

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

# Identifying information.

# 2. The work under consideration for publication.

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### 3. Relevant financial activities outside the submitted work.

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# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

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#### Definitions.

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Other: Anything not covered under the previous three boxes

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**Royalties:** Funds are coming in to you or your institution due to your patent

Abboud 1



Section 1. Identifying Info							
Identifying Info	rmation						
<ol> <li>Given Name (First Name)</li> <li>Joseph</li> </ol>	<ol><li>Surname (Last Name)</li><li>Abboud</li></ol>		3. Date 11-November-2017				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author Surena Namdari, MD					
5. Manuscript Title Randomized controlled trial of interscalene block with and without intraoperative local infiltration with liposomal bupivacaine in shoulder arthroplasty							
6. Manuscript Identifying Number (if you	KIIUW IL)						
Section 2. The Work Under	Consideration for Pub	lication					
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No							
Section 3. Relevant financial activities outside the submitted work.							
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest?    Yes    No  If yes, please fill out the appropriate information below.							
Name of Entity	Grant? Personal N	Ion-Financial Other?	Comments				
DJO			Design and Royalties for Intellectual Property				
Integra Life Sciences			Design and Consulting				
Tornier			Consulting				
DePuy Synthes			consulting				
Lippincott, Williams & Wilkins			Royalties for intellectual property				

Abboud 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5.  Relationships not covered above
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Abboud reports other from DJO, other from Integra Life Sciences, other from Tornier, other from DePuy Synthes, other from Lippincott, Williams & Wilkins, outside the submitted work;.

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Abboud 3



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Namdari

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1

administrative support, etc.



Section 1. Identifying Info	rmation							
1. Given Name (First Name) Surena	2. Surname (Last Name Namdari	e)		3. Date 11-November-2017				
4. Are you the corresponding author?    Yes    No								
5. Manuscript Title Randomized controlled trial of interscalene block with and without intraoperative local infiltration with liposomal bupivacaine in shoulder arthroplasty								
6. Manuscript Identifying Number (if you	A KITOW IL)							
Section 2. The Work Under								
The work Under	Consideration for Pu							
any aspect of the submitted work (includ statistical analysis, etc.)?				ent, commercial, private foundation, etc.) fudy design, manuscript preparation,				
Are there any relevant conflicts of int	erest? Yes V	0						
Section 3. Relevant financial activities outside the submitted work.								
Place a check in the appropriate box	es in the table to indicate	whether you hav	e financ	ial relationships (regardless of amoun				
of compensation) with entities as de- clicking the "Add +" box. You should				atity; add as many lines as you need by				
Are there any relevant conflicts of int		-	9	. So mondis prior to publication:				
If yes, please fill out the appropriate i	information below.							
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments				
Miami Device Solutions	rees	Support	<b>✓</b>	consulting & design				
OIO				consulting, design & royalties for				
Bulletproof Bone Designs				intellectual properties consulting				
Flsevier				consulting & authorship				

Namdari 2



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Dr. Namdari reports other from Miami Device Solutions , other from DJO, other from Bulletproof Bone Designs , other from Elsevier, outside the submitted work; .

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Nicholson 1



Section 1.	Identifying Inform	ation					
1. Given Name (Fi Thema	rst Name)	2. Surname (Last Name) Nicholson	3. Date 11-November-2017				
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Surena Namdari, MD				
5. Manuscript Title Randomized controlled trial of interscalene block with and without intraoperative local infiltration with liposomal bupivacaine in shoulder arthroplasty							
•	ntifying Number (if you kr	ow it)					
			-				
Section 2.	The Work Under Co	onsideration for Public	ation				
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, c ta monitoring board, study design, manuscript preparation,	etc.) for			
Section 3.	Dalamar Caracial	and the annual dealers	alander dan de				
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Section 4.	Intellectual Proper	ty Patents & Copyrig	ıhts				
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No				

Nicholson 2



Section 5.							
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Ms. Nicholson h	as nothing to disclose.						

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Steinberg 1



Section 1.	Identifying Inform	nation					
1. Given Name (Fi Dean	rst Name)	2. Surname (Last Name) Steinberg	3. Date 11-November-2017				
4. Are you the cor	Are you the corresponding author?		Corresponding Author's Name Surena Namdari, MD				
		lene block with and withou	ut intraoperative local infiltration with liposomal				
6. Manuscript Ide	ntifying Number (if you kr	now it)					
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And there arry let	evant connects of intere						
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		., ., .,					
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No				

Steinberg 2



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Dr. Steinberg has nothing to disclose.

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Lazarus 1



Section 1. Identifying Inforn	nation	
1. Given Name (First Name) Mark	2. Surname (Last Name) Lazarus	3. Date 11-November-2017
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Surena Namdari, MD
5. Manuscript Title Randomized controlled trial of intersca bupivacaine in shoulder arthroplasty	lene block with and witho	ut intraoperative local infiltration with liposomal
6. Manuscript Identifying Number (if you k	now it)	_
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Did you or your institution <b>at any time</b> rece any aspect of the submitted work (including	eive payment or services from	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
statistical analysis, etc.)? Are there any relevant conflicts of inter	est?	
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Name of Entity	Grant? Personal Nor	n-Financial other? Comments
Fornier		Consulting
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Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? 🗸 Yes 🗌 No
If yes, please fill out the appropriate inf Excess rows can be removed by pressin		re more than one entity press the "ADD" button to add a row.

