

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent

Chamberlain 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Aaron	rst Name)	2. Surname (Last Name) Chamberlain	3. Date 05-January-2016	
4. Are you the corresponding author?		Yes 🗸 No	Corresponding Author's Name Jonah Hebert-Davies, MD	
5. Manuscript Title Progression of F		ion in Atraumatic Rotator (Cuff Tears	
6. Manuscript Ide	ntifying Number (if you kr	now it)		
Section 2.	The Work Under C	onsideration for Public	cation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3.	Relevant financial	activities outside the s	submitted work.	
of compensation clicking the "Add	the appropriate boxes a) with entities as descr	in the table to indicate wh ibed in the instructions. Us port relationships that wei	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.	
Section 4.	Intellectual Prope	rty Patents & Copyric	ghts	
Do you have any	Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Chamberlain 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Chamberlain has nothing to disclose.

Evaluation and Feedback

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Chamberlain 3



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Hebert-Davies 1



Section 1. Identifying Inform	mation				
1. Given Name (First Name) Jonah	Surname (Last Name) Hebert-Davies	3. Date 15-December-2015			
4. Are you the corresponding author?	✓ Yes No				
5. Manuscript Title Progression of Fatty Muscle Degenera	tion in Atraumatic Rotator Cuff Tears				
6. Manuscript Identifying Number (if you k	know it)				
Section 2. The Work Under (Consideration for Publication				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?					
Are there any relevant conflicts of inte	Are there any relevant conflicts of interest? Yes Vo				
Section 3. Relevant financia	l activities outside the submitted work.				
of compensation) with entities as desc	in the table to indicate whether you have financiaribed in the instructions. Use one line for each enteport relationships that were present during the	ity; add as many lines as you need by			
Are there any relevant conflicts of inte	rest? Yes V No				
Section 4. Intellectual Prope	erty Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Hebert-Davies 2



Section 5.	
Section 5.	Relationships not covered above
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Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Dr. Hebert-David	es has nothing to disclose.

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Hebert-Davies 3



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Royalties: Funds are coming in to you or your institution due to your patent

Keener 1



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Jay	2. Surname (Last Name) Keener	3. Date 02-June-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Jonah Hebert-Davies
5. Manuscript Title Progression of Fatty Muscle Degeneration	on in Atraumatic Rotator C	Cuff Tears
6. Manuscript Identifying Number (if you kn JBJS-D-16-00030	ow it)	_
Section 2. The Work Under Co		
The Work Under Co	onsideration for Public	ation
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes i of compensation) with entities as descri	n the table to indicate who bed in the instructions. Us port relationships that wer est?	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e present during the 36 months prior to publication .
Name of Entity	Grant? Personal Nor	on-Financial Other? Comments
National Institutes of Health	✓	Research Grant
Arthrex		Consultant
Genesis		Consultant
Section 4. Intellectual Proper	ty Patents & Copyrig	ihte
mitellectual Proper	ty Patents & Copyrig	1115
Do you have any patents, whether plant	ned, pending or issued, bro	oadly relevant to the work? ☐ Yes ✓ No

Keener 2



Section 5. Polationships not severed above
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Dr. Keener reports grants from National Institutes of Health, other from Arthrex, other from Genesis, outside the submitted work; .

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Keener 3



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Middleton

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Royalties: Funds are coming in to you or your institution due to your

1

administrative support, etc.

patent



Section 1. Identifying Infor	mation			
1. Given Name (First Name) William	2. Surname (Last Name) Middleton	3. Date 15-December-2015		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Jonah Hebert-Davies, MD		
5. Manuscript Title Progression of Fatty Muscle Degenera	ation in Atraumatic Rotator (Cuff Tears		
6. Manuscript Identifying Number (if you	know it)			
		_		
Section 2. The Work Under	Consideration for Public	cation		
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intellectual Propo	erty Patents & Copyric	gnts ———		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Middleton 2



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Middleton 3



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Robinson 1



Section 1. Ide	ntifying Informatio	on			
1. Given Name (First Nar Kathryn	,	Surname (Last Name) bbinson	3. Date 07-December-2015		
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Jonah Hebert-Davies, MD		
5. Manuscript Title Progression of Fatty M	luscle Degeneration in	n Atraumatic Rotator	Cuff Tears		
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Section 2. The	Work Under Consi	deration for Publ	ication		
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Robinson 2



