

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Section 1.	Identifying Inform	mation	
1. Given Name (Fin Kenneth	rst Name)	2. Surname (Last Name) Cheung	3. Date 09-March-2017
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Lawrence G. Lenke
•		J 1	al Deformity Surgery: 2 Year Follow-up of the Scoli-RISK-1
6. Manuscript Ider N/A	ntifying Number (if you k	know it)	

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest?		Yes	\checkmark	
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work? res \mathbf{v} no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Cheung has nothing to disclose.

Evaluation and Feedback



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Section 1.	Identifying Inform	nation				
1. Given Name (Fir Benny	rst Name)	2. Surname (Last Name) Dahl	3. Date 23-March-2017			
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Lawrence G. Lenke			
5. Manuscript Title Lower Extremity Motor Function Following Complex Adult Spinal Deformity Surgery: 2 Year Follow-up of the Scoli-RISK-1 Prospective, Multicenter, International Study						
6. Manuscript Ider N/A	ntifying Number (if you kr	now it)				

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✓ No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Globus Medical	\checkmark				Paid to institution	
Medtronic	\checkmark				Paid to institution	
К2М	\checkmark				Paid to institution	

Section 4.

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

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Section 6. Disclosure Statement

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Dr. Dahl reports grants from Globus Medical, grants from Medtronic, grants from K2M, outside the submitted work.

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1. Given Name (Fin Michael	rst Name)	2. Surname (Last Nar Fehlings	ne) 3. Date 28-February-2017			
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5. Manuscript Title Lower Extremity Motor Function Following Complex Adult Spinal Deformity Surgery: 2 Year Follow-up of the Scoli-RISK-1 Prospective, Multicenter, International Study						
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✓ No

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Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Pfizer				\checkmark	Consultancy	
Zimmer Biomet				\checkmark	Consultancy	
InVivo Therapeutics				\checkmark	Consultancy	

-			
5	ec	h	4
9	EL		-

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



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Dr. Fehlings reports other from Pfizer, other from Zimmer Biomet, other from InVivo Therapeutics, outside the submitted work.

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1. Given Name (Fii Lawrence	rst Name)	2. Surname (Last Name) Lenke	3. Date 28-February-2017					
4. Are you the corresponding author?		✓ Yes No						

5. Manuscript Title

Lower Extremity Motor Function Following Complex Adult Spinal Deformity Surgery: 2 Year Follow-up of the Scoli-RISK-1 Prospective, Multicenter, International Study

6. Manuscript Identifying Number (if you know it)

n/a

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Are there any relevant conflicts of interest? 🖌 Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Medtronic		\checkmark			1) paid consultant - monies donated to a charitable foundation; 2) Royalties	
DePuy-Synthes Spine	\checkmark	\checkmark			1) paid consultant - monies donated to a charitable foundation; 2) grant support - monies to institution	
K2M		\checkmark			1) paid consultant - monies donated to a charitable foundation	
Broadwater			\checkmark		1) reimbursement for airfare/hotel	
Seattle Science Foundation			\checkmark		1) reimbursement for airfare/hotel	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Scoliosis Research Society	✓		\checkmark		1) reimbursement for airfare/hotel; 2) grant support - monies to institution
Stryker Spine			\checkmark		1) reimbursement for airfare/hotel
The Spinal Research Foundation			\checkmark		1) reimbursement for airfare/hotel
EOS	\checkmark				1) Grant support - monies to institution
Setting Scoliosis Straight Foundation	\checkmark				1) Grant support - monies to institution
Fox Rothschild, LLC		\checkmark			1) expert witness in a Patent Infringement case
Quality Medical Publishing		\checkmark			1) Royalties
Evans Family Donation				\checkmark	1) philanthropic research funding from grateful patient/family
Fox Family Foundation				\checkmark	1) philanthropic research funding from grateful patient
AOSpine	✓		\checkmark		 reimbursement for airfare/hotel; grant support - monies to institution; fellowship support to institution

Section 4. Intellectual Property -- Patents & Copyrights

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Dr. Lenke reports personal fees from Medtronic, grants and personal fees from DePuy-Synthes Spine, personal fees from K2M, non-financial support from Broadwater, non-financial support from Seattle Science Foundation, grants and non-financial support from Scoliosis Research Society, non-financial support from Stryker Spine, non-financial support from The Spinal Research Foundation, grants from EOS, grants from Setting Scoliosis Straight Foundation, personal fees from Fox Rothschild, LLC, personal fees from Quality Medical Publishing, other from Evans Family Donation, other from Fox Family Foundation, grants and non-financial support from AOSpine, outside the submitted work.

