

#### **Instructions**

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**Royalties:** Funds are coming in to you or your institution due to your patent

Clark 1



| Section 1.   | Identifying Inform  | nation  |  |  |  |
|--|---|---|--|--|--|
| 1. Given Name (Fi  | rst Name)   | 2. Surname (Last Name)<br>Clark                               | 3. Date<br>16-January-2017   |  |  |
| 4. Are you the cor   | responding author?  | Yes ✓ No  | Corresponding Author's Name<br>Mark Frankle, MD  |  |  |
| 5. Manuscript Title<br>Reverse shoulde<br>a previous repor | r arthroplasty for the tr                                     | eatment of rotator cuff de                                    | ficiency: A concise follow-up, at a minimum of ten years, of   |  |  |
| 6. Manuscript Ider   | ntifying Number (if you kr                                    | now it)   |  |  |  |
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| Section 2.   | The Work Under Co   | onsideration for Public                                       | ation  |  |  |
| any aspect of the s<br>statistical analysis,               | stitution <b>at any time</b> rece<br>ubmitted work (including | ive payment or services from<br>but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for<br>ta monitoring board, study design, manuscript preparation,   |  |  |
| Section 3.   | Relevant financial  | activities outside the s                                      | ubmitted work.   |  |  |
| of compensation clicking the "Add                          | n) with entities as descri                                    | bed in the instructions. Us<br>port relationships that wer    | ether you have financial relationships (regardless of amount<br>e one line for each entity; add as many lines as you need by<br>e <b>present during the 36 months prior to publication</b> . |  |  |
| Section 4.   | Justalla stund Dur  | D   |  |  |  |
|  | Intellectual Proper   | ty Patents & Copyrig  | nts  |  |  |
| Do you have any  | patents, whether plan   | ned, pending or issued, br                                    | oadly relevant to the work? Yes V No   |  |  |

Clark 2



| Section 5. Relationships not covered above   |
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| Relationships not covered above  |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?  |
| Yes, the following relationships/conditions/circumstances are present (explain below):   |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest  |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships. |
| Section 6. Disclosure Statement  |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.  |
| Dr. Clark has nothing to disclose.   |

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Cuff 1



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|--|---|--|--|--|--|
| 1. Given Name (Fir<br>Derek                                  | st Name)  | 2. Surname (Last Name)<br>Cuff                             | 3. Date<br>16-January-2017   |  |  |
| 4. Are you the corr  | responding author?  | Yes ✓ No   | Corresponding Author's Name<br>Mark Frankle, MD  |  |  |
| 5. Manuscript Title<br>Reverse shoulder<br>a previous report | r arthroplasty for the tr                                     | eatment of rotator cuff de                                 | ficiency: A concise follow-up, at a minimum of ten years, of   |  |  |
| 6. Manuscript Ider   | ntifying Number (if you kn                                    | now it)  |  |  |  |
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| of compensation clicking the "Add                            | ) with entities as descri                                     | bed in the instructions. Us<br>port relationships that wer | ether you have financial relationships (regardless of amount<br>e one line for each entity; add as many lines as you need by<br>e <b>present during the 36 months prior to publication</b> . |  |  |
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| occion i.  | Intellectual Proper   | ty Patents & Copyrig                                       | ints   |  |  |
| Do you have any  | patents, whether plans  | ned, pending or issued, br                                 | oadly relevant to the work? Yes V No   |  |  |

Cuff 2



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|--|--|---|------------------------|----------------------------|--|
| Section 1.   | Identifying Inforn   | nation  |                        |                            |  |
| 1. Given Name (F<br>Mark   | irst Name)   | 2. Surname (Last Nar<br>Frankle                           | ne)                    | 3. Date<br>16-January-2017 |  |
| 4. Are you the co  | rresponding author?  | ✓ Yes No  |                        |                            |  |
| a previous repo  | er arthroplasty for the t  |   | ıff deficiency: A c    | concise follow             | r-up, at a minimum of ten years, of  |
| Section 2.   | The Work Under C   | onsideration for P  | ublication             |                            |  |
| any aspect of the statistical analysis,<br>Are there any re<br>If yes, please fill | submitted work (including<br>, etc.)?<br>levant conflicts of inter | g but not limited to granest? Yes ormation below. If yo   | nts, data monitorin    | g board, study             | commercial, private foundation, etc.) for design, manuscript preparation, press the "ADD" button to add a row. |
| Name of Institu  | tion/Company   | Grant? Personal Fees?                                     | Non-Financial Support? | Other? C                   | omments  |
| DJO Global   |  | <b>V</b>  |                        |                            |  |
|  |  |   |                        |                            |  |
| Section 3.   | Relevant financial   | activities outside  | the submitted          | work.                      |  |
| of compensation<br>clicking the "Add<br>Are there any re                           | n) with entities as descr  | ibed in the instruction port relationships that est?  Yes | ns. Use one line f     | or each entity             | relationships (regardless of amount<br>v; add as many lines as you need by<br>is months prior to publication.  |
| Name of Entity   |  | Grant? Personal Fees?                                     | Non-Financial Support? | Other? C                   | omments  |
| DJO Global   |  |   |                        | Dr.                        | Frankle receives royalties and   |



