes   No		
If yes, please fill out the appropriate inf Excess rows can be removed by pressin	•	ve more than one entity pre	ess the "ADD" button to add a row.

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OREF	$\checkmark$					

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Are there any relevant conflicts of interest?

Yes 🖌 No

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	$\checkmark$	N	o
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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Meagan	2. Surname (Last Name) McCarthy	3. Date 11-December-2013
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Dr. Samuel Ward
5. Manuscript Title Muscle Gene Expression Patterns in Hu	uman Rotator Cuff Patholo	ду
6. Manuscript Identifying Number (if you k	now it)	
Section 2. The Work Under C	Consideration for Publi	cation
	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,

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Are there any relevant conflicts of interest?		Yes	$\checkmark$	No
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## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work?     res   $\mathbf{v}$   no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Dr. McCarthy has nothing to disclose.

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4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Samuel Ward
5. Manuscript Title Muscle Gene Exp		uman Rotator Cuff Path	ology
6. Manuscript Ider	ntifying Number (if you	know it)	
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Are there any relevant conflicts of interest?		Yes
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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Info	rmation		
1. Given Name (First Name) Rajeswari	2. Surname (Last Name) Pichika		3. Date 09-December-2013
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Nar Samuel R Ward	ne
5. Manuscript Title MUSCLE GENE EXPRESSION PATTERN	IS IN HUMAN ROTATOR CU	FF PATHOLOGY	
6. Manuscript Identifying Number (if you	l know it)		
Section 2. The Work Under	Consideration for Publ	ication	
Did you or your institution <b>at any time</b> re any aspect of the submitted work (includ			
statistical analysis, etc.)? Are there any relevant conflicts of int	erest? Yes 🖌 No		
Section 3. Belovent financi		and an it is a long of	
Relevant financi	al activities outside the	submitted work.	
Place a check in the appropriate boxe of compensation) with entities as des clicking the "Add +" box. You should	cribed in the instructions. U	Jse one line for each entity; a	dd as many lines as you need by

## Section 4. Intellectual Property -- Patents & Copyrights

Are there any relevant conflicts of interest?

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	١o
	1 1		

🖌 No

Yes



## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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## Section 6. Disclosure Statement

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#### **Evaluation and Feedback**



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

#### 1. Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

### Other relationships.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.



Section 1.	Identifying Inform	ation			
1. Given Name (Fin John	rst Name)	2. Surnar Lane	ne (Last Name)		3. Effective Date (07-August-2008) 28-May-2014
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Author's Nai Samuel Ward	me
5. Manuscript Title Muscle Gene Exp	e pression Patterns in Hui	man Rotato	or Cuff Patholog	у	

6. Manuscript Identifying Number (if you know it)

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc...)?

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
1. Grant	$\checkmark$					×		
						ADD		
2. Consulting fee or honorarium	$\checkmark$					×		
						ADD		
3. Support for travel to meetings for the study or other purposes	$\checkmark$					×		
						ADD		
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	$\checkmark$					×		
						ADD		
5. Payment for writing or reviewing the manuscript	$\checkmark$					×		
						ADD		
<ol> <li>Provision of writing assistance, medicines, equipment, or administrative support</li> </ol>	$\checkmark$					×		



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
						ADD		
7. Other	$\checkmark$					×		
						ADD		

\* This means money that your institution received for your efforts on this study.

\*\* Use this section to provide any needed explanation.

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to submission.

Complete each row by checking "No" or providing the requested information. If you have more than one relationship click the "Add" button to add a row. Excess rows can be removed by clicking the "X" button.

Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
1. Board membership	$\checkmark$					×			
						ADD			
2. Consultancy	$\checkmark$					×			
						ADD			
3. Employment	$\checkmark$					×			
						ADD			
4. Expert testimony	$\checkmark$					×			
						ADD			
5. Grants/grants pending	$\checkmark$					×			
						ADD			
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	$\checkmark$					×			
						ADD			
7. Payment for manuscript preparation	$\checkmark$					×			



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
						ADD			
<ol> <li>Patents (planned, pending or issued)</li> </ol>	$\checkmark$					×			
						ADD			
9. Royalties	$\checkmark$					×			
						ADD			
10. Payment for development of educational presentations	$\checkmark$					×			
						ADD			
11. Stock/stock options	$\checkmark$					×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	$\checkmark$					×			
						ADD			
13. Other (err on the side of full disclosure)	$\checkmark$					×			
						ADD			

\* This means money that your institution received for your efforts.

\*\* For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.

### Section 4. Other relationships

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Hide All Table Rows Checked 'No'

SAVE



**Evaluation and Feedback** 



#### Instructions

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#### 3. Relevant financial activities outside the submitted work.

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### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Richard	rst Name)	2. Surname (Last Name) Lieber	3. Date 10-December-2013
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Samuel R. Ward
5. Manuscript Title Muscle Geen Exp		luman Rotator Cuff Pathol	ogy
6. Manuscript Ider	ntifying Number (if you	know it)	

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

## Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support <mark>?</mark>	Other?	Comments	
VA RX000670, NIH P30 AR061303-01, Mayo R01HD031476-11A1, NIH R21 AR061024-01A1, NIN R24 HD050837- 6, NIH R01 AR057393-01A1, NIH R01 AR0559334, R01 AR057013-01A1, NIH R01 HD073180-01A1	$\checkmark$				Grants that generally support our laboratory	

Section 4.	Intellectual Property Patents & Copyrights			
Do you have an	y patents, whether planned, pending or issued, broadly relevant to the work?	Yes	✓ No	



## Section 5. Relationships not covered above

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Dr. Lieber reports grants from VA RX000670, NIH P30 AR061303-01, Mayo R01HD031476-11A1, NIH R21 AR061024-01A1, NIN R24 HD050837- 6, NIH R01 AR057393-01A1, NIH R01 AR0559334, R01 AR057013-01A1, NIH R01 HD073180-01A1, personal fees from Allergan, Inc., personal fees from Christopher Reeve Foundation, during the conduct of the study; personal fees from Allergan, Inc., personal fees from Halozyme, Inc., personal fees from Vertex, Inc., personal fees from Fate Therapeutics, Inc., personal fees from Lippincott, Williams & Wilkins, personal fees from Hospital for Special Surgery; Rush University; Wash University, grants from DP1 NIH Pioneer Award Program, outside the submitted work; .

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