

#### **Instructions**

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Bernthal 1



Section 1. Identifying	g Information			
Given Name (First Name)     Nicholas	2. Surname (Last Name) Bernthal		3. Date 09-October-2017	
4. Are you the corresponding aut	hor? Yes 🗸 No	Corresponding Authornal Rafael A. Buerba	or's Name	
5. Manuscript Title Academic Influence and its Re	lationship to Industry Payments in C	orthopaedic Surgery		
6. Manuscript Identifying Number	r (if you know it)			
Section 2. The Work	Jnder Consideration for Public	ation		
	(including but not limited to grants, dat		ent, commercial, private foundation, etc. udy design, manuscript preparation,	) for
Section 3. Relevant fi	nancial activities outside the s	ubmitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest?  Yes  No  If yes, please fill out the appropriate information below.				
Name of Entity	Grant? Personal Non	-Financial Other?	Comments	
National Institutes of Health				
Onkos Surgical				
Bone Support				
Daiichi Sankyo				

Bernthal 2



Section 4. Intellectual Property Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume				
Section 5. Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the following relationships/conditions/circumstances are present (explain below):				
No other relationships/conditions/circumstances that present a potential conflict of interest				
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Section 6. Disclosure Statement				
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Dr. Bernthal reports personal fees from Onkos Surgical , personal fees from Bone Support, personal fees from Daiichi Sankyo, outside the submitted work; .				

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Bernthal 3



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Buerba 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Rafael	rst Name)	2. Surname (Last Name) Buerba		3. Date 03-July-2017
4. Are you the cor	4. Are you the corresponding author?  ✓ Yes  No			
5. Manuscript Title Academic Influe		o to Industry Payments in C	rthopaedic Surgery	
6. Manuscript Ider	ntifying Number (if you kr	now it)		
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Do you have any	patents, whether plan	ned, pending or issued, bro	adly relevant to the work	? ☐ Yes ✓ No

Buerba 2



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Leong 1



Section 1.	Identifying Inform	ation		
1. Given Name (First Natalie	t Name)	2. Surname (Last Name) Leong	3. Date 04-July-2017	
4. Are you the corre	sponding author?	Yes ✓ No	Corresponding Author's Name Rafael A. Buerba	
5. Manuscript Title Academic Influenc	ce and Its Relationship	to Industry Payments in	Orthopaedic Surgery	
6. Manuscript Identi	ifying Number (if you kn	ow it)		
			_	
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Section 4.	ntellectual Proper	ty Patents & Copyri	ights	
			proadly relevant to the work? Yes V No	

Leong 2



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Dr. Leong has nothing to disclose.

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SooHoo 1



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1. Given Name (Fi Nelson	rst Name)	2. Surname (Last Name) SooHoo	3. Date 04-July-2017	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Rafael A. Buerba	
5. Manuscript Title Academic Influe		o to Industry Payments in	Orthopaedic Surgery	
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SooHoo 2



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Herndon 1



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1. Given Name (First Name) Karen	2. Surname (Last Name) Herndon	3. Date 04-July-2017		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Rafael A. Buerba		
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Herndon 2



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Karen Herndon I	has nothing to disclose.

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Patel 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Ankur	rst Name)	2. Surname (Last Name) Patel	3. Date 05-July-2017	
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Rafael A. Buerba	
5. Manuscript Title Academic Influe		p to Industry Payments in (	Orthopaedic Surgery	
6. Manuscript Ide	ntifying Number (if you kr	now it)		
Section 2.	The Work Under C	onsideration for Public	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No				
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo				
Section 4.	Intellectual Prope	rty Patents & Copyri <u>c</u>	yhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

Patel 2



Section 5.	
	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	
Section 6.	Disclosure Statement
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Patel has not	thing to disclose.

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Patel 3



#### **Instructions**

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## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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## 4. Intellectual Property.

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#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

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Sheppard 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi William	rst Name)	2. Surname (Last Name) Sheppard	3. Date 05-July-2017	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Rafael A. Buerba	
5. Manuscript Title Academic Influe		o to Industry Payments in (	Orthopaedic Surgery	
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Sheppard 2



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Section 6. Disclosure Statement				
Disclosure Statement				
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William Sheppard has nothing to disclose.				

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Gajewski 1



Section 1.	Identifying Information			
1. Given Name (First Name) Nicholas		2. Surname (Last Name) Gajewski	3. Date 06-July-2017	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Rafael A. Buerba	
5. Manuscript Title Academic Influence and Its Relationship		o to Industry Payments in (	Orthopaedic Surgery	
6. Manuscript Identifying Number (if you know it)				
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Section 4.	Intellectual Prope	rty Patents & Copyric	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Gajewski 2



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Dr. Gajewski has nothing to disclose.				

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