

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1.

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Inform	ation			
1. Given Name (First Name) Brian	2. Surname (Last Na Cole	me)	3. Date 07-October-2015	
4. Are you the corresponding author?	☐ Yes ✓ No	Correspon Charles P	ding Author's Name Hannon	
5. Manuscript Title Inconsistencies between physician-repo	orted and industry-re	eported financial	relationships in the Open Payments datab	ase
6. Manuscript Identifying Number (if you kn	ow it)			
Section 2. The Work Under Co	onsideration for F	Publication		
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Section 3. Relevant financial a	activities outside	the submitted	work.	
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Are there any relevant conflicts of intere If yes, please fill out the appropriate info		No		
ii yes, piease iiii out the appropriate iiiio	illiation below.			
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other? Comments	
Aesculap/B.Braun	✓			
Arthrex, Inc	✓			
Carticept			✓ Stock or Stock Options	
Cytori	✓			
DJ Orthopaedics	✓			
Medipost	✓			
National Institutes of Health (NIAMS & NICHD)	✓			
Regentis			✓ Stock or Stock Options	



Name of Entity	Grant? Persona Fees?	Non-Financial Support?	Other?	Comments	
Zimmer	✓				
Section 4. Intellectual Propert	ty Patents & Co	pyrights			
Do you have any patents, whether plann	ed, pending or issu	ed, broadly releva	ant to the v	vork? Yes 🗸 N	No
Section 5. Relationships not c	overed above				
Are there other relationships or activities potentially influencing, what you wrote i		•	influencec	l, or that give the appea	arance of
Yes, the following relationships/conditions/circumstances are present (explain below): No other relationships/conditions/circumstances that present a potential conflict of interest					
American Journal of Orthopedics: Editori American Orthopaedic Society for Sports American Shoulder and Elbow Surgeons: Arthroscopy: Editorial or governing boar Arthroscopy Association of North Americ Athletico: Other financial or material sup	s Medicine: Board o s: Board or committe rd ca: Board or commi	r committee mem ee member	nber		
Elsevier Publishing: IP royalties International Cartilage Repair Society: Bo Journal of Bone and Joint Surgery - Amel Journal of Shoulder and Elbow Surgery: E Journal of the American Academy of Ortl Ossur: Other financial or material suppor Saunders/Mosby-Elsevier: Publishing roy SLACK Incorporated: Publishing royalties	rican: Editorial or go Editorial or governi hopaedic Surgeons rt valties, financial or r	overning board ng board :: Editorial or gove material support	rning boa	^r d	
Smith & Nephew: Other financial or mate Tornier: Other financial or material suppo					

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.



Section 6.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Cole reports grants from Aesculap/B.Braun, grants and personal fees from Arthrex, Inc, other from Carticept, grants from Cytori, grants from DJ Orthopaedics, grants from Medipost, grants from National Institutes of Health (NIAMS & NICHD), personal fees and other from Regentis, grants and personal fees from Zimmer, outside the submitted work; and American Journal of Orthopedics: Editorial or governing board

American Orthopaedic Society for Sports Medicine: Board or committee member

American Shoulder and Elbow Surgeons: Board or committee member

Arthroscopy: Editorial or governing board

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Bach 1



Section 1.	dentifying Inform	nation			
1. Given Name (First Bernard	Name)	2. Surname (Last Nam Bach	e)	3. Date 07-October-2015	
4. Are you the corre	sponding author?	☐ Yes ✓ No	Corresponding Charles P. Ha	ı Author's Name nnon	
5. Manuscript Title Inconsistencies be	tween physician-repo	orted and industry-rep	orted financial rela	tionships in the Open Payment	s database
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Section 2.	he Work Under Co	onsideration for Pu	blication		
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Section 3.	Relevant financial	activities outside t	he submitted wo	rk.	
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Name of Entity		Grant? Personal Fees?	Non-Financial Ot	her? Comments	
Arthrex, Inc		✓			
CONMED Linvatec		✓			
DJ Orthopaedics		✓			
Ossur		✓			
Smith & Nephew		✓			
Tornier		✓			

Bach 2



Section 4. Intellectual Property - Patents & Copyrights
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
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✓ Yes, the following relationships/conditions/circumstances are present (explain below):
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Chalmers 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Chalmers	3. Date 06-October-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Charles P. Hannon
5. Manuscript Title Inconsistencies k		orted and industry-reporte	d financial relationships in the Open Payments database
6. Manuscript Ider	ntifying Number (if you kr	now it)	
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Section 2.	The Work Under C	onsideration for Public	ation
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Section 3.	Polovant financial	activities outside the s	ubmitted work
of compensation clicking the "Add	the appropriate boxes i) with entities as descri	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyrig	hts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Chalmers 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Dr. Chalmers has nothing to disclose.

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Cvetanovich 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Gregory	Surname (Last Name) Cvetanovich	3. Date 07-October-2015
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Inconsistencies between physician-rep	orted and industry-reported financial relationships ir	n the Open Payments database
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Hannon 1



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Hannon 2



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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identify	ing Information	
Given Name (First Name) Matthew	2. Surname (Last Name) Carpiniello	3. Date 07-October-2015
4. Are you the corresponding	author? ✓ Yes No	
5. Manuscript Title Inconsistencies between ph	nysician-reported and industry-reported f	financial relationships in the Open Payments database
6. Manuscript Identifying Num	nber (if you know it)	
Section 2. The West	k Under Consideration for Publicat	ion
Did you or your institution at a	n y time receive payment or services from a thork (including but not limited to grants, data r	hird party (government, commercial, private foundation, etc.) for monitoring board, study design, manuscript preparation,
Section 3. Relevant	t financial activities outside the suk	mitted work.
of compensation) with entit	ties as described in the instructions. Use on the should report relationships that were p	ner you have financial relationships (regardless of amount one line for each entity; add as many lines as you need by present during the 36 months prior to publication.
Section 4. Intellect	ual Property Patents & Copyright	rs
	hether planned, pending or issued, broad	

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Section 5. Relationships not covered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Carpiniello has nothing to disclose.

Evaluation and Feedback

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