

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

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### 3. Relevant financial activities outside the submitted work.

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

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**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Blonna 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Davide	rst Name)	2. Surname (Last Nam Blonna	3. Date 06-January-2018	
4. Are you the cor	4. Are you the corresponding author?		Corresponding Author's Name Enrico Bellato	
5. Manuscript Title Outcomes of 235 proximal humeral fractures treated with a dedicated external fixator: Five years of follow-up				
6. Manuscript Ider N/A	ntifying Number (if you kr	now it)		
Section 2.		onsideration for Pu		
any aspect of the s statistical analysis, Are there any rel	titution <b>at any time</b> rece ubmitted work (including	eive payment or services f g but not limited to grant	from a third party (government, commercial, private foundation, etc.) for ss, data monitoring board, study design, manuscript preparation,	
Section 3.	Relevant financial	activities outside t	he submitted work.	
of compensation clicking the "Add	) with entities as descr +" box. You should re	ibed in the instruction port relationships that	whether you have financial relationships (regardless of amount s. Use one line for each entity; add as many lines as you need by were <b>present during the 36 months prior to publication</b> .	
•	evant conflicts of intere out the appropriate info		No	
Name of Entity		Grant? Personal	Non-Financial Other? Comments	
Zimmer-Biomet		Fees •	Support !	
Orthofix				
Section 4.	Intellectual Prope	rty Patents & Cop	yrights	
Do you have any	patents, whether plan	ned, pending or issued	d, broadly relevant to the work? Yes V No	

Blonna 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Blonna repor	ts personal fees from Zimmer-Biomet, personal fees from Orthofix, outside the submitted work; .

### **Evaluation and Feedback**

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Blonna 3



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Assom 1



Section 1. Identifying Inform	nation	
Given Name (First Name)     Marco	2. Surname (Last Name) Assom	3. Date 31-May-2018
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Enrico Bellato
5. Manuscript Title Outcomes of 235 proximal humeral fra	ctures treated with a dedic	rated external fixator: Five years of follow-up
6. Manuscript Identifying Number (if you k N/A	now it)	
Section 2. The Work Under C	Consideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as describing the "Add +" box. You should re	ribed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by the present during the 36 months prior to publication.
Are there any relevant conflicts of inter If yes, please fill out the appropriate inf		
		-: · · ·
Name of Entity	Grant? Personal Fees? S	n-Financial Other? Comments
Orthofix	<b>✓</b>	
Section 4. Intellectual Prope	rty Patents & Copyrig	nhts
Do you have any patents, whether plar If yes, please fill out the appropriate inf Excess rows can be removed by pressir	formation below. If you hav	roadly relevant to the work?  Yes  No re more than one entity press the "ADD" button to add a row.

Assom 2



Pater	nt?	Pending?	Issued?	Licensed ?	Royalties?	Licensee?	Comments
<sup>9</sup> 2 672 907 B1			<b>√</b>		<b>√</b>		Dr. Assom gains royalties from Orthofix related to the Galaxy Shoulder System
Section 5.	Relationshi	ps not cove	ered abo	ve			
Are there other potentially influ					eive to have	influenced, or th	at give the appearance of
Yes, the follo	owing relationsh	nips/conditio	ns/circum	nstances are	e present (ex	plain below):	
✓ No other rela	ationships/cond	litions/circun	nstances t	hat presen	t a potential	conflict of intere	st
						d, if necessary, uլ eported relation	odate their disclosure statemen ships.
	Disclosure S	'tatamant					
Section 6.	Disclosure S	mantement					
Section 6.  Based on the ab below.	ove disclosures,		ll automa	tically gene	erate a disclo	sure statement,	which will appear in the box

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Bellato 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Enrico	2. Surname (Last Name) Bellato	3. Date 28-May-2018
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Outcomes of 235 proximal humeral frac	ctures treated with a dedicated external fixator: Five	years of follow-up
6. Manuscript Identifying Number (if you kr N/A	now it)	
Section 2. The Work Under C	onsideration for Publication	
	eive payment or services from a third party (government, cog g but not limited to grants, data monitoring board, study d est? Yes V No	
Section 3. Relevant financial	activities outside the submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr	in the table to indicate whether you have financial re ibed in the instructions. Use one line for each entity; port relationships that were <b>present during the 36 r</b>	add as many lines as you need by
Section 4. Intellectual Branch		
Intellectual Proper	rty Patents & Copyrights	
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	?

