

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

# Identifying information.

# 2. The work under consideration for publication.

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### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Blaine 1



Section 1. Identifying Inform	ation			
Given Name (First Name)     Theodore	2. Surname (Last Name) Blaine		3. Date 27-January-2015	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Nat Sean Churchill	ame	
5. Manuscript Title Clinical and radiographic outcomes of c	of the simpliciti stemless sh	oulder arthroplasty system	m	
6. Manuscript Identifying Number (if you kn	now it)			
		-		
Section 2. The Work Under Co	······································			
The Work Under Co	onsideration for Public	ation		
Did you or your institution <b>at any time</b> recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intereing If yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, datest? Yes No ormation below. If you have	ta monitoring board, study de	esign, manuscript preparation,	
	Demonal Non	-Financial 7 Cor		
Name of Institution/Company	Grant	Other Con	mments	
Fornier Inc		<b>√</b> Resea	arch Funding	
Section 3. Relevant financial	activities outside the s	ubmitted work.		
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	bed in the instructions. Us port relationships that wer est?	e one line for each entity; a	add as many lines as you need	
Name of Entity	Grant	-Financial Other? Con	mments	
Tornier Inc		✓ Consu	ultant	

Blaine 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Blaine reports other from Tornier Inc, during the conduct of the study; other from Tornier Inc, outside the submitted work; .

## **Evaluation and Feedback**

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$ 

Blaine 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Chuinard 1



Section 1. Identifying Inform			
Identifying Inform	ation		
Given Name (First Name)     Christopher	2. Surname (Last Name) Chuinard		3. Date 07-February-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho Sean Churchill	r's Name
5. Manuscript Title Clinical and Radiographic Outcomes of t	the Simpliciti Stemless Sh	noulder Arthroplasty Sy	vstem:
6. Manuscript Identifying Number (if you kn	ow it)		
Section 2. The Work Under Co	nsideration for Publi	cation	
Did you or your institution <b>at any time</b> receivany aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of intere If yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, d st?  Yes  No rmation below. If you ha	ata monitoring board, stu	ıdy design, manuscript preparation,
Name of Institution/Company	Grant	on-Financial Other?	Comments
Tornier			Consulting and Research support
Costion 2			
Section 3. Relevant financial a	activities outside the	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep	bed in the instructions. U	lse one line for each en	tity; add as many lines as you need by
Are there any relevant conflicts of intere	st? ✓ Yes No		
If yes, please fill out the appropriate info	rmation below.		
Name of Entity	Grant	on-Financial Support? Other?	Comments
Tornier			Consulting and Royalties
DePuy Mitek			Consulting

Chuinard 2



Soutien A
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Dr. Chuinard reports personal fees and other from Tornier, during the conduct of the study; personal fees from Tornier, personal fees from DePuy Mitek, outside the submitted work; .

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**Royalties:** Funds are coming in to you or your institution due to your patent

Churchill 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fi R. Sean	rst Name)	2. Surname (Last N Churchill	ame)		3. Date 27-January-2015
4. Are you the cor	responding author?	✓ Yes No			
5. Manuscript Title Clinical and Radi center study		the Simpliciti Stem	less Shoulder Arth	roplasty St	ystem - A prospective 2 year multic-
6. Manuscript Ide	ntifying Number (if you kn	ow it)			
Section 2.			D 111 -1		
	The Work Under Co				
any aspect of the s statistical analysis,	submitted work (including etc.)?	but not limited to gr	ants, data monitorin		nt, commercial, private foundation, etc.) for dy design, manuscript preparation,
•	evant conflicts of intere		No		
	out the appropriate info be removed by pressing		ou have more tha	n one entit	y press the "ADD" button to add a row.
Name of Institut	, ,	Grant? Persona	Non-Financial Support?	Other?	Comments
Fornier Inc				✓	Consulting and research funding to pay for research nurse and other study associated costs
Section 3.	Relevant financial	activities outsid	e the submitted	work.	
of compensation	n) with entities as descri	bed in the instructi	ons. Use one line f	or each en	al relationships (regardless of amount tity; add as many lines as you need by <b>36 months prior to publication</b> .
Are there any rel	evant conflicts of intere	est? ✓ Yes	No		
If yes, please fill o	out the appropriate info	ormation below.			
Name of Entity		Grant? Persona	Non-Financial Support?	Other?	Comments
Fornier Inc				<b>✓</b>	I have been a consultant and designing surgeon for Tornier for

