

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Boden 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Scott	2. Surname (Last Name) Boden	3. Date 02-February-2015
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Keith H. Bridwell, MD
5. Manuscript Title Specialty Update: What's New in Spine	Surgery	
6. Manuscript Identifying Number (if you k	now it)	
Section 2. The Work Under C	Consideration for Publi	cation
	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	ribed in the instructions. Usport relationships that we rest?	nether you have financial relationships (regardless of amount lee one line for each entity; add as many lines as you need by the present during the 36 months prior to publication.
Name of Entity	Grant? Personal No	n-Financial Other? Comments
Name of Littley	Fees?	Support? Comments
Medtronic		Royalty for DBM
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plar If yes, please fill out the appropriate inf Excess rows can be removed by pressir	ormation below. If you ha	roadly relevant to the work? Yes No No ve more than one entity press the "ADD" button to add a row.

Boden 2



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
For BMP-2 delivery, DBM,	✓	✓	\checkmark		Medtronic		
For Small Molecules	\checkmark		\checkmark		Emory		

Section 5.	Relationships not covered above
	lationships or activities that readers could perceive to have influenced, or that give the appearance of acing, what you wrote in the submitted work?
Yes, the follow	ring relationships/conditions/circumstances are present (explain below):
✓ No other relati	onships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. nals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Boden reports personal fees from Medtronic, outside the submitted work; In addition, Dr. Boden has a patent For BMP-2 delivery, DBM, licensed to Medtronic, and a patent For Small Molecules licensed to Emory.

Evaluation and Feedback

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Boden 3



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1

Bridwell



Section 1.	Identifying Inform	ation									
1. Given Name (Fii Keith		2. Surname (Last N Bridwell	ame)		3. Date 26-January-2015						
4. Are you the cor	orresponding author?										
5. Manuscript Title Specialty Update: What's New in Spine Surgery											
6. Manuscript Ider	6. Manuscript Identifying Number (if you know it)										
Section 2.											
Section 2.	The Work Under Co	nsideration for	Publication								
any aspect of the s statistical analysis,	Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No										
Section 3.	Relevant financial a	activities outsid	e the submitted	work.							
of compensation clicking the "Add Are there any rele) with entities as describ	bed in the instruction ort relationships the st? Yes rmation below.	ons. Use one line fonat were present d	or each enti luring the 3	al relationships (regardless of amount ity; add as many lines as you need by 36 months prior to publication .						
Name of Entity		Grant? Persona	Non-Financial Support?	Other?	Comments						
NIH		V			2.5 million over the course of 6 years 2010-2016)						
Volters Kluwer					oyalties: ~\$1200 past 12 months for he Textbook of Spinal Surgery, 3rd d						
	ı										
Section 4.	Intellectual Propert	ty Patents & C	opyrights								
Do you have any	patents, whether plann	ned, pending or iss	ued, broadly releva	nt to the w	ork? Yes 🗸 No						

Bridwell 2



Section 5. Polationships not severed above
Relationships not covered above
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Dr. Bridwell reports grants from NIH, personal fees from Wolters Kluwer, outside the submitted work; .

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Bridwell 3



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1

Kim



Section 1. Identify	ving Information								
1. Given Name (First Name) Han Jo	2. Surname (Last N	lame)	3. Date 26-January-2015						
4. Are you the corresponding	author? Yes V	Corresponding Au Keith H. Bridwel							
5. Manuscript Title Specialty Update: What's New in Spine Surgery									
6. Manuscript Identifying Nu	nber (if you know it)								
Section 2. The Wo	rk Under Consideration for	Publication							
	ork (including but not limited to g		nment, commercial, private foundation, etc.) f l, study design, manuscript preparation,	or					
Section 3. Relevan	t financial activities outsid	e the submitted work							
of compensation) with ent clicking the "Add +" box. You Are there any relevant con-	ties as described in the instruct ou should report relationships	ions. Use one line for each	ancial relationships (regardless of amoun n entity; add as many lines as you need by the 36 months prior to publication.						
Name of Entity	Grant? Person	Al Non-Financial Othe	Comments						
Biomet, K2M, Medtronic, Depuy,	Stryker								
Section 4. Intellec	tual Property Patents & C	opyrights							
Do you have any patents, w	whether planned, pending or is:	ued, broadly relevant to t	he work? Yes V						

Kim 2



Section 5. Polationships not severed above
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Dr. Kim reports personal fees from Biomet, K2M, Medtronic, Depuy, Stryker, outside the submitted work; .

