

#### **Instructions**

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Simovitch 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Ryan	2. Surname (Last Name) Simovitch		3. Date 12-July-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Autl Joseph D. Zuckerr	
5. Manuscript Title Rate of Improvement in Clinical Outcom	es with Anatomic and Re	verse Total Shoulde	r Arthroplasty
6. Manuscript Identifying Number (if you kn	ow it)		
Section 2. The Work Under Co	onsideration for Public	cation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da st?  Yes  No rmation below. If you hav	ta monitoring board, s	nent, commercial, private foundation, etc.) for study design, manuscript preparation, tity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial upport	Comments
Exactech, Inc.			funding to support data collection activities
Section 3. Relevant financial a	activities outside the s	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as describle clicking the "Add +" box. You should repart there any relevant conflicts of interest.	oed in the instructions. Us ort relationships that wer	se one line for each o	entity; add as many lines as you need by
Section 4. Intellectual Proper	ty Patents & Copyric	ghts	
Do you have any patents, whether plann	ned, pending or issued, br	oadly relevant to the	e work? Yes V No

Simovitch 2



Section 5. Relationships not sovered above
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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
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Dr. Simovitch reports other from Exactech, Inc., during the conduct of the study.

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Cheung 1



Section 1.	Identifying Inform	nation				
1. Given Name (Fi Emilie	rst Name)	2. Surname (Last Name Cheung	<u>e</u> )		3. Date 12-July-2016	
4. Are you the cor	responding author?	esponding author? Yes Vo Corresponding Author's Name  Joseph D. Zuckerman, M.D.				
5. Manuscript Title Rate of Improver		mes with Anatomic and	Reverse Total S	ihoulder <i>F</i>	Arthroplasty	
6. Manuscript Ider	ntifying Number (if you kr	now it)				
Section 2.	The Work Under Co	onsideration for Pu	blication			
any aspect of the s statistical analysis, Are there any rela If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere out the appropriate info	g but not limited to grants est?  Yes  N ormation below. If you	s, data monitoring o	board, stu	ent, commercial, private foundation, udy design, manuscript preparation, ty press the "ADD" button to add	
Name of Institut	be removed by pressing		Non-Financial Support?	Other?	Comments	
Exactech, Inc.				- V	funding to support data collection activities	
Section 3.	Relevant financial	activities outside th	ne submitted v	work.		
of compensation clicking the "Add	) with entities as descri	ibed in the instructions port relationships that	. Use one line fo were <b>present d</b>	or each en	ial relationships (regardless of ar tity; add as many lines as you ne : <b>36 months prior to publicatio</b>	ed by
Section 4.	Intellectual Proper	rty Patents & Copy	yrights			
Do you have any	patents, whether plan	ned, pending or issued	l, broadly releva	nt to the	work? Yes 🗸 No	

Cheung 2



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Section 1. Identifying Inf	ormation		
Given Name (First Name)     Pierre-Henri	2. Surname (Last Name) Flurin		3. Date 12-July-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Joseph D. Zuckerma	
5. Manuscript Title Rate of Improvement in Clinical Ou	tcomes with Anatomic and Re	verse Total Shoulder /	Arthroplasty
6. Manuscript Identifying Number (if y	ou know it)		
Section 2. The Work Undo	er Consideration for Public	cation	
Did you or your institution <b>at any time</b> any aspect of the submitted work (inclustatistical analysis, etc.)?  Are there any relevant conflicts of in	uding but not limited to grants, da	. , ,	ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
•	e information below. If you hav	e more than one enti	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial Other?	Comments
xactech, Inc.			funding to support data collection activities
Section 3. Relevant finan	cial activities outside the s	submitted work.	
of compensation) with entities as d clicking the "Add +" box. You shoul	escribed in the instructions. Us d report relationships that wer	se one line for each en	rial relationships (regardless of amount ntity; add as many lines as you need by 26 months prior to publication.
Are there any relevant conflicts of in			
If yes, please fill out the appropriate	e information below.		
Name of Entity	Grant	n-Financial Other?	Comments
Exactech, Inc.			



