

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Fujimori 1



Section 1.	Identifying Inform	ation		
1. Given Name (First Takahito	: Name)	2. Surname (Last Name) Fujimori		3. Date 31-December-2014
4. Are you the corres	sponding author?	Yes ✓ No	Corresponding Author's Nam Peter O. Newton, MD	e
5. Manuscript Title Defining the "3-Di	mensional Sagittal Pla	ne" in Adolescent Idiopa	thic Scoliosis	
6. Manuscript Identi	ifying Number (if you kn	ow it)		
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the sub statistical analysis, et	omitted work (including	but not limited to grants, do	a third party (government, com ata monitoring board, study desi	mercial, private foundation, etc.) for ign, manuscript preparation,
Section 3.	Relevant financial a	activities outside the	submitted work.	
of compensation) v clicking the "Add +	with entities as descri	bed in the instructions. Uport relations hips that we		tionships (regardless of amount ld as many lines as you need by onths prior to publication.
Section 4.	ntellectual Proper	ty Patents & Copyri	ghts	
			roadly relevant to the work?	☐ Yes ✓ No

Fujimori 2



Section 5. Relationships not covered above
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Section 6. Disclosure Statement
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Dr. Fujimori has nothing to disclose.

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Misaghi 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Amirhossein	rst Name)	2. Surname (Last Name) Misaghi	3. Date 30-January-2015
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Peter O. Newton, MD
5. Manuscript Title Defining the "3-		ane" in Adolescent Idiopat	thic Scoliosis
6. Manuscript Ide	ntifying Number (if you kr	now it)	
			-
Section 2.	The Work Under C	onsideration for Public	cation
any aspect of the s statistical analysis,	submitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4.	Intellectual Prope	rty Patents & Copyrig	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No

Misaghi 2



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Sertion 6
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Royalties: Funds are coming in to you or your institution due to your patent

1

administrative support, etc.



Section 1. Identifying In	formation		
Given Name (First Name) Tracey	2. Surname (Last Name) Bastrom		3. Date 30-June-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autl	
5. Manuscript Title Defining the "3-Dimensional Sagit	tal Plane" in Adolescent Idiopat	hic Scoliosis	
6. Manuscript Identifying Number (if	vou know it)		
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Section 2. The Work Und	er Consideration for Public	cation	
any aspect of the submitted work (inc statistical analysis, etc.)? Are there any relevant conflicts of	uding but not limited to grants, da interest? Yes No e information below. If you hav	ta monitoring board, s	nent, commercial, private foundation, etc.) for study design, manuscript preparation, tity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	n-Financial upport	Comments
Setting Scoliosis Straight Foundation			Research Grants from Depuy Synthes Spine to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
Section 3. Relevant finar	icial activities outside the s	submitted work.	
of compensation) with entities as	described in the instructions. Us ld report relationships that wer	se one line for each o	ncial relationships (regardless of amount entity; add as many lines as you need by ne 36 months prior to publication .
Section 4. Intellectual Pr	operty Patents & Copyri <u>c</u>	Jhts	
Do you have any patents, whether	planned, pending or issued, br	oadly relevant to th	e work? Yes 🗸 No

Bastrom 2



Section 5. Polationships not severed above
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Ms. Bastrom reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study.

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Reighard 1



Section 1. Identifying Inf	ormation	
Given Name (First Name) Fredrick	2. Surname (Last Name) Reighard	3. Date 30-June-2015
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Peter O. Newton, MD
5. Manuscript Title Defining the "3-Dimensional Sagitt	al Plane" in Adolescent Idiopat	ithic Scoliosis
6. Manuscript Identifying Number (if yo	ou know it)	
Section 2. The Work Under	er Consideration for Public	cation
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Name of Institution/Company	Grant? Personal Nor	On-Financial Other? Comments
Setting Scoliosis Straight Foundation	✓	Research Grants from Depuy Synthes Spine to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
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Section 4. Intellectual Pro	pperty Patents & Copyric	ahts
Do you have any patents, whether		

Reighard 2



Section 5.	
Section 5.	Relationships not covered above
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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Mr. Reighard rep	orts grants from Setting Scoliosis Straight Foundation, during the conduct of the study.