Churchill 2



Section 4.	Intellectual	Droporty	Datante	. Copyri	orbės.			
_	Intellectual	Property	Patents	& Copyri	gnts			
Do you have any If yes, please fill c Excess rows can	out the appropr	iate informa	tion belo	w. If you ha	-		Yes No s the "ADD" button to add a ro	ow.
Paten	t?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Simpliciti Stemless Ar System	rthroplasty	<b>/</b>			<b>√</b>		As a designing surgeon I have been listed on one of the patents regarding the Simpliciti Stemless Arthroplasty System and I will be eligible for royalty compensation.	
Section 5.	Relationshi	ps not cove	ered abo	ove				
Are there other repotentially influe					eive to have	influenced, or th	at give the appearance of	
✓ Yes, the follo	wing relationsh	ips/conditio	ns/circun	nstances are	e present (ex	plain below):		
<u> </u>						conflict of intere	st	
I am a paid consu upon commercia		_	n of the Si	impliciti Tot	tal Shoulder .	Arthoplasty syste	em. I will receive royalties	

Churchill 3

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

On occasion, journals may ask authors to disclose further information about reported relationships.

#### Section 6.

#### **Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Churchill reports personal fees and other from Tornier Inc, during the conduct of the study; other from Tornier Inc, outside the submitted work; In addition, Dr. Churchill has a patent Simpliciti Stemless Arthroplasty System with royalties paid and I am a paid consultant involved in the design of the Simpliciti Total Shoulder Arthoplasty system. I will receive royalties upon commercialization of the product..

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Churchill 4



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Freehill 1



Section 1. Identifying Inform	ation		
Given Name (First Name)     Michael	2. Surname (Last Name) Freehill		3. Date 06-February-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Authorse Sean Churchill	or's Name
5. Manuscript Title Clinical and Radiographic Outcomes of center study	the Simpliciti Stemless Sh	oulder Arthroplasty S	ystem: A prospective 2-year multi-
6. Manuscript Identifying Number (if you kn	now it)		
		_	
Section 2. The Work Under Co	onsideration for Publi	cation	
any aspect of the submitted work (including statistical analysis, etc.)?	but not limited to grants, da	. , .	ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Are there any relevant conflicts of intered fives, please fill out the appropriate info		ve more than one ent	ity press the "ADD" button to add a row.
Excess rows can be removed by pressing	-		1
Name of Institution/Company	Grant	n-Financial Other?	Comments
Tornier			Consultant/Site PI/Manuscript Preparation
Section 3. Polyvant financial		1 10 1	
Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the instructions. U	se one line for each e	ntity; add as many lines as you need by
Are there any relevant conflicts of interest	est? ✓ Yes No		
If yes, please fill out the appropriate info	ormation below.		
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Tornier			Consultant

Freehill 2



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Royalties: Funds are coming in to you or your institution due to your

ert testimony, employment, or other affiliations patent : patent : patent

Friedman 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Richard	2. Surname (Last Name) Friedman		3. Date 03-October-2014
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Churchill	Name
5. Manuscript Title Results of the Simpliciti Stemless Should	ler Arthroplasty System		
6. Manuscript Identifying Number (if you know	ow it)		
		_	
Section 2. The Work Under Co	nsideration for Public	cation	
Did you or your institution <b>at any time</b> receivany aspect of the submitted work (including statistical analysis, etc.)?			
Are there any relevant conflicts of intere	st? ✓ Yes No		
If yes, please fill out the appropriate info Excess rows can be removed by pressing		re more than one entity p	oress the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Noi	n-Financial Other? C	Comments
Fornier			nding to pay for research nurse and ner study expenses
		Oti	ici stady experises
Section 3. Relevant financial a	activities outside the s	submitted work.	
Place a check in the appropriate boxes ir of compensation) with entities as describ clicking the "Add +" box. You should rep	bed in the instructions. Us	se one line for each entity	y; add as many lines as you need by
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If yes, please fill out the appropriate info	rmation below.		
Name of Entity	Giant	n-Financial Other?	Comments
DJO Surgical			
Exactech	<b>V V</b>		

Friedman 2



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Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Friedman reports other from Tornier, during the conduct of the study; personal fees from DJO Surgical, grants and personal fees from Exactech, outside the submitted work; .

