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Appendix

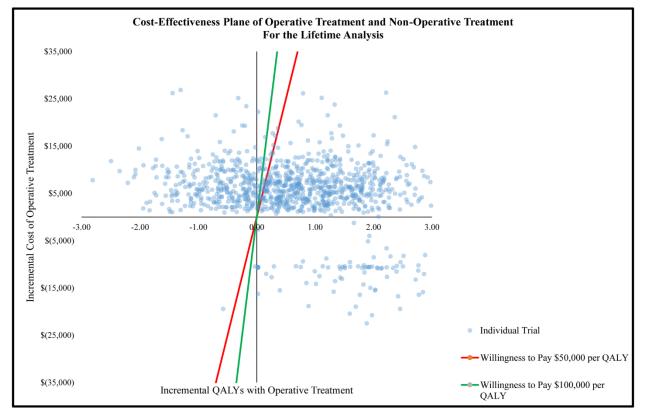


Fig. E-1

Cost-effectiveness plane for the lifetime analysis comparing operative and nonoperative treatment. In this analysis, the health utilities of operative and nonoperative treatment persist for the individual's lifetime. The x axis is the incremental QALYs with operative treatment, while the y axis is the incremental costs of operative treatment. The solid red and green lines are the willingness-to-pay thresholds of \$50,000 per QALY and \$100,000 per QALY, respectively. Data points to the right of these lines indicate that the particular trial is cost-effective according to the willingness-to-pay threshold. In the Monte Carlo simulation, 68.3% of the trials were to the right of the willingness-to-pay threshold was increased to \$100,000 per QALY, 70.0% of the trials were to the right of this threshold.

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Terminology Explanation This is a popular discipline used in the financial, engineering, and project management fields Decision analytics to formally analyze important decisions. Decision analysis is especially useful in analyzing decisions with unknown variables and uncertainty in events. Predictive and prescriptive analytics have emerged from this discipline, using forecasting, optimization, and simulation techniques. Decision analytics in medicine is a growing field with techniques being utilized in cost-effectiveness studies. Health utility This is a common term used in health economics to reflect an individual's preference for different health outcomes. Most health utility scales range from 0 to 1 with 0 reflecting death and 1 reflecting perfect health. While there are different methods to calculate health utility, including the rating scale method, standard gamble method, and time trade-off method, most health economic evaluations use multi-attribute utility systems (MAUS). Examples of MAUS are the EuroQol-5D, Short Form-6D (which is calculated from the Short Form-12 or Short Form-36), and Health Utilities Index. Quality-adjusted life-years are calculated from this health utility by combining the utility value with the quantity of time in a health state. This is a financial term used to capture the present value of future cash flows. The financial Discount rate definition refers to the interest rate expected for a loan from a financial institution. The discount rate considers the time value of money as 1 dollar now being more valuable than 1 dollar in the future. In cost-effectiveness studies, the discount rate is used not only for monetary costs, but also for health utility. The discount rate used for health utility relies on the principle that a perfectly healthy year now is more valuable than a perfectly healthy year in the future. Markov model Also known as the Markov method. This is a stochastic method used to model transition states. The principle of Markov modeling depends only on the current state and not on the prior states. The probability of transitioning from 1 state to another state can vary according to a predetermined distribution. Markov modeling is often used in cost-effectiveness analyses to capture the value of different health states over time. Also known as the Monte Carlo method or Monte Carlo experiments. This is a computational Monte Carlo simulation algorithm that relies on repeated random sampling to obtain numerical results. This decision analytic tool is especially powerful when there are uncertain variables that can be modeled according to a distribution. This tool is often used in managing risk as it calculates not only the average value of each decision, but also the percentage of iterations in which a certain strategy is more valuable than another strategy.

TABLE E-1 Glossary of Common Terminology in Decision Analysis

Rollback analysis

| | each node. The terminal node is calculated first while working backward to the initial decision node. The value of each decision is calculated by using the weighted average of the probability of events and the value of each decision tree branch. This analysis is commonly used in decision analytics and game theory. |
|-----------------|--|
| Strategy tables | This is a 2-way sensitivity analysis technique that shows how the optimal strategy changes in response to 2 simultaneously changing parameters. This is a particularly useful tool in decision analytics when there is uncertainty in the probability of events, value of an outcome, or cost of a decision. |
| Tornado chart | Also known as a tornado plot or tornado diagram. These diagrams are useful in sensitivity analysis, showing the importance of each variable in the decision model. The sensitive variable is modeled as an uncertain value, while other variables are held at baseline value. This shows |

how important this variable is in the decision model.

