

Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Miyanji 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Firoz	rst Name)	2. Surname (Last Nai Miyanji	me) 3. Date 30-September-2016
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Burt Yaszay, MD
5. Manuscript Title Peri-operative ar		plications Following	Surgical Correction of AIS in 3581 Patients
6. Manuscript Ider	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for P	ublication
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to gran	s from a third party (government, commercial, private foundation, etc.) for nts, data monitoring board, study design, manuscript preparation, No
	out the appropriate info be removed by pressin	•	u have more than one entity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Personal Fees?	Non-Financial Support? Comments
Setting Scoliosis Strai	ght Foundation		Research grant from DePuy Synthes Spine to SSSF in support of Harms Study Group research
Section 3.	Relevant financial	activities outside	the submitted work.
of compensation) with entities as descr	ibed in the instructio	te whether you have financial relationships (regardless of amount ns. Use one line for each entity; add as many lines as you need by at were present during the 36 months prior to publication .
Are there any rel	evant conflicts of intere	est? Yes ✓	No
	l		
Section 4.	Intellectual Prope	rty Patents & Co	pyrights
Do you have any	patents, whether plan	ned, pending or issue	ed, broadly relevant to the work? Yes V No

Miyanji 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
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Dr. Miyanji reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; .

Evaluation and Feedback

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Miyanji 3



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Section 1.	ldentifying Inforr	nation				
1. Given Name (Fi	rst Name)	2. Surnam Samdani	e (Last Nam	ne)		3. Date 16-August-2016
4. Are you the cor	responding author?	Yes	✓ No	Correspon Burt Yasza	3	or's Name
5. Manuscript Title Peri-operative ar	e nd Delayed Major Com	plications Fo	ollowing S	urgical Correctic	on of AIS i	n 3581 Patients
6. Manuscript Ider	ntifying Number (if you k	now it)				
<i>c</i>						
Section 2.	The Work Under C	onsiderati	on for Pu	ıblication		
	ubmitted work (includin					ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
=	evant conflicts of inter	est? 🗸 Ye	es N	No		
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Name of Institut	ion/Company	Grant !	Fees?	Support?	Other •	Comments
Setting Scoliosis Strai	ght Foundation	✓				Research grant from DePuy Synthes Spine to SSSF in support of Harms Study Group research
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•	evant conflicts of inter			No		
If yes, please fill o	out the appropriate inf	ormation be	low.			
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
DePuy Synthes Spine			✓			Consulting
Ethicon			\checkmark			Consulting



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Globus Medical		✓			Consulting	
Misonix		√			Consulting	
Stryker		√			Consulting	
Zimmer Biomet		✓			Consulting	
Setting Scoliosis Straight Foundation				✓	Board member (no compensation)	
Scoliosis Research Society				✓	Board member/committee appointments (no compensation)	
Children's Spine Study Group				✓	Board member (no compensation)	
Section 4. Intellectual Property Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No Section 5. Relationships not covered above Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? Yes, the following relationships/conditions/circumstances are present (explain below): No other relationships/conditions/circumstances that present a potential conflict of interest At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.						
Disclosure Statement						
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below. Dr. Samdani reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; personal fees from DePuy Synthes Spine, personal fees from Ethicon, personal fees from Globus Medical, personal fees from Misonix, personal fees from Stryker, personal fees from Zimmer Biomet, other from Setting Scoliosis Straight Foundation, other from Scoliosis Research Society, other from Children's Spine Study Group, outside the submitted work;						



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Bartley 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Carrie	2. Surname (Last Name) Bartley		3. Date 19-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Burt Yaszay, MD	or's Name
5. Manuscript Title Peri-operative and Delayed Major Comp	olications Following Surgi	cal Correction of AIS i	n 3581 Patients
6. Manuscript Identifying Number (if you kn	ow it)		
		_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)?			ent, commercial, private foundation, etc.) for oudy design, manuscript preparation,
Are there any relevant conflicts of interes			
If yes, please fill out the appropriate info Excess rows can be removed by pressing	•	e more than one ent	ity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial other?	Comments
Setting Scoliosis Straight Foundation	✓		Research grant from DePuy Synthes Spine to SSSF in support of Harms Study Group research
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. Us	se one line for each e	ntity; add as many lines as you need by
Are there any relevant conflicts of interes	est? Yes ✓ No		
Section 4. Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the	work? ☐ Yes ✓ No

Bartley 2



Section 5.	
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Ms. Bartley repo	rts grants from Setting Scoliosis Straight Foundation, during the conduct of the study.

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Shah 1



Costion 1		
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Shah 2



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1

administrative support, etc.



