

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	nation		
Given Name (First Name) Burt	2. Surname (Last Name) Yaszay		3. Date 03-August-2017
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Firoz Miyanji, MD	or's Name
5. Manuscript Title Assessing the risk/benefit ratio of scoli	osis surgery in Cerebral Pa	lsy: Is Surgery worth it	:?
6. Manuscript Identifying Number (if you k	now it)		
		_	
Section 2. The Work Under C	Consideration for Publi	cation	
Did you or your institution at any time rece any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
If yes, please fill out the appropriate inf Excess rows can be removed by pressir		ve more than one enti	ity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial Other?	Comments
Setting Scoliosis Straight Foundation			Grants from DePuy Synthes Spine and K2M to Setting Scoliosis Straight Foundation in support of Harms Study Group research
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as describing the "Add +" box. You should re	ribed in the instructions. U	se one line for each er	
Are there any relevant conflicts of inter			
If yes, please fill out the appropriate inf	formation below.		
Name of Entity	Grant'	n-Financial Support?	Comments
(2M	✓		
DePuy Synthes Spine			



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Nuvasive		✓				
Medtronic		✓				
Orthopediatrics		✓				
Stryker		✓				
Globus		\checkmark				
Setting Scoliosis Straight Foundation	✓					
Do you have any patents, whether plann If yes, please fill out the appropriate info Excess rows can be removed by pressing Patent? Pendin K2M	rmation b the "X" b	pelow. If you putton.	•		ty press the "ADD" button to add a row.	
Section 5. Relationships not o	overed	above				
Are there other relationships or activities potentially influencing, what you wrote i				influenced	d, or that give the appearance of	
Yes, the following relationships/conditions/circumstances are present (explain below):						
No other relationships/conditions/cir	cumstan	ces that pre	esent a potential o	conflict of	finterest	
At the time of manuscript acceptance, jo On occasion, journals may ask authors to						

Section 6.

Disclosure Statement

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Dr. Yaszay reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; grants and personal fees from K2M, grants and personal fees from DePuy Synthes Spine, personal fees from Nuvasive, personal fees from Medtronic, personal fees from Orthopediatrics, personal fees from Stryker, personal fees from Globus, grants from Setting Scoliosis Straight Foundation, outside the submitted work; In addition, Dr. Yaszay has a patent K2M with royalties paid.

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Section 1. Identifying Inform					
Identifying Inform	ation				
Given Name (First Name) Peter	2. Surnam Newton	e (Last Nan	ne)		3. Date 03-August-2017
4. Are you the corresponding author?	Yes	√ No	Correspond Firoz Miya	_	or's Name
5. Manuscript Title Assessing the risk/benefit ratio of scolio	sis surgery	in Cerebra	nl Palsy: Is Surger	y worth it	:?
6. Manuscript Identifying Number (if you kno	ow it)				
Section 2. The Work Under Co	nsiderati	on for P	ublication		
Did you or your institution at any time receivany aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limi	ted to gran			
•					the reverse that "ADD" be ettern to add a value
If yes, please fill out the appropriate info Excess rows can be removed by pressing			ı nave more tnar	i one enti	ity press the ADD button to add a row.
Name of Institution/Company		Personal Fees	Non-Financial Support?	Other?	Comments
Setting Scoliosis Straight Foundation	✓				Grants from DePuy Synthes Spine and K2M to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
Section 3. Relevant financial a	activities	outside t	he submitted	work.	
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should rep	oed in the i ort relatior	nstructior nships that	ns. Use one line fo t were present d	or each er	ntity; add as many lines as you need by
Are there any relevant conflicts of intere			Vo		
If yes, please fill out the appropriate info	rmation be	low.			
Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
Setting Scoliosis Straight Foundation	✓			√	Board membership (no compensation)