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Steger-May 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fi Karen	rst Name)	2. Surname (Last Name) Steger-May		3. Date 04-January-2016
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Jonah Hebert-Davies, MD	
5. Manuscript Title Progression of Fa		on in Atraumatic Rotator	Cuff Tears	
6. Manuscript Ider	ntifying Number (if you kn	ow it)		
			_	
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limited to grants, dest? Yes No ormation below. If you have	ata monitoring boo	rernment, commercial, private foundation, etc.) for ard, study design, manuscript preparation, etc.) e entity press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant	n-Financial Support	her? Comments
NIH		✓		
	1			
Section 3.	Relevant financial	activities outside the	submitted wo	rk.
of compensation clicking the "Add Are there any rel) with entities as descri +" box. You should repevant conflicts of intere	bed in the instructions. Uport relationships that weest? ☐ Yes ✓ No	se one line for ea re present durir	financial relationships (regardless of amount ach entity; add as many lines as you need by ng the 36 months prior to publication .
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending or issued, b	roadly relevant to	o the work? ☐ Yes ✓ No

Steger-May 2



Section 5. Polationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Ms. Steger-May has nothing to disclose.

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Steger-May 3



Instructions

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

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This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Definitions.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Teefey 1



Section 1. Identifying Infor	rmation				
1. Given Name (First Name) Sharlene	2. Surname (Last Name) Teefey	3. Date 10-December-2015			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Jonah Hebert-Davies, MD			
5. Manuscript Title Progression of Fatty Muscle Degeneration in Atraumatic Rotator C		Cuff Tears			
6. Manuscript Identifying Number (if you	know it)				
Section 2. The Work Under Consideration for Publication					
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
,					
Section 3. Relevant financia	al activities outside the s	submitted work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4. Intellectual Prop	erty Patents & Copyri	ghts			
Do you have any patents, whether pla	anned, pending or issued, br	roadly relevant to the work? Yes V No			

Teefey 2



Section 5.				
	Relationships not covered above			
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements Irnals may ask authors to disclose further information about reported relationships.			
Section 6.	Disclosure Statement			
Based on the about the below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			

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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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Royalties: Funds are coming in to you or your institution due to your patent

Yamaguchi 1



Section 1. Identifying Inform					
Identifying Inform	nation				
Given Name (First Name) Ken	2. Surname (Last Name Yamaguchi)	3. Date 04-January-2016		
4. Are you the corresponding author?	Yes ✓ No	-	Corresponding Author's Name Jonah Hebert-Davies, MD		
5. Manuscript Title Progression of Fatty Muscle Degenerat	ion in Atraumatic Rotato	or Cuff Tears			
6. Manuscript ldentifying Number (if you k	now it)				
Section 2. The Work Under C	onsideration for Pub	olication			
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, est? Yes No ormation below. If you h	data monitoring b	overnment, commercial, private foundation, etc.) for oard, study design, manuscript preparation, ne entity press the "ADD" button to add a row.		
Name of Institution/Company		Ion-Financial Support?	ther? Comments		
National Institutes of Health	V		NIH R01 regarding the natural history of rotator cuff disorders		
Section 3. Relevant financial	activities outside th	e submitted wo	ork.		
of compensation) with entities as descri	ribed in the instructions.	Use one line for e	financial relationships (regardless of amount each entity; add as many lines as you need by ing the 36 months prior to publication.		
Are there any relevant conflicts of inter	est? ✓ Yes No)			
If yes, please fill out the appropriate inf	formation below.				
Name of Entity	Grant? Personal N	lon-Financial Support?	ther? Comments		
Zimmer			royalties related to a total shoulder		
Tornier			royalties related to a total elbow		

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Section 4. Intellectual Property - Patents & Consuments
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
Section 5. Relationships not covered above
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Received \$20K as a monetary award related to the Ann Doner Vaughn Kappa Delta Award for the ORS/AAOS in 2014.
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Dr. Yamaguchi reports grants from National Institutes of Health, during the conduct of the study; personal fees from Zimmer, personal fees from Tornier, outside the submitted work; and Received \$20K as a monetary award related to the Ann Doner Vaughn Kappa Delta Award for the ORS/AAOS in 2014

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