

#### **Instructions**

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Lan 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fir Alexis	st Name)	2. Surname (Last Name) Lan		3. Date 04-September-2015
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Nar Yan Ma	ne
<ul><li>5. Manuscript Title</li><li>Racial and Ethnic Disparities in Utilization Rate, Hospital Volume</li><li>Arthroplasty</li><li>6. Manuscript Identifying Number (if you know it)</li></ul>			e and Perioperative Outcome	s following Total Knee
Section 2.	The Work Under Co	onsideration for Pub	lication	
any aspect of the si statistical analysis,	ubmitted work (including	but not limited to grants,	om a third party (government, co data monitoring board, study de	mmercial, private foundation, etc.) for esign, manuscript preparation,
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Section 4.	Intellectual Proper	rty Patents & Copy	rights	
Do you have any			broadly relevant to the work?	Yes 🗸 No

Lan 2



Section 5. Polationships not sovered above		
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Mr. Lan has nothing to disclose.		

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Lan 3



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Boutin-Foster 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Carla	rst Name)	2. Surname (Last Name) Boutin-Foster	3. Date 11-January-2016	
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Yan Ma	
5. Manuscript Title Racial and Ethnic Arthroplasty		on Rate, Hospital Volume a	and Perioperative Outcomes following Total Knee	
6. Manuscript Ide	ntifying Number (if you kr	now it)		
			-	
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Boutin-Foster 2



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Dr. Boutin-Foster has nothing to disclose.

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Lyman 1



Section 1. Identifying Infor	mation	
Given Name (First Name)     Stephen	Surname (Last Name)     Lyman	3. Date 10-September-2015
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Yan Ma
5. Manuscript Title Racial and Ethnic Disparities in Utiliza Arthroplasty	tion Rate, Hospital Volume a	and Perioperative Outcomes following Total Knee
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		n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of inte		we make than one entity proce the "ADD" button to add a year
Excess rows can be removed by pressi		ve more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant•	n-Financial Other? Comments
AHRQ R01	<b>✓</b>	
AHRQ U18		
Section 3. Relevant financia	l activities outside the s	submitted work.
of compensation) with entities as desc	cribed in the instructions. Us	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
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Do you have any patents, whether pla	nned, pending or issued, br	roadly relevant to the work? Yes V No

Lyman 2



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Dr. Lyman reports grants from AHRQ R01, grants from AHRQ U18, during the conduct of the study; .		

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Parks 1



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Are there any relevant confli		0
ii yes, picase iiii out the appi		
Name of Entity	Grant? Personal Fees?	Non-Financial Other? Comments
Zimmer, Inc		Consultant
Section 4. Intellect	ual Property Patents & Copy	yrights
Do you have any patents, wh	nether planned, pending or issued	l, broadly relevant to the work? Yes V No

Parks 2



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Dr. Parks reports personal fees from Zimmer, Inc, outside the submitted work; .

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Pan 2



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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

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Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Zhang 1



Section 1.	Identifying Inform	nation			
1. Given Name (First Name) Wei		2. Surname (Last Name) Zhang		3. Date 04-September-20	15
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Yan Ma	Author's Name	
<ol><li>Manuscript Title Racial and Ethnic Arthroplasty</li></ol>		on Rate, Hospital Volun	ne and Perioperative	e Outcomes following Total Kr	nee
6. Manuscript Ider	ntifying Number (if you kr	now it)			
Section 2.	The Work Under Co	onsideration for Pu	blication		
any aspect of the s statistical analysis, Are there any rela If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere out the appropriate info	us but not limited to grants  est? Yes Normation below. If you	, data monitoring boa	ernment, commercial, private fou ord, study design, manuscript pre e entity press the "ADD" butto	paration,
Name of Institut	be removed by pressing		Non-Financial	ner? Comments	
		Fees?	Support?	er comments	
agency for Healthcar	e Research and Quality	<b>✓</b>			
	l				
Section 3.	Relevant financial	activities outside th	e submitted wor	k.	
of compensation clicking the "Add	) with entities as descri	ibed in the instructions port relationships that	. Use one line for ea were <b>present durin</b>	inancial relationships (regardl ch entity; add as many lines a g the 36 months prior to pu	s you need by
	1				
Section 4.	Intellectual Proper	ty Patents & Copy	vrights vrights		
Do you have any	patents, whether plan	ned, pending or issued	, broadly relevant to	o the work? Yes V	0

Zhang 2



Section 5.					
Deculon 5.	Relationships not covered above				
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):				
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest				
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.					
c ii c					
Section 6.	Disclosure Statement				
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box				
Dr. Zhang repor	ts grants from Agency for Healthcare Research and Quality, during the conduct of the study; .				

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

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earning royalties or not **Royalties:** Funds are coming in to you or your institution due to your

rt testimony, employment, or other affiliations patent

-Financial Support: Examples include drugs/equipment

MA 1



Section 1.	Identifying Inform	nation				
1. Given Name (First Name) YAN		2. Surname (Last Name) MA		3. Date 11-September-2015		
4. Are you the corresponding author?		✓ Yes No				
5. Manuscript Title Racial and Ethnic Disparities in Utilization Rate, Hospital Volume and Perioperative Outcomes following Total Knee Arthroplasty 6. Manuscript Identifying Number (if you know it)						
		,				
Section 2.	The Work Under C	onsideration for Pu	ıblication			
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No  If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.						
Name of Institut			Non-Financial Support?	Other? Co	omments	
Agency for Healthca	re Research and Quality	<b>V</b>				
Section 3.	Relevant financial	activities outside t	he submitted	work.		
of compensation clicking the "Add	) with entities as descr	ibed in the instruction port relationships that	s. Use one line fo were <b>present d</b>	or each entity	relationships (regardless of amount r; add as many lines as you need by months prior to publication.	
Section 4.	Intellectual Prope	rty Patents & Cop	yrights			
Do you have any	patents, whether plan			ant to the wor	rk? ☐ Yes   ✓ No	

MA 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):  No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. MA reports grants from Agency for Healthcare Research and Quality, during the conduct of the study; .

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