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Tracey	2. Surname (Last Name) Bastrom		3. Date 22-August-2016	
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Burt Yaszay, MD		
5. Manuscript Title Peri-operative and Delayed Major Com	plications Following Surgi	cal Correction of AIS i	in 3581 Patients	
6. Manuscript Identifying Number (if you k	now it)			
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Royalties: Funds are coming in to you or your institution due to your patent

Asghar 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Jahangir	rst Name)	2. Surname (Last Nam Asghar	3. Date 22-July-2016
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Burt Yaszay, MD
5. Manuscript Title Peri-operative ar		plications Following Su	urgical Correction of AIS in 3581 Patients
6. Manuscript Ider	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Pu	ublication
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grant	from a third party (government, commercial, private foundation, etc.) for ts, data monitoring board, study design, manuscript preparation, No
	out the appropriate info be removed by pressin	•	ı have more than one entity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Personal Fees?	Non-Financial Other? Comments
Setting Scoliosis Strai	ght Foundation	V	Research grant from DePuy Synthes Spine to SSSF in support of Harms Study Group research
Section 3.	Relevant financial	activities outside tl	he submitted work.
of compensation) with entities as descri	ibed in the instructions	e whether you have financial relationships (regardless of amount as. Use one line for each entity; add as many lines as you need by twere present during the 36 months prior to publication .
Are there any rel	evant conflicts of intere	est? Yes ✓ N	√o
	I		
Section 4.	Intellectual Prope	rty Patents & Cop	yrights
Do you have any	patents, whether plan	ned, pending or issued	d, broadly relevant to the work? Yes Vo

Asghar 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
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Dr. Asghar reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; .

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Asghar 3



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Ligans and The patent has been ligans and to an entit

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	nation				
Given Name (First Name) Baron	2. Surna Lonner	me (Last Nar	me)		3. Date 19-September-2016
4. Are you the corresponding author?	Yes	✓ No	Correspond Burt Yasza		or's Name
5. Manuscript Title Peri-operative and Delayed Major Com	plications	Following S	Surgical Correction	n of AIS i	n 3581 Patients
6. Manuscript ldentifying Number (if you k	now it)				
Section 2. The Work Under C	onsidera	tion for P	ublication		
Did you or your institution at any time receany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not lir	nited to grar	nts, data monitoring	board, st	udy design, manuscript preparation,
If yes, please fill out the appropriate inf Excess rows can be removed by pressir			u have more thar	one enti	ty press the "ADD" button to add a row
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Setting Scoliosis Straight Foundation	V				Research grant from DePuy Synthes Spine to SSSF in support of Harms Study Group research
Section 3. Relevant financial	activitie	s outside	the submitted	work.	
Place a check in the appropriate boxes of compensation) with entities as describing the "Add +" box. You should re	ibed in the	e instructio	ns. Use one line fo	or each er	ntity; add as many lines as you need by
Are there any relevant conflicts of inter			No		
If yes, please fill out the appropriate inf	ormation b	oelow.			
Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Depuy Synthes		✓			Royalties, consulting, speaking/ teaching arrangements, scientific advisory board



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Paradigm Spine				√	Stock Ownership, private investments,
Spine Search				✓	Stock Ownership, board of directors
K2M		\checkmark			speaking/teaching arrangements
Setting Scoliosis Straight Foundation	\checkmark				
AO spine	\checkmark				
John and Marcella Fox Fund Grant	\checkmark				
OREF	✓				
Section 4. Intellectual Propert	y Pate	ents & Co _l	pyrights		
Do you have any patents, whether plann	ed, pend	ing or issue	ed, broadly releva	nt to the	work? ☐ Yes ✓ No
Section 5. Relationships not o	overed	above			
Are there other relationships or activities potentially influencing, what you wrote i				influence	d, or that give the appearance of
Yes, the following relationships/cond	litions/cir	cumstance	es are present (exp	olain belo	ow):
✓ No other relationships/conditions/cir					
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					
Section 6. Disclosure Stateme	nt				
Based on the above disclosures, this forn below.	n will auto	omatically	generate a disclos	sure state	ement, which will appear in the box
Dr. Lonner reports grants from Setting S	coliosis S	traight Fou	indation, during t	the cond	uct of the study; .