Name of Entity	Grant	? Personal Fees?	Non-Financial Support?	Other?	Comi	ments	
Rady Children's Specialists				✓	Board n	nembership (no nsation)	
DePuy Synthes Spine	✓	✓			royaltie	ant, speakers bureau, s, payment for development ational programs	
Law firm of Carroll, Kelly, Trotter, Franze McKenna	n &	✓			Expert t	estimony	
Law firm of Smith, Haughey, Rice & Roeg	gge	✓			Expert t	estimony	
NIH	✓						
OREF	✓						
SRS	✓			✓	Board n	nembership	
EOS imaging	√						
Thieme Publishing		\checkmark			Royaltie	25	
NuVasive				√	Stocks/	options (past)	
Ethicon Endosurgery		\checkmark			Consult	ancy	
Electrocore				√	Stocks/	options	
Cubist		✓			Consult	ancy	
International Orthopedic Think Tank				✓	Board n	nembership (no nsation)	
Orthopediatrics Institutional Support				✓	Researc	h Support	
K2M		√			Consult	ancy, Royalties	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.							
Patent?	Pending ? Iss	ued? Licens	sed?Royalties?	License	e?	Comments	
Anchoring systems and methods for correcting spinal deformities (8540754)				DePuy Syn Spine	ithes		
Low profile spinal tethering systems (8123749)		✓		DePuy Spi	ne, Inc.		



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Screw placement guide (7981117)		✓			DePuy Spine, Inc.		
Compressor for use in minimally invasive surgery (7189244)		√			DePuy Spine, Inc.		

Section 5.	Relationships not covered above
	melationsings not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

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Dr. Newton reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; grants and other from Setting Scoliosis Straight Foundation, other from Rady Children's Specialists, grants and personal fees from DePuy Synthes Spine, personal fees from Law firm of Carroll, Kelly, Trotter, Franzen & McKenna, personal fees from Law firm of Smith, Haughey, Rice & Roegge, grants from NIH, grants from OREF, grants and other from SRS, grants from EOS imaging, personal fees from Thieme Publishing, other from NuVasive, personal fees from Ethicon Endosurgery, other from Electrocore, personal fees from Cubist, other from International Orthopedic Think Tank, other from Orthopediatrics Institutional Support, personal fees from K2M, outside the submitted work; In addition, Dr. Newton has a patent Anchoring systems and methods for correcting spinal deformities (8540754) with royalties paid to DePuy Synthes Spine, a patent Low profile spinal tethering systems (8123749) issued to DePuy Spine, Inc., a patent Screw placement guide (7981117) issued to DePuy Spine, Inc., and a patent Compressor for use in minimally invasive surgery (7189244) issued to DePuy Spine, Inc..

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Section 1. Identifying Infor	mation		
1. Given Name (First Name) Baron	2. Surname (Last Name) Lonner		3. Date 31-July-2017
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Auth Firoz Miyanji	nor's Name
5. Manuscript Title Assessing the risk/benefit ratio of scol	iosis surgery in Cerebral Pal	sy: Is Surgery worth i	it?
6. Manuscript Identifying Number (if you	know it)		
		_	
Section 2. The Work Under (Consideration for Public	cation	
any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da rest? Yes No formation below. If you have	ata monitoring board, s	nent, commercial, private foundation, etc.) for tudy design, manuscript preparation, tity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial support?	Comments
Setting Scoliosis Straight Foundation	✓		Grant from DePuy Synthes to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.
Section 3. Relevant financia	l activities outside the s	submitted work.	
	cribed in the instructions. Us	se one line for each e	ncial relationships (regardless of amount entity; add as many lines as you need by the 36 months prior to publication .
Are there any relevant conflicts of inte			
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Setting Scoliosis Straight Foundation	✓				Grant from DePuy Synthes to Setting Scoliosis Straight Foundation in support of the Harms Study Group's research.	
DePuy Synthes Spine		✓			Consultant; speaker's bureau; royalties; Scientific Advisory Board (no compensation)	
K2M		✓			Speaker's Bureau	
Paradigm Spine		✓			Private Investment	
Spine Search		✓			Private Investment; Board of Directors (no compensation)	
Ethicon		✓			Consultant	
Spine Deformity Journal			✓		Publications/Editorial Board	
John and Marcella Fox Fund Grant	✓					
OREF	√					
Zimmer Biomet		✓			Consultant; royalties	
Apifix		\checkmark			Consultant	
Section 4. Intellectual Property Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No Section 5. Poletienships not severed above						
Relationships not C						
Are there other relationships or activities potentially influencing, what you wrote i				nfluence	d, or that give the appearance of	
Yes, the following relationships/conc	litions/cir	cumstance	s are present (exp	olain belo	w):	
No other relationships/conditions/cir	rcumstan	ces that pre	esent a potential o	conflict o	finterest	
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Dr. Lonner reports grants from Setting Scoliosis Straight Foundation, during the conduct of the study; grants from Setting Scoliosis Straight Foundation, personal fees from DePuy Synthes Spine, personal fees from K2M, personal fees from Paradigm Spine, personal fees from Spine Search, personal fees from Ethicon, non-financial support from Spine Deformity Journal, grants from John and Marcella Fox Fund Grant, grants from OREF, personal fees from Zimmer Biomet, personal fees from Apifix, outside the submitted work;

