

#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

### 1. Identifying information.

### 2. The work under consideration for publication.

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### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Infor	mation		
1. Given Name (Fi Emre	irst Name)	2. Surname (Last Name) Acaroglu	3. Date 19-Decen	nber-2016
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay	
5	nt and Proportion (GA	P) Score: Development and plications after Adult Spina	Validation of a New Method of Analyz I Deformity Surgery	ing Spinopelvic

6. Manuscript Identifying Number (if you know it)

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support	Other?	Comments	
Depuy Synthes	$\checkmark$					
Medtronic	$\checkmark$					
IncredX				$\checkmark$	Stock Ownership	
AOSpine				$\checkmark$	Royalties	
Medtronic					Speaker Arrangements	



### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

### Section 5. Relationships not covered above

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Yes, the following relationships/conditions/circumstances are present (explain below):

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### Section 6. Disclosure Statement

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Dr. Acaroglu reports grants from Depuy Synthes, grants from Medtronic, other from IncredX, other from AOSpine, from Medtronic, outside the submitted work; .

#### **Evaluation and Feedback**



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Section 1.	Identifying Infor	mation	
1. Given Name (Fir Ahmet	rst Name)	2. Surname (Last Name) Alanay	3. Date 19-December-2016
4. Are you the corr	responding author?	✓ Yes No	

5. Manuscript Title

Global Alignment and Proportion (GAP) Score: Development and Validation of a New Method of Analyzing Spinopelvic Alignment to Predict Mechanical Complications after Adult Spinal Deformity Surgery

6. Manuscript Identifying Number (if you know it)

### Section 2. The Work Under Consideration for Publication

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Depuy Synthes	$\checkmark$					
Depuy Synthes				$\checkmark$	Consulting	
Stryker				$\checkmark$	Consulting	

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#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

No



If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties <b>?</b>	Licensee?	Comments	
Proportion Concept	$\checkmark$						

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Dr. Alanay reports grants from Depuy Synthes, other from Depuy Synthes, other from Stryker, outside the submitted work; In addition, Dr. Alanay has a patent Proportion Concept pending.

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1. Given Name (First Name) Louis	2. Surname (Last Name) Boissiere	3. Date 19-December-2016
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay
5. Manuscript Title Global Alignment and Proportion (GA Alignment to Predict Mechanical Con	•	d Validation of a New Method of Analyzing Spinopelvic al Deformity Surgery

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Depuy Synthes	$\checkmark$				to the institution	

# Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



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Dr. Boissiere reports grants from Depuy Synthes, outside the submitted work; .

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. Given Name (First Name) leiman	2. Surname (Last Name) Haddad	3. Date 19-December-2016
. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay
5. Manuscript Title Global Alignment and Proportion (GA Alignment to Predict Mechanical Com	•	d Validation of a New Method of Analyzing Spinopelvi al Deformity Surgery
	(now it)	

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Are there any relevant conflicts of interest?	Yes
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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work?     res   $\mathbf{v}$   no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Dr. Haddad has nothing to disclose.

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1. Given Name (First Name) Frank	2. Surname (Last Name) Kleinstuck	3. Date 19-December-2016
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Are there any relevant conflicts of interest?	Yes	
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Are there any relevant conflicts of interest?  $\checkmark$  Yes

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Name of Entity	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
Depuy Synthes	$\checkmark$					
Depuy Synthes				$\checkmark$	Speaking Arrangements	

Section 4.

#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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1. Given Name (First Name) Anne F	2. Surname (Last Name) Mannion	3. Date 19-December-2016
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay
5. Manuscript Title Global Alignment and Proportion (GA Alignment to Predict Mechanical Com	•	nd Validation of a New Method of Analyzing Spinopelvi nal Deformity Surgery
6. Manuscript Identifying Number (if you l	know it)	

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🖌 No

Are there any relevant conflicts of interest?	Yes
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Are there any relevant conflicts of interest?  $\Box$  Yes  $\checkmark$  No

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	N/	0
	1 1			-



# Section 5. Relationships not covered above

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Dr. Mannion has nothing to disclose.

#### **Evaluation and Feedback**



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Section 1.	Identifying Inform	mation		
1. Given Name (Fi Ibrahim	rst Name)	2. Surname (Last Name) Obeid		3. Date 19-December-2016
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Nam Ahmet Alanay	e
5	nt and Proportion (GAI	P) Score: Development and plications after Adult Spina	Validation of a New Method I Deformity Surgery	of Analyzing Spinopelvic

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
Depuy Synthes	$\checkmark$					
Alphatec				$\checkmark$	Royalties	
Spineart				$\checkmark$	Royalties	
Medtronic				$\checkmark$	Consulting	



### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

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Dr. Obeid reports grants from Depuy Synthes, other from Alphatec, other from Spineart, other from Medtronic, outside the submitted work; .

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Ferran	rst Name)	2. Surname (Last Name) Pellise		3. Date 19-December-2016
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Na Ahmet Alanay	ame
0	nt and Proportion (GA	P) Score: Development and plications after Adult Spina		od of Analyzing Spinopelvic

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✓ No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
Depuy Synthes	$\checkmark$					
Medtronic	$\checkmark$					
Depuy Synthes				$\checkmark$	Consulting	
Biomet Zimmer				$\checkmark$	Consulting	



### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

### Section 5. Relationships not covered above

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Dr. Pellise reports grants from Depuy Synthes, grants from Medtronic, other from Depuy Synthes, other from Biomet Zimmer, outside the submitted work; .

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Section 1.	Identifying Inforr	nation	
1. Given Name (Fi Francisco J Sanc	,	2. Surname (Last Name) Perez-Grueso	3. Date 19-December-2016
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay
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✓ No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Depuy Synthes	$\checkmark$					
K2M				$\checkmark$	Consulting	

Section 4.

#### Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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Dr. Perez-Grueso reports grants from Depuy Synthes, other from K2M, outside the submitted work; .

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1. Given Name (F Nuray	irst Name)	2. Surname (Last Name) Sogunmez	3. Date 19-December-2016
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work?     res   $\mathbf{v}$   no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Dr. Sogunmez has nothing to disclose.

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I. Given Name (First Name) Yasemin	2. Surname (Last Name) Yavuz	3. Date 19-December-2016
. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ahmet Alanay
lignment to Predict Mechanical Com	-	d Validation of a New Method of Analyzing Spinopel al Deformity Surgery

The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes
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# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest?		Yes	✓	
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\;[$	Yes	🖌 No	
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# Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yavuz has nothing to disclose.

#### **Evaluation and Feedback**



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

### 1. Identifying information.

### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Infor	mation			
1. Given Name (Fi Caglar	rst Name)	2. Surnam Yilgor	ne (Last Name)		3. Date 19-December-2016
4. Are you the cor	responding author?	Yes	✓ No	Corresponding Author's Na Ahmet Alanay	ame
Alignment to Pr		plications af	•		od of Analyzing Spinopelvic
Section 2.				-	
Section 2.	The Work Under (	Considerat	ion for Publi	cation	
	•			1 7 5	ommercial, private foundation, etc.) for

WORK (INCIU grants, data monitorii oard, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?   Yes	Are there ar	ıy relevant c	onflicts	of interest?		Yes
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#### Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?	Yes	$\checkmark$
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#### Section 4. **Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes

No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties <b>?</b>	Licensee?	Comments	
Proportion Concept	$\checkmark$						



# Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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### Section 6. Disclosure Statement

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Dr. Yilgor reports In addition, Dr. Yilgor has a patent Proportion Concept pending.

#### **Evaluation and Feedback**