

#### Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifying Inform	mation		
1. Given Name (First Name) Hayden	2. Surname (Last Name) Rockson		3. Date 01-October-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Nar Michael P. Stauff, MD	ne
5. Manuscript Title Venous Thromboembolism Prophylax	is in Elective Spine Surgery F	Patients: When, Why, and H	ow Much?
6. Manuscript Identifying Number (if you l JBJS-D-19-00849	now it)		
Section 2. The Work Under O	Consideration for Public	ation	
Did you or your institution <b>at any time</b> rec any aspect of the submitted work (includir statistical analysis, etc.)?	g but not limited to grants, dat	. , .	•
Are there any relevant conflicts of inte	rest?Yes  ✔ No		
Section 3. Belovent financia			
Relevant financia	l activities outside the s	ubmitted work.	
Place a check in the appropriate boxes of compensation) with entities as desc			1 0

of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest?		Yes	$\checkmark$	No
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# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	✓ No	
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# Section 5. Relationships not covered above

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Dr. Rockson has nothing to disclose.

#### **Evaluation and Feedback**

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Section 1. Identifying Information							
3. Date 01-October-2018							
en, Why, and How Much?							
1							

JBJS-D-19-00849

# Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

# Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
Pfizer	$\checkmark$				PI for Clinical trial, Paid to Institution	
Empirical Spine	$\checkmark$				PI for Clinical trial, Paid to institution	
Spinal Balance				$\checkmark$	Consultant	
Depuy Synthes				$\checkmark$	Money paid as faculty for course	



# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗌 Yes

## Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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The Spine Journal, Deputy Editor (Stipend)

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## Section 6. Disclosure Statement

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Dr. Stauff reports grants from Pfizer, grants from Empirical Spine, other from Spinal Balance, other from Depuy Synthes, outside the submitted work; and The Spine Journal, Deputy Editor (Stipend).

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🖌 No



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1. Given Name (First Name) Christian	2. Surname (Last Name) DiPaola	3. Date 01-October-2018
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Michael P. Stauff, MD
5. Manuscript Title Venous Thromboembolism Prophy	axis in Elective Spine Surge	ry Patients: When, Why, and How Much?
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🖌 No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
К2М		$\checkmark$			Royalties	

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Dr. DiPaola is a member of the Scientific Advisory Board for Nascent Surgical, Nolen Orthopaedics, and Intrinsic Therapeutics. He serves as a consultant for Lineage Medical and as the President of the New England Spine Study Group.

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Dr. DiPaola reports personal fees from K2M, outside the submitted work; and Dr. DiPaola is a member of the Scientific Advisory Board for Nascent Surgical, Nolen Orthopaedics, and Intrinsic Therapeutics. He serves as a consultant for Lineage Medical and as the President of the New England Spine Study Group.

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