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Miyanji 1



Section 1.	Identifying Inform	ation						
1. Given Name (Fii Firoz	rst Name)	2. Surname (Last Na Miyanji	me)		3. Date 27-April-2017			
4. Are you the cor	responding author?	✓ Yes No						
5. Manuscript Title Assessing the ris	e k/benefit ratio of surge	ry in CP: is surgery w	orth it?					
6. Manuscript lder	ntifying Number (if you kn	ow it)						
Section 2								
Section 2.	The Work Under Co	onsideration for P	ublication					
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to gra			commercial, private foundation, etc.) design, manuscript preparation,	for		
	out the appropriate info be removed by pressing	-	u have more tha	n one entity p	ress the "ADD" button to add a ro	ow.		
Name of Institut	ion/Company	Grant? Personal Fees?	Non-Financial Support?	Other? Co	omments			
DePuy Synthes Spine		V		Spir	earch grant from DePuy Synthes ne to SSSF in support of Harms dy Group research			
Section 3.	Relevant financial	activities outside	the submitted	work.				
of compensation clicking the "Add	the appropriate boxes i) with entities as descri	n the table to indica bed in the instructio port relationships tha	te whether you h ns. Use one line f	ave financial roor each entity	relationships (regardless of amour r; add as many lines as you need b r months prior to publication .			
Section 4.	Intellectual Proper	ty Patents & Co	pyrights					
Do you have any	patents, whether plani	ned, pending or issu	ed, broadly releva	ant to the wor	k? ☐ Yes ✓ No			

Miyanji 2



Section 5. Polationships not sovered above
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Royalties: Funds are coming in to you or your institution due to your patent

Nasto 1



Section 1. Identifying Info	ormation					
1. Given Name (First Name) Luigi Aurelio	2. Surname (Last Name) Nasto	3. Date 31-July-2017				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Firoz Miyanji				
5. Manuscript Title Assessing the risk/benefit ratio of sc	oliosis surgery in cerebral pal	sy: is surgery worth it?				
6. Manuscript Identifying Number (if yo	u know it)					
Section 2. The Work Unde	r Consideration for Public	cation				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Continue 2						
Section 3. Relevant finance	ial activities outside the s	submitted work.				
of compensation) with entities as de	scribed in the instructions. Us I report relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.				
Section 4. Intellectual Pro	perty Patents & Copyri	ghts				
Do you have any patents, whether p	lanned, pending or issued, br	roadly relevant to the work? Yes V No				

Nasto 2



Section 5. Relationships not solvered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Nasto has nothing to disclose.

Evaluation and Feedback

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Nasto 3



Instructions

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Royalties: Funds are coming in to you or your institution due to your patent

Sponseller 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Paul	2. Surname (Last Nan Sponseller	ne)	3. Date 02-August-2017
4. Are you the corresponding author?	Yes ✓ No	Corresponding Auth Firoz Miyanji	nor's Name
5. Manuscript Title Assessing the risk/benefit ratio of scolios	sis surgery in Cerebra	al Palsy: Is Surgery worth	it?
6. Manuscript Identifying Number (if you kno	ow it)		
Section 2. The Work Under Co	onsideration for Pr	ublication	
	ve payment or services	from a third party (governm	nent, commercial, private foundation, etc.) for tudy design, manuscript preparation,
Are there any relevant conflicts of interes	st? ✓ Yes I	No	
•			tity press the "ADD" button to add a row.
Excess rows can be removed by pressing	the "X" button.		
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support? Other	Comments
setting scoliosis straight foundation	✓		
Section 3. Relevant financial a	activities outside t	the submitted work.	
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should rep	bed in the instructior	ns. Use one line for each e	entity; add as many lines as you need by
Are there any relevant conflicts of interest	st? ✓ Yes ☐ I	No	
If yes, please fill out the appropriate info	rmation below.		
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Comments
depuy synthes spine			royalties
iournal of bone and joint surgery			deputy editor
globus			royalties

Sponseller 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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Dr. Sponseller reports grants from setting scoliosis straight foundation, during the conduct of the study; personal fees from depuy synthes spine, personal fees from journal of bone and joint surgery, personal fees from globus, outside the submitted work; .