Lazarus 2



Patent <sup>?</sup>	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments	
Shoulder Arthroplasty			<b>✓</b>	<b>✓</b>	Tornier	Shoulder Arthroplasty	
Section 5. Relationshi	ps not cov	ered abo	ove				
Are there other relationships or potentially influencing, what yo				eive to have	influenced, or tha	at give the appearance of	
Yes, the following relationsh	•			•	•		
✓ No other relationships/cond	itions/circur	nstances	that presen	t a potential	conflict of interes	st	
At the time of manuscript accep On occasion, journals may ask a							nents.
Section 6. Disclosure S	tatement						
Based on the above disclosures, below.	this form w	ill automa	atically gene	erate a disclo	sure statement, v	vhich will appear in the box	
Dr. Lazarus reports personal fee Arthroplasty with royalties paid		er, outsid	de the subm	nitted work;	In addition, Dr. La	azarus has a patent Shoulde	r

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# Identifying information.

# 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying Information	ation						
1. Given Name (First Name) Gerald	2. Surname (Last Nat Williams	me)		3. Date 11-November-2017			
4. Are you the corresponding author?	☐ Yes ✓ No	Correspond Surena Na	-				
5. Manuscript Title Randomized controlled trial of interscalene block with and without intraoperative local infiltration with liposomal bupivacaine in shoulder arthroplasty							
6. Manuscript Identifying Number (if you kno	ow it)						
Section 2. The Work Under Co	nsideration for P	ublication					
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?					r		
Are there any relevant conflicts of interes	st? ☐ Yes 🗸	No					
Section 3. Relevant financial a	activities outside	the submitted	work.				
Place a check in the appropriate boxes ir of compensation) with entities as descrik clicking the "Add +" box. You should rep	oed in the instructio	ns. Use one line fo	r each er	ntity; add as many lines as you need by			
Are there any relevant conflicts of interes		No					
If yes, please fill out the appropriate info	rmation below.						
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments			
Pennsylvania Orthopaedic Society			<b>✓</b>	Board member- I received no money			
American Shoulder and Elbow Surgeons			<b>✓</b>	Board member- I received no money			
Operative Techniques in Orthopaedic Surgery			<b>✓</b>	Board member- I received no money			
Fechniques in Shoulder and Elbow Surgery			<b>✓</b>	Board member- I received no money			
American Academy of Orthopaedic Surgeons			<b>✓</b>	President			
DePuy	<b>V</b>			consulting, lectures and educational presentations			
OIO				consulting			



Name of Entity	G	rant•	ersonal	Non-Financial	Other?	Comments
DePuy, Mitek			Fees •	Support		consulting
In vivo Therapeutics					<b>✓</b>	stock, stock options
Section 4. Intellectual I						
Intellectual I	Property -	- Patent	ts & Cop	yrights		
Do you have any patents, wheth	er planned,	, pending	g or issue	d, broadly releva	nt to the	work? 🗸 Yes 🗌 No
If yes, please fill out the appropri Excess rows can be removed by				ı have more than	one enti	ty press the "ADD" button to add a row.
Patent?	Pending?	Issued	License	ed? Royalties?	License	e? Comments
Shoulder Arthroplasty		<b>✓</b>	<b>√</b>		DePuy	
Shoulder Arthroplasty		<b>✓</b>			MDS/ Clev Clinic	reland
Shoulder Arthroplasty				<b>√</b>	DJO	
Shoulder Arthroplasty			✓	<b>√</b>	Lippincott	
Section 5. Relationship	os not cov	ered ab	ove			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?						
Yes, the following relationships/conditions/circumstances are present (explain below):						
✓ No other relationships/condi	tions/circu	mstances	that pre	sent a potential o	conflict o	finterest
At the time of manuscript acception occasion, journals may ask at						sary, update their disclosure statements. elationships.



#### Section 6.

#### **Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Williams reports other from Pennsylvania Orthopaedic Society, other from American Shoulder and Elbow Surgeons, other from Operative Techniques in Orthopaedic Surgery, other from Techniques in Shoulder and Elbow Surgery, other from American Academy of Orthopaedic Surgeons, grants and personal fees from DePuy, personal fees from DJO, personal fees from DePuy, Mitek, other from In vivo Therapeutics, outside the submitted work; In addition, Dr. Williams has a patent Shoulder Arthroplasty licensed to DePuy, a patent Shoulder Arthroplasty issued to IMDS/ Cleveland Clinic, a patent Shoulder Arthroplasty with royalties paid to DJO, and a patent Shoulder Arthroplasty with royalties paid to Lippincott.

#### **Evaluation and Feedback**

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.