Section 4.	Intellectual	Property	Patents	s & Copyri	ghts			
•	out the appropr	iate informa	tion belo	w. If you ha	•	ant to the work? n one entity pres	Yes No	to add a row.
Pater	nt?	Pending?	Issued?	Licensed	Royalties?	Licensee?	Comments	
9,233,033			<b>✓</b>					
8,870,962			✓					
Section 5.	Relationshi	ns not sow	orod abo	N/O				
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Are there other potentially influ					eive to have	influenced, or th	at give the appeara	nce of
Yes, the follo	owing relationsh	ips/conditio	ns/circum	nstances are	e present (ex	(plain below):		
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Section 6.	Disclosure S	tatement						
Based on the ab below.	ove disclosures,	this form wi	ll automa	itically gene	erate a disclo	osure statement,	which will appear in	the box
						personal fees fro patent 8,870,962	om Exactech, Inc., or issued.	utside the



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Friedman 1



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Name of Institut	be removed by pressing ion/Company	Grant? Personal	Non-Financial	Other?	Comments	
Exactech, Inc.		Fees?	Support		funding to support data collection	
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Section 2						
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of compensation clicking the "Add	) with entities as descri I +" box. You should rep	ibed in the instructior port relationships tha —	ns. Use one line for t were <b>present c</b>	or each en	ial relationships (regardless of antity; add as many lines as you need to man the sas you need to be a say to be a	ed by
Are there any rei	evant conflicts of intere	est? Yes ✓	NU			
Section 4.	Intellectual Proper	rty Patents & Cop	pyrights			
Do you have any	patents, whether plan			ant to the	work? Yes 🗸 No	

Friedman 2



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1. Given Name (First Name) Chris	2. Surname (Last Name) Roche		3. Date 12-July-2016				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Joseph D. Zuckerman, M.D.					
5. Manuscript Title Rate of Improvement in Clinical Outcome	mes with Anatomic and Re	verse Total Shoulder Arthro	oplasty				
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Did you or your institution <b>at any time</b> rece any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da						
If yes, please fill out the appropriate inf Excess rows can be removed by pressir	ormation below. If you hav	e more than one entity pre	ess the "ADD" button to add a row.				
Name of Institution/Company	Grant'	n-Financial other? Co	mments				
Exactech, Inc.		<b>✓</b> Empl	loyee of Exactech				
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Section 4. Intellectual						
Intellectual	Property Pater	nts & Copyrig	ghts			
Do you have any patents, wheth If yes, please fill out the appropr Excess rows can be removed by	riate information be	low. If you hav	•		Yes No s the "ADD" button to add	a row.
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✓ No other relationships/cond	ditions/circumstance	es that present	a potential	conflict of interes	st	
At the time of manuscript accep On occasion, journals may ask a						ments.
Section 6						
Section 6. Disclosure S	Statement					
Based on the above disclosures, below.	, this form will autor	natically genei	rate a disclo	sure statement, v	which will appear in the bo	x
Mr. Roche reports other from Exsubmitted work; In addition, D		•	•			
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#### **Instructions**

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## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform				
Identifying Inform	nation			
Given Name (First Name)     Thomas	2. Surname (Last Name) Wright		3. Date 12-July-2016	_
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Joseph D. Zuckerm		
5. Manuscript Title Rate of Improvement in Clinical Outcon	nes with Anatomic and Re	verse Total Shoulder	Arthroplasty	
6. Manuscript Identifying Number (if you kn	now it)			
Section 2. The Work Under Co	onsideration for Public	cation		
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Section 1											
Section 1. Identifying Information											
1. Given Name (First Name) Joseph	2. Surname (Last Nam Zuckerman	e)	3. Date 12-July-2016								
4. Are you the corresponding	author? ✓ Yes No	✓ Yes No									
5. Manuscript Title Rate of Improvement in Cli	nical Outcomes with Anatomic and	d Reverse Total Shoulder <i>I</i>	Arthroplasty								
6. Manuscript Identifying Nun	nber (if you know it)										
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