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Section 1. Identifying Information	ation								
1. Given Name (First Name) Alexander	2. Surname Vaccaro	e (Last Nan	ne)	3. Date 28-January-2015					
4. Are you the corresponding author?	Yes	√ No	-	Corresponding Author's Name Keith H. Bridwell, MD					
5. Manuscript Title Specialty Update: What's New in Spine Surgery									
6. Manuscript Identifying Number (if you kno	ow it)								
Section 2. The Work Under Co	nsiderati	on for P	ublication						
Did you or your institution at any time received any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest	but not limit	ed to gran							
Section 3. Relevant financial a	ctivities o	outside 1	the submitted	work.					
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should report there any relevant conflicts of interest fyes, please fill out the appropriate information.	oed in the in ort relation ot?	nstructior ships tha	ns. Use one line fo	r each er	ntity; add as many lines as you need by				
Name of Entity	Grant? F	Personal Fees?	Non-Financial Support?	Other?	Comments				
AO Spine				√	Service on Scientific Advisory Board / Board of Directors / Service on Committees				
nnovative Surgical Design				✓	Service on Scientific Advisory Board / Board of Directors / Service on Committees				
Association of Collaborative Spine Research				✓	Service on Scientific Advisory Board / Board of Directors / Service on Committees				
Spinicity				✓	Service on Scientific Advisory Board / Board of Directors / Service on Committees				



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Medtronics		√			Consulting / Independent Contractor
Stryker Spine		\checkmark			Consulting / Independent Contractor
Globus		✓			Consulting / Independent Contractor
Stout Medical		✓			Consulting / Independent Contractor
Gerson Lehrman Group		✓			Consulting / Independent Contractor
Guidepoint Global		✓			Consulting / Independent Contractor
Medacorp		✓			Consulting / Independent Contractor
Innovative Surgical Design		\checkmark			Consulting / Independent Contractor
Expert Testimony		✓			Consulting / Independent Contractor
Ellipse		✓			Consulting / Independent Contractor
Orthobullets		✓			Consulting / Independent Contractor
Cerapedics	√				Institutional / Educational Grant
DePuy		\checkmark			Receipt of Royalty Payments
Medtronics		✓			Receipt of Royalty Payments
Stryker Spine		✓			Receipt of Royalty Payments
Biomet Spine		✓			Receipt of Royalty Payments
Globus		✓			Receipt of Royalty Payments
Aesculap		\checkmark			Receipt of Royalty Payments
Thieme		\checkmark			Receipt of Royalty Payments
Jaypee		✓			Receipt of Royalty Payments
Elseviere		✓			Receipt of Royalty Payments
Taylor Francis		\checkmark			Receipt of Royalty Payments
Replication Medica				✓	Stock / Stock Option Ownership Interests
Globus				√	Stock / Stock Option Ownership Interests
Paradigm Spine				✓	Stock / Stock Option Ownership Interests
Stout Medical				✓	Stock / Stock Option Ownership Interests
Spine Medica				√	Stock / Stock Option Ownership Interests



Computational Biodynamics		√	Stock / Stock Option Ownership Interests
Progressive Spinal Technologies		✓	Stock / Stock Option Ownership Interests
Spinology		✓	Stock / Stock Option Ownership Interests
Small Bone Innovations		√	Stock / Stock Option Ownership Interests
Cross Current		✓	Stock / Stock Option Ownership Interests
In Vivo		✓	Stock / Stock Option Ownership Interests
Flagship Surgical		✓	Stock / Stock Option Ownership Interests
Advanced Spinal Intellectual Properties		✓	Stock / Stock Option Ownership Interests
Cytonics		✓	Stock / Stock Option Ownership Interests
Bonovo Orthopaedics		√	Stock / Stock Option Ownership Interests
Electrocore		✓	Stock / Stock Option Ownership Interests
Gamma Spine		√	Stock / Stock Option Ownership Interests
Location Based Intelligence		✓	Stock / Stock Option Ownership Interests
FlowPharma		✓	Stock / Stock Option Ownership Interests
R.S.I.		✓	Stock / Stock Option Ownership Interests
Rothman Institute and Related Properties		✓	Stock / Stock Option Ownership Interests
		✓	Stock / Stock Option Ownership Interests