Also known as foldback analysis. This is an iterative algorithm used to determine the value of

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 TABLE E-2 Summary of Published Studies Used to Build the Decision Model

| Study | Level of Evidence | Study Design | No. of Patients (Op./Nonop.) | Age Criteria <i>(yr)</i> | Plate Technique* | Nonop. Intervention |
|--|----------------------|---------------------------|---------------------------------|--------------------------------|---------------------|-------------------------------------|
| Canadian Orthopaedic Trauma Society ⁸ , 2007 | I | Prospective randomized | 111 (62/49) | 16-60 | Superior | Sling |
| S Thyagarajan et al. ²⁹ , 2009 | III | Retrospective cohort | 34 (17/17) | None | NA | Sling |
| Kulshrestha et al. ¹⁰ , 2011 | II | Prospective cohort | 68 (43/25) | 20-50 | Superior | Sling |
| Mirzatolooei ³¹ , 2011 | Ι | Prospective randomized | 50 (26/24) | 18-65 | Superior | Sling |
| Virtanen et al. ¹³ , 2012 | Ι | Prospective randomized | 51 (26/25) | 18-70 | Anterior | Sling |
| Robinson et al. ¹² , 2013 | Ι | Prospective randomized | 178 (86/92) | 16-60 | NA | Collar and cuff |
| Althausen et al. ¹⁸ , 2013 | III | Retrospective cohort | 149 (66/83) | None | Superior | Sling or shoulder immobilizer |
| Jones et al. ²⁷ , 2014 | II | Retrospective cohort | 65 (24/41) | None | NA | NA |
| Khorami et al. ²⁸ , 2014 | II | Prospective cohort | 65 (35/30) | 18-60 | NA | Figure-of-8 bandage |
| Eden et al. ²⁶ , 2015 | II | Prospective cohort | 78 (41/37) | None | Superior | Rucksack bandage |
| van der Ven Denise et al. ¹⁴ , 2015 | II | Prospective cohort | 78 (38/40) | 16-70 | Anterior | Sling |
| Melean et al. ³⁰ , 2015 | Ι | Prospective randomized | 76 (34/42) | >18 | NA | Sling |
| Dhakad et al. ¹¹ , 2016 | II | Prospective randomized | 50 (25/25) | 16-60 | Superior | Figure-of-8 brace and sling |
| Naveen et al. ²⁴ , 2017 | II | Prospective cohort | 60 (30/30) | 20-50 | Superior | Figure-of-8 brace |
| Shetty et al. ²⁵ , 2017 | II | Prospective randomized | 30 (16/14) | 20-50 | NA | Clavicle brace and arm pouch |
| Woltz et al.º, 2017 | Ι | Prospective randomized | 148 (83/65) | 18-60 | Mixed | Sling |
| Naimark et al. ³⁷ , 2016 | III | Retrospective cohort | 73 (op.) | 18-70 | Superior | None |
| van der Linde et al. ³⁸ , 2017 | III | Retrospective cohort | 101 (op.) | 16-65 | NA | None |
| Tutuhatunewa et al. ³⁹ , 2017 | III | Retrospective cohort | 278 (128/150) | 18-65 | NA | Sling or collar and cuff |

*NA = not available.

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 TABLE E-3 Health Utility Values for Nonoperative and Delayed Operative Treatment

| | | Time | | | | | Final Converted |
|--|--------------|-------|-------|----------|--|---|--------------------|
| | | Frame | | No. of | | Mapping Study to | EQ-5D |
| Study | Health State | (yr) | MAUS* | Patients | MAUS Value* | Convert to EQ-5D | Value |
| Robinson et al. ¹² | Nonop. | >1 | SF-12 | 92 | 54.9 (SF-12 mental score), 52.9 (SF-12 physical score) | Sullivan and Ghushchyan (CLAD†) ⁴⁰ | 0.95 |
| Woltz et al.9 | Nonop. | <1 | SF-36 | 74 | 54.9 (SF-36 mental score), 53.4 (SF-36 physical score) | Hanmer ⁴¹ | 0.82 |
| Woltz et al.9 | Nonop. | >1 | SF-36 | 74 | 52.2 (SF-36 mental score), 56.1 (SF-36 physical score) | Hanmer ⁴¹ | 0.82 |
| Tutuhatunewa et al. ³⁹ | Nonop. | >1 | EQ-5D | 88 | 0.90 | None | 0.90 |
| Canadian Orthopaedic Trauma Society ⁸ | Nonop. | <1 | SF-6D | 32 | 0.71 | Brazier et al. ⁴² | 0.67 |
| Canadian Orthopaedic Trauma Society ⁸ | Nonop. | >1 | SF-6D | 31 | 0.84 | Brazier et al. ⁴² | 0.80 |
| van der Linde et al. ³⁸ | Delayed op. | >1 | EQ-5D | 25 | 0.83 | None | 0.83 |
| Compiled value | Nonop. | <1 | | 106 | | | 0.77 |
| Compiled value | Nonop. | >1 | | 285 | | | 0.88 |
| Compiled value | Delayed op. | >1 | | 25 | | | 0.83 |

*MAUS = multi-attribute utility system. †CLAD = censored least absolute deviations.