| Section 4. Intellectual I   |                     |                  |                |                 |  |        |
|---|---------------------|------------------|----------------|-----------------|--|--------|
| Do you have any patents, wheth If yes, please fill out the appropri Excess rows can be removed by   | iate information b  | ing or issued, k | proadly releva |                 | ✓ Yes  No<br>s the "ADD" button to add a   | a row. |
| Patent?   | Pending? Issue      | Licensed         | Royalties?     | Licensee?       | Comments   |        |
| 6790234   |                     |                  |                |                 | designer of reverse shoulder<br>arthroplasty system utilized<br>in current investigation |        |
| C. dieu E   |                     |                  |                |                 |  |        |
| Section 5. Relationship   | os not covered      | above            |                |                 |  |        |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? |                     |                  |                |                 |  |        |
| Yes, the following relationships/conditions/circumstances are present (explain below):  No other relationships/conditions/circumstances that present a potential conflict of interest     |                     |                  |                |                 |  |        |
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|   |                     |                  |                |                 |  |        |
| Section 6. Disclosure S   | tatement            |                  |                |                 |  |        |
| Based on the above disclosures, below.  | this form will auto | omatically gen   | erate a disclo | sure statement, | which will appear in the bo  | Κ      |
| Dr. Frankle reports grants and p<br>Global, outside the submitted v   |                     |                  | -              |                 | y; personal fees from DJO  |        |



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Pupello 1



| Section 1. Identifying Inform  | nation  |                         |  |
|--|---|-------------------------|--|
| 1. Given Name (First Name)<br>Derek  | 2. Surname (Last Name)<br>Pupello                           |                         | 3. Date<br>16-January-2017   |
| 4. Are you the corresponding author?   | ☐ Yes ✓ No  | Corresponding Autho     | or's Name  |
| <ol><li>Manuscript Title<br/>Reverse shoulder arthroplasty for the tr<br/>a previous report</li></ol>  | eatment of rotator cuff de                                  | ficiency: A concise fol | low-up, at a minimum of ten years, of  |
| 6. Manuscript Identifying Number (if you kr  | now it)   | _                       |  |
|  |   |                         |  |
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| Did you or your institution <b>at any time</b> rece<br>any aspect of the submitted work (including<br>statistical analysis, etc.)?<br>Are there any relevant conflicts of intere | but not limited to grants, da                               |                         | ent, commercial, private foundation, etc.) for udy design, manuscript preparation, |
| If yes, please fill out the appropriate info   | •   | e more than one enti    | ty press the "ADD" button to add a row.  |
| Name of Institution/Company  | Grant? Personal Noi   | n-Financial Other?      | Comments   |
| DJO Global   | <b>✓</b>  |                         |  |
|  |   |                         |  |
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| Place a check in the appropriate boxes i<br>of compensation) with entities as descri<br>clicking the "Add +" box. You should rep<br>Are there any relevant conflicts of interes  | ibed in the instructions. Us<br>port relationships that wer | se one line for each er | ntity; add as many lines as you need by  |
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| Intellectual Proper  | rty Patents & Copyric                                       | ghts                    |  |
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Pupello 2



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Santoni 1



| Section 1.  | Identifying Inform  | ation   |   |  |              |  |
|---|---|---|---|--|--------------|--|
| 1. Given Name (Fii<br>Brandon   | rst Name)   | 2. Surname (Last Name)<br>Santoni                   |   | 3. Date<br>19-April-2017   |              |  |
| 4. Are you the cor  | responding author?  | Yes ✓ No  | Corresponding Author's Name<br>Mark Frankle, MD |  |              |  |
| a previous repor  | r arthroplasty for the tre<br>t                                 |   | deficiency: A concise fo                        | ollow-up, at a minimum of t  | en years, of |  |
| JBJS-D-17-00175   | ntifying Number (if you kn<br>R1                                | low it)   |   |  |              |  |
| Section 2.  | The Work Under Co   | onsideration for Pub                                | lication  |  |              |  |
| any aspect of the s<br>statistical analysis,<br>Are there any rele<br>If yes, please fill c | ubmitted work (including<br>etc.)?<br>evant conflicts of intere | est? Yes No   | data monitoring board, s                        | nent, commercial, private foun<br>study design, manuscript prep<br>tity press the "ADD" button | aration,     |  |
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| Dr. Santoni reports grants from DJO Global, during the conduct of the study; .   |

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