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Section 1. Identifying Inform	nation							
1. Given Name (First Name) Jeffrey	2. Surna Wang	me (Last Nan	ne)		3. Date 26-January-2015			
4. Are you the corresponding author?	Yes	✓ No	-	Corresponding Author's Name Keith H. Bridwell, MD				
5. Manuscript Title Specialty Update: What's New in Spine	Surgery							
6. Manuscript Identifying Number (if you kr	now it)							
Section 2. The Work Under Co	onsidera	tion for P	ublication					
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere		_	No	g Bourd, Stu	ay acsign, manascript preparation,			
Section 3. Relevant financial	activitie	s outside t	the submitted	work.				
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repart there any relevant conflicts of interesting the propriate info	bed in the cort relation state.	e instructior onships tha Yes Delow.	ns. Use one line fo t were present d No	or each ent uring the	tity; add as many lines as you need	d by		
Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments			
Biomet, Stryker, Alphatec, Synthes, Amedica, Osprey, Aesculap, Seaspine		√			royalties			
AO Foundation Board of Directors		✓			Board of directors			
Board of directors: North American Spine Society, Cervical Spine Research Society, Collaborative Spine Research Foundation					expense reimbursement for travel to poard meetings			
various law firms		✓			expert witness			
USC		✓			my current employer			



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments		
stocks or options: Fziomed, Promethean Spine, Paradigm spine, Benevenue, NexGen, Pioneer, Amedica, Vertiflex, electrocore, surgitech, Axiomed, VG Innovations, Corespine, expanding orthopaedics, Syndicom, Osprey, bone biologics, curative biosciences, pearldiver				/	no money paid, but options or personal investment		
AO Foundation spine fellowship funding to institution				√	spine fellowship funding to institution		
Sortion A							
Section 4. Intellectual Propert	y Pate	ents & Cop	oyrights				
Do you have any patents, whether plann	ed, pend	ing or issue	d, broadly releva	nt to the	work? ☐ Yes ✔ No		
Section 5. Relationships not c	overed	above					
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?							
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest							
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					• •		
Section 6. Disclosure Stateme	nt						
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.							

Dr. Wang reports personal fees from Biomet, Stryker, Alphatec, Synthes, Amedica, Osprey, Aesculap, Seaspine, personal fees from AO Foundation Board of Directors, other from Board of directors: North American Spine Society, Cervical Spine Research Society, Collaborative Spine Research Foundation, personal fees from various law firms, personal fees from USC, other from stocks or options: Fziomed, Promethean Spine, Paradigm spine, Benevenue, NexGen, Pioneer, Amedica, Vertiflex, electrocore, surgitech, Axiomed, VG Innovations, Corespine, expanding orthopaedics, Syndicom, Osprey, bone biologics, curative biosciences, pearldiver, other from AO Foundation spine fellowship funding to institution, outside the submitted work;



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Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

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Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Anderson 1



Identifying Information

Section 1.

ICMJE Form for Disclosure of Potential Conflicts of Interest

Paul	2. Surname (Last Nam Anderson	ie)	3. Date 26-January-2015		
4. Are you the corresponding author?	☐ Yes ✓ No	· ·	ding Author's Name ridwell, MD		
5. Manuscript Title Specialty Update: What's New in Spind	e Surgery				
6. Manuscript Identifying Number (if you	know it)				
Section 2. The Work Under	Consideration for Pu	ıblication			
			government, commercial, private foundation, etc board, study design, manuscript preparation,	:.) for	
statistical analysis, etc.)?			, , , , . , . , . ,		
Are there any relevant conflicts of inte	erest? Yes	lo			
Section 3. Relevant financia	al activities outside t	he submitted	work.		
		Latha a La	. Consideration that the constant of the const		
			ive financial relationships (regardless of amo or each entity; add as many lines as you need		
			uring the 36 months prior to publication.		
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Are there any relevant conflicts of inte		lo	3		
Are there any relevant conflicts of inte		No			
	offormation below. Grant? Personal	Non-Financial	Other? Comments		
If yes, please fill out the appropriate in	nformation below.		Other? Comments		
If yes, please fill out the appropriate in	offormation below. Grant? Personal	Non-Financial			
If yes, please fill out the appropriate in Name of Entity Stryker	Grant? Personal Fees?	Non-Financial	Other? Comments		
If yes, please fill out the appropriate in Name of Entity Stryker Aesculap	Grant? Personal Fees?	Non-Financial	Other? Comments royalties and consultant		
If yes, please fill out the appropriate in	Grant? Personal Fees?	Non-Financial	Other? Comments royalties and consultant Consultant		
If yes, please fill out the appropriate in Name of Entity Stryker Aesculap SI bone	Grant? Personal Fees?	Non-Financial	Other? Comments royalties and consultant Consultant Stock options		
If yes, please fill out the appropriate in Name of Entity Stryker Aesculap SI bone Titan surgical	Grant? Personal Fees?	Non-Financial	Other? Comments royalties and consultant Consultant Stock options Stock options		

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Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No
Section 5. Relationships not covered above
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
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