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1. Given Name (Fir Christopher	rst Name)	2. Surname (Last Name) Shaffrey	3. Date 28-February-2017
4. Are you the corr	responding author?	Yes 🖌 No	Corresponding Author's Name Lawrence G. Lenke
,		J	al Deformity Surgery: 2 Year Follow-up of the Scoli-RISK-1
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Are there any relevant conflicts of interest? \checkmark Yes \square No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support <mark>?</mark>	Other?	Comments	
AO International	\checkmark				Institutional financial support for data collection	

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Medtronic		\checkmark			Consulting	
Depuy-Synthes	\checkmark				To ISSG Foundation. To University of Virginia for study support	
Nuvasive		\checkmark			Consulting	



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments
К2М		\checkmark			Consulting
Styker					Consulting

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Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Medtronic		\checkmark		\checkmark			
Nuvasive	\checkmark			\checkmark			
Zimmer-Biomet		\checkmark		\checkmark			

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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Shaffrey reports grants from AO International, during the conduct of the study; personal fees from Medtronic, grants from Depuy-Synthes, personal fees from Nuvasive, personal fees from K2M, from Styker, outside the submitted work; In addition, Dr. Shaffrey has a patent Medtronic with royalties paid, a patent Nuvasive with royalties paid, and a patent Zimmer-Biomet with royalties paid.

Evaluation and Feedback



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. Identifying Inform	mation				
1. Given Name (First Name) Leah	2. Surname (Last Name) Carreon		3. Date 21-August-2017		
4. Are you the corresponding author? $ ightharpoondows$ Yes \checkmark No		Corresponding Author's Name Dr. Lawrence Lenke			
5. Manuscript Title Lower Extremity Motor Function Follo	wing Complex Adult Spin	al Deformity Surgery: 2 Year			
6. Manuscript Identifying Number (if you k	xnow it)				
Section 2. The Work Under O	Consideration for Publ	lication			
Did you or your institution at any time rec any aspect of the submitted work (includin statistical analysis, etc.)?	g but not limited to grants, o		•		
Are there any relevant conflicts of inter					
If yes, please fill out the appropriate in Excess rows can be removed by pressi	•	ave more than one entity pre	ess the "ADD" button to add a row.		

Name of Institution/Company	Grant?	Personal Fees	Non-Financial Support	Other?	Comments	
Scoliosis Research Society	\checkmark					
Norton Healthcare	\checkmark					
AO Spine	\checkmark					

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support <mark>?</mark>	Other?	Comments	
Spine				\checkmark	Editorial Advisory Board	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
The Spine Journal				\checkmark	Editorial Advisory Board	
University of Louisville Institutional Review Board		\checkmark			Institutional Review Board Member; Annual required Continuing Education for Institutional Review Board Members	
Scoliosis Research Society Research Commiteee				\checkmark	Member	
AO Spine		\checkmark			Data Review	
Washington University		\checkmark			Data analysis 05/2015	
Norton Healthcare		\checkmark			Clinical Research Director	
Norton Healthcare James R. Petersdorf Fund	\checkmark				Research Funding for Novel Method of Measuring Cobb Angles in Adolescent Idiopathic Scoliosis without Radiographs 2015	
Center for Spine Surgery and Research, Region of Southern Denmark		\checkmark			Travel and accommodations for Study Planning Meetings 10/2013, 05/2014 and 09/2014, 12/2014, 05/2015, 08/2015, 04/2016, 08/2016	
Nuvasive				\checkmark	Nuvasive provides funds directly to database company. No funds are paid directly to Individual or Individual's Institution 06/2012-04/2015	

Section 4. Intellectual Property -- Patents & Copyrights

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Do	ou have any patents	: whathar nlannad	nonding or issue	d broadly relevant	to the work?	Vac	1	i No
00	ou have any patents	, whether plainted,	, penuing or issue	a, broadly relevant		103	V	110

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6.

Disclosure Statement

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Dr. Carreon reports grants from Scoliosis Research Society, grants from Norton Healthcare, grants from AO Spine, during the conduct of the study; other from Spine, other from The Spine Journal, personal fees from University of Louisville Institutional Review Board, other from Scoliosis Research Society Research Commiteee, personal fees from AO Spine, personal fees from Washington University, personal fees from Norton Healthcare, grants from Norton Healthcare James R. Petersdorf Fund, personal fees from Center for Spine Surgery and Research, Region of Southern Denmark, other from Nuvasive, outside the submitted work.

Evaluation and Feedback