Bellato 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Bellato has nothing to disclose.

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Greco 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fii Valentina	rst Name)	2. Surname (Last Name) Greco	3. Date 01-June-2018
4. Are you the corresponding author?		Yes 🗸 No	Corresponding Author's Name Enrico Bellato
5. Manuscript Title Outcomes of 235		ctures treated with a dedic	cated external fixator: Five years of follow-up
6. Manuscript Ider N/A	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under Co	onsideration for Publi	cation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, do	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add	) with entities as descri	bed in the instructions. Uport relations hips that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .
Section 4.	Intellectual Proper	rty Patents & Copyri	ghts
Do you have any	patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No

Greco 2



Section 5. Relationships not sovered above
Relationships not covered above
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Dr. Greco has nothing to disclose.

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Marmotti 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Antonio	rst Name)	2. Surname (Last Name) Marmotti		Date June-2018
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Enrico Bellato	
5. Manuscript Title Outcomes of 235		tures treated with a dedic	rated external fixator: Five years	of follow-up
6. Manuscript Idei N/A	ntifying Number (if you kr	now it)		
			_	
Section 2.	The Work Under Co	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, comme ita monitoring board, study design,	
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Section 4.	Intellectual Proper	ty Patents & Copyri	uhts	
Do you have any			oadly relevant to the work?	Yes ✓ No

Marmotti 2



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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Pisanu 1



Section 1.	Identifying Inform	ation		
1. Given Name (First	t Name)	2. Surname (Last Name) Pisanu		Date 8-May-2018
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Enrico Bellato	
5. Manuscript Title Outcomes of 235 p	proximal humeral frac	tures treated with a dedic	ated external fixator: Five year	rs of follow-up
6. Manuscript Ident N/A	ifying Number (if you kn	ow it)		
			-	
Section 2.	The Work Under Co	onsideration for Public	ation	
any aspect of the sul statistical analysis, et	bmitted work (including	but not limited to grants, da	a third party (government, comm ta monitoring board, study desigi	nercial, private foundation, etc.) for n, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
of compensation) clicking the "Add -	with entities as descri	bed in the instructions. Us port relationships that wer		onships (regardless of amount l as many lines as you need by nths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyric	ıhts	
Do you have any p	patents, whether plani	ned, pending or issued, br	oadly relevant to the work?	Yes 🗸 No

Pisanu 2



Section 5. Relationships not covered above
helationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Pisanu has nothing to disclose.

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Other: Anything not covered under the previous three boxes

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**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Rossi 1



Section 1. Identifying Infor	mation		
1. Given Name (First Name) Roberto	2. Surname (Last Name) Rossi	3. Date 06-January-2018	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Enrico Bellato	
5. Manuscript Title Outcomes of 235 proximal humeral fra	actures treated with a dedic	cated external fixator: Five	years of follow-up
6. Manuscript Identifying Number (if you N/A	know it)	_	
		_	
Section 2. The Work Under (	Consideration for Publi	cation	
Did you or your institution <b>at any time</b> recanny aspect of the submitted work (includir statistical analysis, etc.)?  Are there any relevant conflicts of inte	ng but not limited to grants, da	. , .	•
Section 3. Relevant financia	l activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as described the "Add +" box. You should re	ribed in the instructions. U	se one line for each entity;	add as many lines as you need by
Are there any relevant conflicts of inte	rest? ✓ Yes No		
If yes, please fill out the appropriate in	formation below.		
Name of Entity	Grant? Personal No	n-Financial Other? Co	omments
Zimmer-Biomet			
Medacta			
Lima			
Smith & Nephew			
Depuy-Synthes			

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Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Rossi reports personal fees from Zimmer-Biomet, personal fees from Medacta, personal fees from Lima, personal fees from Smith & Nephew, personal fees from Depuy-Synthes, outside the submitted work; .

### **Evaluation and Feedback**

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