## **Evaluation and Feedback**

 $Please\ visit\ \underline{http://www.icmje.org/cgi-bin/feedback}\ to\ provide\ feedback\ on\ your\ experience\ with\ completing\ this\ form.$ 

Friedman 3



#### **Instructions**

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Other: Anything not covered under the previous three boxes

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**Royalties:** Funds are coming in to you or your institution due to your patent

1



Section 1.	Identifying Inform	ation				
1. Given Name (Fi	rst Name)	2. Surnar HOLLOW	ne (Last Nam /AY	ne)		3. Date 06-February-2015
4. Are you the corr	responding author?	Yes	<b>√</b> No	Correspond Sean Chui	_	or's Name
	e ographic Outcomes of year multi-center study	the Simpli	citi Stemles	s Shoulder Arthr	oplasty S	ystem:
6. Manuscript Ider	ntifying Number (if you kn	ow it)				
<i>c</i>						
Section 2.	The Work Under Co	nsiderat	tion for Pu	ıblication		
	ubmitted work (including					ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
=	evant conflicts of intere	st? ✓	res N	No		
	out the appropriate info be removed by pressing			ı have more thar	one enti	ty press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
TORNIER, INC					<b>✓</b>	RESEARCH FUNDING TO PAY RESEARCH NURSE AND OTHER STUDY ASSOCIATED COSTS
C 41 2						
Section 3.	Relevant financial	activities	outside t	he submitted	work.	
of compensation	) with entities as descri	bed in the	instruction	s. Use one line fo	or each er	cial relationships (regardless of amount ntity; add as many lines as you need by a <b>36 months prior to publication</b> .
	evant conflicts of intere			No		To monus prior to pasication.
•	out the appropriate info		elow.			
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
ΓORNIER					<b>✓</b>	ROYALITIES FOR AFFINITY SHOULDER SYSTEM
DEPUY SYNTHES					<b>√</b>	PAID CONSULTANT



Section 4. Intellectual Property - Potents & Consulable
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
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POTENTIAL ROYALITY PAYMENTS FOR THE DESIGN OF THE PROSTHESIS
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Dr. HOLLOWAY reports other from TORNIER, INC, during the conduct of the study; other from TORNIER, other from DEPUY SYNTHES, outside the submitted work; and POTENTIAL ROYALITY PAYMENTS FOR THE DESIGN OF THE PROSTHESIS.



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**Royalties:** Funds are coming in to you or your institution due to your patent

Jacobson 1



Section 1.	Identifying Inform	ation							
1. Given Name (Fire Scott	en Name (First Name)  2. Surname (Last Name)  Jacobson				3. Date 28-January-2015				
4. Are you the corr	4. Are you the corresponding author?				Corresponding Author's Name Sean Churchill, MD				
5. Manuscript Title Clinical and Radiographic Outcomes of the Simpliciti Stemless Shoulder Arthroplasty System: A prospective 2 year clinical study									
6. Manuscript Identifying Number (if you know it)									
Section 2.	The Work Under C	onsiderati	on for Pul	blication					
	ubmitted work (including					ent, commercial, private foundation, etc.) for udy design, manuscript preparation,			
•	evant conflicts of intere								
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.									
Name of Instituti	on/Company	Grant?	Personal F	Non-Financial Support?	Other?	Comments			
Fornier					<b>✓</b>	Research funding to pay for research nurse and other study associated costs			
Section 3.	Relevant financial	activities o	outside th	e submitted	work.				
of compensation)	with entities as descri	bed in the i	nstructions	. Use one line fo	or each er	cial relationships (regardless of amount ntity; add as many lines as you need by a 26 months prior to publication.			
·	evant conflicts of intere			0					
If yes, please fill o	ut the appropriate info	ormation be	low.						
Name of Entity		Grant?	Personal I	Non-Financial Support?	Other?	Comments			
Tornier			1			Consulting fees			

Jacobson 2



Soutien A							
Section 4. Intellectual Property Patents & Copyrights							
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo							
Section 5. Relationships not covered above							
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Dr. Jacobson reports other from Tornier, during the conduct of the study; personal fees from Tornier, outside the submitted work; .							

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**Royalties:** Funds are coming in to you or your institution due to your patent

Lassiter 1



Section 1.	Identifying Inform	ation							
1. Given Name (Fii Tally	rst Name)	lame)	3. Date 03-October-2014						
4. Are you the cor			·	Corresponding Author's Name Sean Churchill					
5. Manuscript Title Results of the Sir		der Arthroplasty Sy	stem: a multi-cent	multi-center study with 2 year follow-up					
6. Manuscript Ider	ntifying Number (if you kn	ow it)							
Section 2.	The Work Under Co	onsideration for	Publication						
any aspect of the s statistical analysis, Are there any rela If yes, please fill of	ititution <b>at any time</b> recei ubmitted work (including etc.)? evant conflicts of intere out the appropriate info be removed by pressing	but not limited to gest? Yes [ormation below. If	rants, data monitorin	g board, study d	design, manuscri	pt preparation,			
Name of Institut	ion/Company	Grant? Person	al Non-Financial	Other? Co	omments				
Fornier					ding to pay for re er study expense	search nurse and			
Section 3.	Relevant financial	activities outsid	e the submitted	work.					
of compensation clicking the "Add Are there any rel	the appropriate boxes i ) with entities as descri   +" box. You should rep evant conflicts of intere	bed in the instruct port relationships t	ions. Use one line f	or each entity;	; add as many li	ines as you need by			
Section 4.	Intellectual Proper	ty Patents & C	opyrights						
Do you have any	patents, whether plan	ned, pending or is:	ued, broadly relev	ant to the work	k? Yes	✓ No			

