

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Other: Anything not covered under the previous three boxes

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Royalties: Funds are coming in to you or your institution due to your patent

Incavo 1



| Section 1. | Identifying Inform | ation | | | | |
|--|----------------------------|-------------------------------|---------------------------------|------------------------|------------|--|
| 1. Given Name (Fir Stephen | st Name) | 2. Surnam Incavo | e (Last Nar | ne) | | 3. Date 23-July-2015 |
| 4. Are you the corresponding author? | | ✓ Yes | No | | | |
| 5. Manuscript Title Eighty-Six Percent Failure Rate of a Modular Neck-Stem Design at 3 - 5 Years: Lessons Learned | | | | | | |
| 6. Manuscript Identifying Number (if you know it) | | | | | | |
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| Section 2. | The Work Under Co | nsiderati | ion for P | ublication | | |
| any aspect of the si statistical analysis, Are there any rele | ubmitted work (including | but not limi | ted to grar | | | ent, commercial, private foundation, etc.) for udy design, manuscript preparation, |
| Section 3. | Relevant financial a | ctivities | outside 1 | the submitted | work. | |
| of compensation clicking the "Add Are there any rele |) with entities as descril | oed in the iort relation ort? | instruction nships tha es | ns. Use one line fo | or each er | cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication. |
| Name of Entity | | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments |
| Biomet | | | ✓ | | | IP Royalties |
| nnomed | | | ✓ | | | IP Royalties |
| Smith & Nephew | | | \checkmark | | | IP Royalties |
| Wright Medical Techr | ology | | ✓ | | | IP Royalties |
| Zimmer | | | ✓ | | ✓ | IP Royalties; Paid Consultant; Stock or |

Incavo 2



| Section 4. Intellectual Property - Patents & Conveights | | | | |
|--|--|--|--|--|
| Intellectual Property Patents & Copyrights | | | | |
| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo | | | | |
| Section 5. Relationships not covered above | | | | |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? | | | | |
| ✓ Yes, the following relationships/conditions/circumstances are present (explain below): | | | | |
| No other relationships/conditions/circumstances that present a potential conflict of interest | | | | |
| Journal of Arthroplasty: Editorial or governing board Knee Society: Board or committee member | | | | |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships. | | | | |
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| Dr. Incavo reports personal fees from Biomet, personal fees from Innomed, personal fees from Smith & Nephew, personal fees from Wright Medical Technology, personal fees and other from Zimmer, outside the submitted work; and Journal of Arthroplasty: Editorial or governing board Knee Society: Board or committee member. | | | | |

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Incavo 3



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Meftah 1



| Section 1. Ider | ntifying Informati | ion | |
|---|--|---|--|
| 1. Given Name (First Nam Morteza | • | . Surname (Last Name) Aeftah | 3. Date 23-July-2015 |
| 4. Are you the corresponding author? | | Yes ✓ No | Corresponding Author's Name Stephen J. Incavo, MD |
| 5. Manuscript Title Eighty-Six Percent Failure Rate of a Modular Neck-Stem Design at 3 | | ar Neck-Stem Design at | 3 - 5 Years: Lessons Learned |
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| | ted work (including bu | t not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation, |
| Section 3. Rele | vant financial act | tivities outside the s | submitted work. |
| of compensation) with | entities as described ox. You should report | d in the instructions. Us t relationships that wer | ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication. |
| Section 4. Intel | llectual Property | Patents & Copyrig | ghts |
| | | ., | roadly relevant to the work? Yes V No |

Meftah 2



| Section 5. Relationships not covered above | | | | |
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| Dr. Meftah has nothing to disclose. | | | | |

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Paranilam 1



| Section 1. | Identifying Inform | nation | |
|---|---|--|--|
| 1. Given Name (Fir Jaya | ven Name (First Name) 2. Surname (Last Nan Paranilam | | 3. Date 23-July-2015 |
| 4. Are you the corresponding author? | | Yes ✓ No | Corresponding Author's Name Stephen J. Incavo, MD |
| 5. Manuscript Title Eighty-Six Percent Failure Rate of a Modular Neck-Stem Design at 3 | | | 3 - 5 Years: Lessons Learned |
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| any aspect of the s statistical analysis, | ubmitted work (including | but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation, |
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| of compensation clicking the "Add |) with entities as descri | bed in the instructions. Us port relationships that wer | ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication. |
| Section 4. | Intellectual Proper | rty Patents & Copyrig | ghts |
| Do you have any | | | oadly relevant to the work? Yes V No |

Paranilam 2



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patent

Bernstein 1



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|--|---|--|--|--|--|
| 1. Given Name (First Name) Derek | 2. Surname (Last Name) Bernstein | 3. Date 23-July-2015 | | | |
| 4. Are you the corresponding auth | nor? Yes ✓ No | Corresponding Author's Name Stephen J. Incavo, MD | | | |
| 5. Manuscript Title Eighty-Six Percent Failure Rate of a Modular Neck-Stem Design at 3 - 5 Years: Lessons Learned | | | | | |
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Bernstein 2



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Bernstein 3