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Doan 1



Section 1. Identifying Information	ation		
1. Given Name (First Name) Joshua	2. Surname (Last Name) Doan		3. Date 30-June-2015
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autho	r's Name
5. Manuscript Title Defining the "3-Dimensional Sagittal Pla	ne" in Adolescent Idiopat	thic Scoliosis	
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		_	
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Name of Institution/Company	Grant	n-Financial Other?	Comments
Setting Scoliosis Straight Foundation	✓		Research Grants from Depuy Synthes Spine to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
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Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repart there any relevant conflicts of interests."	oed in the instructions. Us ort relationships that wer	se one line for each en	tity; add as many lines as you need by
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Do you have any patents, whether plann	ed, pending or issued, br	roadly relevant to the	work? Yes No

Doan 2



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Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

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Section 1. Identifying Inform				
Identifying Information	ation			
Given Name (First Name) Peter	2. Surname (Last Na Newton	me)		3. Date 30-June-2015
4. Are you the corresponding author?	✓ Yes No			
5. Manuscript Title Defining the "3-Dimensional Sagittal Pla	ne" in Adolescent l	diopathic Scoliosi:	S	
6. Manuscript Identifying Number (if you kno	ow it)			
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Setting Scoliosis Straight Foundation	V			Research Grants from Depuy Synthes Spine to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
Section 3. Relevant financial a	activities outside	the submitted	work.	
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should report there any relevant conflicts of interesting the series of the series	oed in the instruction ort relationships the st? Yes	ns. Use one line f	or each en	tity; add as many lines as you need by
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Name of Entity	Grant? Persona Fees?	Non-Financial Support?	Other?	Comments
POSNA	✓		- V	Board membership (no compensation)



		Fees?	Support?	Other•	
Setting Scoliosis Straight Foundation	✓			✓	Board membership (no compensation)
Rady Children's Specialists				✓	Board membership (no compensation)
DePuy Synthes Spine	✓	✓			Implant royalties, Consultant, speakers bureau, royalties, payment for development of educational programs
Norcal		✓			Expert testimony
Law firm of Carroll, Kelly, Trotter, Franzen & McKenna		\checkmark			Expert testimony
Law firm of Smith, Haughey, Rice & Roegge		✓			Expert testimony
NIH	√				
OREF	\checkmark				
SRS	✓			✓	Past Board membership (no compensation)
EOS imaging	✓				
Thieme Publishing		✓			Royalties
NuVasive				✓	Stocks/options (past)
Ethicon Endosurgery		✓			Consultancy
Electocore				✓	Stocks/options
Cubist		\checkmark			Consultancy
International Orthopedic Think Tank				✓	Board membership (no compensation)
Orthopediatrics Institutional Support				√	Research Support

. Personal Non-Financial



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
Anchoring systems and methods for correcting spinal deformities (8540754)				√	DePuy Synthes Spine	
Low profile spinal tethering systems (8123749)		\checkmark			DePuy Spine, Inc.	
Screw placement guide (7981117)		√			DePuy Spine, Inc.	
Compressor for use in minimally invasive surgery (7189244)		✓			DePuy Spine, Inc.	
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
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Section 6. Disclosure S						

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Newton reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; grants and other from POSNA, grants and other from Setting Scoliosis Straight Foundation, other from Rady Children's Specialists, grants and personal fees from DePuy Synthes Spine, personal fees from Norcal, personal fees from Law firm of Carroll, Kelly, Trotter, Franzen & McKenna, personal fees from Law firm of Smith, Haughey, Rice & Roegge, grants from NIH, grants from OREF, grants and other from SRS, grants from EOS imaging, personal fees from Thieme Publishing, other from NuVasive, personal fees from Ethicon Endosurgery, other from Electocore, personal fees from Cubist, other from International Orthopedic Think Tank, other from Orthopediatrics Institutional Support, outside the submitted work; In addition, Dr. Newton has a patent Anchoring systems and methods for correcting spinal deformities (8540754) with royalties paid to DePuy Synthes Spine, a patent Low profile spinal tethering systems (8123749) issued to DePuy Spine, Inc., a patent Screw placement guide (7981117) issued to DePuy Spine, Inc., and a patent Compressor for use in minimally invasive surgery (7189244) issued to DePuy Spine, Inc..



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