Lassiter 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Lassiter 3



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**Royalties:** Funds are coming in to you or your institution due to your patent

Nicholson 1



Section 1. Identifying Inform	nation							
Given Name (First Name)  Gregory	2. Surname (Last Name)3. DateNicholson29-January-2015							
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name R. Sean Churchill						
5. Manuscript Title Clinical and Radiographic Outcomes of the Simpliciti Stemless Shoulder Arthroplasty System: A prospective 2 year multicenter study								
6. Manuscript Identifying Number (if you know it)								
		_						
Section 2. The Work Under C	onsideration for Public	ation						
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?   Yes No								
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.								
Name of Institution/Company	Fees Support							
Tornier Inc		Resear	rch funding					
Section 3. Relevant financial	activities outside the s	ubmitted work.						
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re	ibed in the instructions. Us	e one line for each entity; a	dd as many lines as you need by					
Are there any relevant conflicts of interest?								
If yes, please fill out the appropriate information below.								
Name of Entity	Grant	n-Financial Other? Con	nments					
Fornier Inc		Consu	ultina					

Nicholson 2



Section 4.	Intellectual	Property	Patents 8	& Copyri	ghts			
Do you have any If yes, please fill o Excess rows can b	ut the appropi	riate informat	tion below.	. If you ha	•		Yes No s the "ADD" button	to add a row.
Patent	?	Pending?	Issued? Li	icensed <mark>?</mark>	Royalties?	Licensee?	Comments	
Innomed					<b>√</b>			
Section 5.	Dalationshi	ne not sove	wad abay					
A so the second second	Relationshi	•				· . ()		
potentially influe	•				eive to have	influenced, or th	at give the appeara	nce of
Yes, the following relationships/conditions/circumstances are present (explain below):								
✓ No other relat	✓ No other relationships/conditions/circumstances that present a potential conflict of interest							
At the time of ma On occasion, jour							odate their disclosu ships.	re statements.
Section 6.	Disclosure S	tatement						
Based on the abo below.	ve disclosures,	this form wil	l automatio	cally gene	erate a disclo	osure statement, v	which will appear ir	ı the box
Dr. Nicholson rep submitted work;			_				nier Inc, outside the	2

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**Royalties:** Funds are coming in to you or your institution due to your patent

Smith 1



Section 1.	Identifying Inform	nation							
1. Given Name (Fi Matthew			e)	3. Date 06-October-2014					
4. Are you the cor	4. Are you the corresponding author?		· ·	Corresponding Author's Name Sean Churchill					
5. Manuscript Title Results of the Simpliciti Stemless Shoulder Arthroplasty System: a multi-center study with 2 year follow-up.									
6. Manuscript Ider	ntifying Number (if you kn	now it)							
Section 2.	The Work Under Co	onsideration for Pu	ıblication						
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	y but not limited to grant est?  Yes  Normation below. If you	s, data monitoring	board, stu	ent, commercial, private foundation, eduction, eduction, eduction, manuscript preparation, eduction to add a				
Name of Institut			Non-Financial Support?	Other?	Comments				
Γornier					Reimbursement to the hospital and institution for study related costs				
Section 3.	Relevant financial	activities outside t	he submitted v	work.					
of compensation clicking the "Add Are there any rel	) with entities as descri	ibed in the instruction port relationships that —	s. Use one line fo were <b>present d</b> e	r each en	cial relationships (regardless of amo ntity; add as many lines as you nee a 36 months prior to publication	d by			
Section 4.	Intellectual Proper	rty Patents & Cop	yrights						
Do you have any	patents, whether plan	ned, pending or issue	d, broadly releva	nt to the	work? Yes No				

Smith 2



Section 5. Polationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Smith reports other from Tornier, during the conduct of the study; .

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#### **Instructions**

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# Identifying information.