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 TABLE E-4 Health Utility Values for Implant Removal

| | | | | | | Final |
|------------------------------|-------|-------|----------|--------|------------------|-----------|
| | Time | | | | | Converted |
| | Frame | | No. of | MAUS | Mapping Study to | EQ-5D |
| Study | (yr) | MAUS* | Patients | Value* | Convert to EQ-5D | Value |
| Tutuhatunewa et | >1 | EQ-5D | 40 | 0.92 | None | 0.92 |
| al. ³⁹ | | | | | | |
| Naimark et al. ³⁷ | >1 | EQ-5D | 11 | 0.78 | None | 0.78 |
| Canadian | >1 | SF-6D | 5 | 0.75 | Brazier et al.42 | 0.71 |
| Orthopaedic | | | | | | |
| Trauma Society ⁸ | | | | | | |
| Compiled value | >1 | | 56 | | | 0.87 |

*MAUS = multi-attribute utility system.

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 TABLE E-5 Health Utility Values for Operative Treatment

| | | | | | | | Impl | ant Removal | |
|---|------------------------------|-------|--------------------|---|---|-----------------------------|------|-------------|---------------------------------|
| Study | Time Frame <i>(yr)</i> | MAUS* | No. of Patients | MAUS Value* | Mapping Study to Convert to EQ-5D | Converted EQ-5D Value | No. | EQ-5D Score | Final Converted EQ- 5D Score |
| Robinson et al. ¹² | >1 | SF-12 | 86 | 56.6 (SF-12 mental score), 54.3 (SF-12 physical score) | Sullivan and Ghushchyan (CLAD†) ⁴⁰ | 0.97 | 10 | 0.87 | 0.98 |
| Woltz et al. ⁹ | <1 | SF-36 | 86 | 53.6 (SF-36 mental score), 53.5 (SF-36 physical score) | Hanmer ⁴¹ | 0.86 | 14 | 0.87 | 0.84 |
| Woltz et al. ⁹ | >1 | SF-36 | 86 | 52.6 (SF-36 mental score), 55.2 (SF-36 physical score) | Hanmer ⁴¹ | 0.86 | 14 | 0.87 | 0.84 |
| Naimark et al. ³⁷ | >1 | EQ-5D | 61 | 0.91 | None | + | ‡ | + | 0.91 |
| van der Linde et al. ³⁸ | >1 | EQ-5D | 101 | 0.89 | None | 0.89 | 62 | 0.87 | 0.93 |
| Tutuhatu newa et al. ³⁹ | >1 | EQ-5D | 81 | 0.91 | None | + | + | + | 0.91 |
| Canadian Orthopae dic Trauma Society ⁸ | <1 | SF-6D | 47 | 0.76 | Brazier et al. ⁴² | + | + | + | 0.72 |
| Canadian Orthopae dic Trauma Society ⁸ | >1 | SF-6D | 52 | 0.86 | Brazier et al. ⁴² | + | + | + | 0.81 |

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| Compiled | <1 yr | 133 | | | 0.80 | |
|----------|-------|-----|--|--|------|---|
| value | | | | | | |
| Compiled | >1 yr | 467 | | | 0.91 | |
| value | | | | | | 1 |

*MAUS = multi-attribute utility system. Since several studies combined the MAUS score for implant removal and successful operative treatment, we used a correction factor for the health utility of implant removal to correct for this discrepancy. †CLAD = censored least absolute deviations. ‡The study had separate health utility values for implant removal and successful operative treatment.

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| | Nonop. Treatment | | Nonop. Treatment Delayed Operative Treatm | | Freatment | Nonop. and Delayed (|)p. Treatment | |
|----------------------|------------------|-------------|---|------------|-------------|----------------------|---------------|----------------|
| | | | | | Avg. No. of | | | |
| Median Weekly Income | | Avg. No. of | | | Work | | Combined | Total Societal |
| per Bureau of Labor | Fracture | Work Weeks | % of | Health- | Weeks | Combined Health- | Loss of | Cost of Nonop. |
| Statistics | Billing | Missed | Patients | Care Costs | Missed | Care Costs | Wages | Treatment |
| \$849 | \$227 | 12.2 | 9.3 | \$9,414 | 10.2 | \$1,229 | \$11,147 | \$12,377 |

IS EARLY OP. TREATMENT OF DISPLACED MIDSHAFT CLAVICLE FRACTURES WORTH IT? USING DECISION ANALYTICS TO FIND THE MOST COST-EFFECTIVE STRATEGY BETWEEN OP. AND NONOP. TREATMENT http://dx.doi.org/10.2106/JBJS.17.00786

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Table E-7 Societal Costs of Operative Treatment

| | | | Combined | |
|------------------------|-------------|------------------|---------------|----------------|
| | | | Loss of Wages | |
| | Avg. No. of | | with Op. | |
| Health-Care Costs of | Work Weeks | Median Weekly | Treatment and | |
| Op. Treatment and | Missed with | Salary per | Complications | Total Societal |
| Complications from Op. | Op. | Bureau of | from Op. | Cost of Op. |
| Treatment | Treatment | Labor Statistics | Treatment | Treatment |
| \$8,568 | 10.2 | \$849 | \$8,852 | \$17,420 |