Evaluation and Feedback

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Narayanan 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Unni	rst Name)	2. Surname (Last Name) Narayanan	3. Date 07-August-2017
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Firoz Miyanji
5. Manuscript Title Assessing the ris		osis surgery in Cerebral Pal	sy: Is surgery worth it?
6. Manuscript Ider	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
	I		
Section 3.	Relevant financial	activities outside the s	ubmitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by the present during the 36 months prior to publication.
and another drift feet			
Section 4.	Intellectual Proper	rty Patents & Copyric	ghts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Narayanan 2



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Clements 1



Section 1. Identifying Infor	mation					
1. Given Name (First Name) Dave	2. Surname (Last Name Clements	<u>e</u>)	3. Date 31-July-2017			
4. Are you the corresponding author?	☐ Yes ✓ No	-	Corresponding Author's Name Firoz Miyanji			
5. Manuscript Title Assessing the risk/benefit ratio of scol	liosis surgery in Cerebral	Palsy: Is Surgery v	worth it?			
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any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interesting the state of	ng but not limited to grants erest? Yes Notes offormation below. If you	s, data monitoring b	overnment, commercial, private foundation, etc.) fooard, study design, manuscript preparation, one entity press the "ADD" button to add a rov			
Name of Institution/Company		Non-Financial Support?	Other? Comments			
epuy synthes	V		Grant from DePuy Synthes to Setting Scoliosis Straight Foundation in support of the Harms study Group's research.			
Section 3. Relevant financia	al activities outside th	e submitted w	ork.			
of compensation) with entities as desc	cribed in the instructions	. Use one line for	e financial relationships (regardless of amoun each entity; add as many lines as you need by ring the 36 months prior to publication.			
Are there any relevant conflicts of inte		0				
If yes, please fill out the appropriate in	formation below.					
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other? Comments			
epuy synthes	✓		speakers bureau			
uvasive			✓ speakers bureau			

Clements 2



Section 4. Intellectual Property Patents & Copyrights	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No	
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Dr. Clements reports grants from depuy synthes, during the conduct of the study; grants and other from depuy synthes, other from nuvasive, outside the submitted work;.	

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Shah 1



Section 1. Identifying Inform	mation		
1. Given Name (First Name)	2. Surname (Last Name)		3. Date
Suken4. Are you the corresponding author?	Shah ☐ Yes ✓ No	Corresponding Autho	31-July-2017 or's Name
5. Manuscript Title		Firoz Miyanji	
Assessing the risk/benefit ratio of scoli 6. Manuscript Identifying Number (if you k		sy: Is Surgery worth it	?
		_	
Section 2. The Work Under C	Consideration for Public	cation	
Did you or your institution at any time reco any aspect of the submitted work (includin statistical analysis, etc.)?			ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Are there any relevant conflicts of inter			trueros the "ADD" button to odd a your
Excess rows can be removed by pressir		e more than one enti-	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial upport?	Comments
Setting Scoliosis Straight Foundation	✓		
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as desc clicking the "Add +" box. You should re Are there any relevant conflicts of interference of the second sec	in the table to indicate wher in the instructions. Use port relationships that we rest?	ether you have financ se one line for each en	ntity; add as many lines as you need by
Name of Entity	Grant	n-Financial Other?	Comments
DePuy Synthes Spine, Inc			
NuVasive			
K2M	✓		

Shah 2



Soutien A
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Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

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identifying inform	ation						
1. Given Name (First Name) Amer	2. Surnai Samdan	me (Last Nan i	ne)		3. Date 31-July-2017		
4. Are you the corresponding author?	Yes	✓ No	-	Corresponding Author's Name Firoz Miyanji			
5. Manuscript Title Assessing the Risk/Benefit Ratio of Scoliosis Surgery in Cerebral Palsy: Is Surgery Worth It?							
6. Manuscript Identifying Number (if you kn	ow it)						
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Setting Scoliosis Straight Foundation	V			√	Research grants from DePuy Synthes Spine and K2M received by SSSF in support of Harms Study Group research; Board of directors (no compensation)		
	1				,		
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.					
Dr. Samdani reports grants and other from Setting Scoliosis Straight Foundation, during the conduct of the study; personal fees from DePuy Synthes Spine, personal fees from Ethicon, personal fees from Globus Medical, personal fees from Misonix, personal fees from Stryker, personal fees from Zimmer Biomet, other from Scoliosis Research Society, other from Children's Spine Study Group, outside the submitted work;					



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