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### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

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Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Spencer 1



Section 1.	lentifying Inform	ation					
	lentilying illioill	ation					
1. Given Name (First N Edwin	lame)	2. Surname ( Spencer	Last Name)			3. Date 06-February-2015	
4. Are you the corresp	u the corresponding author?			Corresponding Author's Name Churchill			
5. Manuscript Title Clinical and Radiogr	the Simplicti S	Stemless Sh	oulder Arthro	pplasty Syst	tem		
6. Manuscript Identify	ing Number (if you kn	ow it)					
Section 2. Th	ne Work Under Co	onsideration	n for Publi	cation			
any aspect of the subn statistical analysis, etc. Are there any releva	nitted work (including )? nt conflicts of intere	but not limited	to grants, d	ata monitoring	board, stud	t, commercial, private foundation, etc.) for ly design, manuscript preparation, press the "ADD" button to add a row.	
Excess rows can be r				ve more trian		press the ADD button to add a row.	
Name of Institution	/Company	Grant	-	n-Financial Support	Other?	Comments	
Tornier Tornier					V	unding to pay for research nurse and ther study expenses	
Section 3. Re	elevant financial	activities ou	ıtside the	submitted '	work.		
of compensation) w	ith entities as descri	bed in the ins	tructions. U	se one line fo	r each enti	Il relationships (regardless of amount ity; add as many lines as you need by <b>36 months prior to publication</b> .	
Are there any releva			No				
If yes, please fill out	the appropriate info	rmation belo	W.				
Name of Entity		Grant•		n-Financial Support	Other?	Comments	
Tornier Tornier					<b>√</b> C	onsultant and Royalties	

Spencer 2



Section 4. Intellectual Property - Patents & Consuments
Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
✓ Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
I am a consultant for Tornier and also receive royalties from Tornier for other products. I helped design this stemless prosthesis but the submitted study was performed with many different surgeons with no involvement and my particular involvement was limited secondary to the fact that I am a designing surgeon to mitigate any bias.
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Dr. Spencer reports other from Tornier, during the conduct of the study; other from Tornier, outside the submitted work; and I am a consultant for Tornier and also receive royalties from Tornier for other products. I helped design this stemless prosthesis but the submitted study was performed with many different surgeons with no involvement and my particular involvement was limited secondary to the fact that I am a designing surgeon to mitigate any bias

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**Royalties:** Funds are coming in to you or your institution due to your patent

Wiater, MD 1



Section 1. Identifying Information	ation							
1. Given Name (First Name) J. Michael	2. Surname (Last Name) Wiater, MD	3. Date 01-February-2015						
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Sean Churchill, MD						
<ol><li>Manuscript Title Clinical and Radiographic Outcomes of t center Study</li></ol>	ystem: A Prospective 2-year Multi-							
6. Manuscript Identifying Number (if you kno	6. Manuscript Identifying Number (if you know it)							
Sortion 2								
Section 2. The Work Under Co	nsideration for Public	ation						
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?								
Are there any relevant conflicts of interest lf yes, please fill out the appropriate info Excess rows can be removed by pressing	rmation below. If you hav	e more than one enti	ty press the "ADD" button to add a row.					
Name of Institution/Company	Grant? Personal Fees? Non-Financial Support? Other? Comments							
Fornier, Inc			publication committee consultant and research funding to pay for research nurse and other study associated costs					
Section 3. Relevant financial a	activities outside the s	ubmitted work.						
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should rep	oed in the instructions. Us	e one line for each er	ntity; add as many lines as you need by					
Are there any relevant conflicts of interes	st? Yes 🗸 No							
Section 4. Intellectual Propert	ty Patents & Copyrig	hts						
Do you have any patents, whether plann	ed, pending or issued, br	oadly relevant to the	work? Yes Vo					

Wiater, MD 2



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Dr. Wiater, MD reports personal fees and other from Tornier, Inc, during the conduct of the study; .

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Wiater, MD



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**Royalties:** Funds are coming in to you or your institution due to your patent

Wittstein 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Jocelyn	2. Surname (Last Name) Wittstein	3. Date 02-October-2014	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Dr. Sean Churchill	
5. Manuscript Title Results of the Simpliciti Stemless Shoulder Arthroplasty System: a multi-center study with 2 year follow up			
6. Manuscript Identifying Number (if you kn	ow it)		
		_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?			
Are there any relevant conflicts of interest?			
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.			
Name of Institution/Company	Grant	n-Financial other	Comments
Fornier Inc			research funding to pay for research nurse and other study associated costs
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .			
Are there any relevant conflicts of intere	est? Yes Vo		
Section 4. Intellectual Proper	ty Patents & Copyric	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Wittstein 2



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