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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identify	ing Information			
1. Given Name (First Name) Burt	2. Surname (Last Nam Yaszay	ne)	3. Date 29-September-2016	
4. Are you the corresponding	author? ✓ Yes No			
5. Manuscript Title Perioperative and Delayed	Major Complications Following Su	urgical Correction of AIS in	3581 Patients	
6. Manuscript Identifying Nun	ber (if you know it)			
Section 2. The Wor	k Under Consideration for Pu	ublication		
any aspect of the submitted we statistical analysis, etc.)? Are there any relevant confl If yes, please fill out the app	ork (including but not limited to grandicts of interest? Yes normation below. If you	ts, data monitoring board, st No	ent, commercial, private foundation, etc.) foundation, etc.) founds design, manuscript preparation, ty press the "ADD" button to add a row	
Excess rows can be remove	d by pressing the "X" button.	Non Financial		
Name of Institution/Comp	any Grant Personal Fees?	Non-Financial Support? Other?	Comments	
Setting Scoliosis Straight Founda	tion		Grant from DePuy Spine to Setting Scoliosis Straight Foundation	
Section 3. Relevan	t financial activities outside t	the submitted work.		
of compensation) with entit	ies as described in the instruction	ns. Use one line for each er	rial relationships (regardless of amoun ntity; add as many lines as you need by a 36 months prior to publication.	
Are there any relevant confi		No		
If yes, please fill out the app	ropriate information below.			
Name of Entity	Grant? Personal Fees?	Non-Financial Support? Other?	Comments	
(2M	✓			
DePuy Synthes Spine	✓			
Nuvasive				



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Medtronic		✓				
Orthopediatrics		✓				
Stryker		\checkmark				
Globus		\checkmark				
Section 4. Intellectual Pr	operty Pat	ents & Cop	pyrights			
Do you have any patents, whethe If yes, please fill out the appropria		_	•			a row.
Excess rows can be removed by p					, p	
Patent?	ending?	ed? Licens	ed? Royalties?	License	e? Comments	
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Are there other relationships or ac potentially influencing, what you				influence	d, or that give the appearance of	
Yes, the following relationship No other relationships/conditi						
At the time of manuscript accepta On occasion, journals may ask aut	•					ments.

Section 6. Disc

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yaszay reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; grants and personal fees from K2M, grants and personal fees from DePuy Synthes Spine, personal fees from Nuvasive, personal fees from Medtronic, personal fees from Orthopediatrics, personal fees from Stryker, personal fees from Globus, outside the submitted work; In addition, Dr. Yaszay has a patent K2M with royalties paid.

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Section 1. Identifying Inform	ation				
1. Given Name (First Name) Peter	2. Surna	me (Last Nar	me)		3. Date 14-September-2016
4. Are you the corresponding author?	Yes	√ No	Correspon Burt Yasza	ding Autho ay, MD	r's Name
5. Manuscript Title Perioperative and Delayed Major Compl	lications F	Following S	urgical Correctio	n of AIS in	3581 Patients
6. Manuscript Identifying Number (if you kn	ow it)				
Section 2. The World Under Co					
The Work Onder Co					
Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)?				-	•
Are there any relevant conflicts of intere	st? ✓	Yes	No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing			u have more thai	n one entit	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Setting Scoliosis Straight Foundation	V				Grant from DePuy Synthes to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
Section 3. Relevant financial a	activities	s outside	the submitted	work.	
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Are there any relevant conflicts of intere If yes, please fill out the appropriate info			No		
ii yes, piease iiii out the appropriate iiio		JEIUW.			
Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Setting Scoliosis Straight Foundation	✓				Board membership (no compensation)



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Rady Children's Specialists				✓	Board membership (no compensation)	
DePuy Synthes Spine	✓	✓			Consultant, speakers bureau, royalties, payment for development of educational programs	
Law firm of Carroll, Kelly, Trotter, Franze McKenna	n &	✓			Expert testimony	
Law firm of Smith, Haughey, Rice & Roe	gge	\checkmark			Expert testimony	
NIH	✓					
OREF	✓					
SRS	✓			✓	Past Board membership (no compensation)	
EOS imaging	✓					
Thieme Publishing		✓			Royalties	
NuVasive				✓	Stocks/options (past)	
Ethicon Endosurgery		✓			Consultancy	
Electrocore				✓	Stocks/options	
Cubist		✓			Consultancy	
International Orthopedic Think Tank				✓	Board membership (no compensation)	
Orthopediatrics Institutional Support				✓	Research Support	
K2M		✓			Consultancy, Royalties	
Do you have any patents, whethe	ite information k	ing or issue below. If yo	ed, broadly releva		work? 🗸 Yes 🔲 No ty press the "ADD" button to add a	row.
Patent?	Pending ?	ed? Licens	ed?Royalties?	License	e? Comments	
Anchoring systems and methods for correcting spinal deformities (8540754)				DePuy Syn Spine	thes	
Low profile spinal tethering systems (8123749)				DePuy Spi	ne, Inc.	



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Screw placement guide (7981117)		✓			DePuy Spine, Inc.		
Compressor for use in minimally invasive surgery (7189244)		✓			DePuy Spine, Inc.		

A	
Section 5.	Relationships not covered above
	neiationsinps not covered above

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