

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifying Infor	mation		
1. Given Name (First Name) John	2. Surname (Last Name) Anderson		3. Date 23-April-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Nar Ilkka Helenius	ne
5. Manuscript Title Os odontoideum in children: treatmei	nt outcomes and neurolog	ic risk factors	
6. Manuscript Identifying Number (if you	know it)		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any re	levant confl	icts of inte	rest?	Yes
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Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?	Yes	\checkmark	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	\checkmark	No



Section 5. Relationships not covered above

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✓ Yes, the following relationships/conditions/circumstances are present (explain below):

No other relationships/conditions/circumstances that present a potential conflict of interest

Advocacy committee member for the Pediatric Orthopaedic Society of North America

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Section 6.

Disclosure Statement

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Dr. Anderson reports and Advocacy committee member for the Pediatric Orthopaedic Society of North America.

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Section 1.	Identifying Infor	mation		
1. Given Name (Fi Jennifer	rst Name)	2. Surname (Last Name) Bauer		. Date 3-April-2019
4. Are you the corresponding author?		Yes 🖌 No	es 🖌 No Corresponding Author's Name Ilka Helenius	
5. Manuscript Title Os odontoideum		nt outcomes and neurolog	jic risk factors	
6. Manuscript Ider	ntifying Number (if you l	(now it)		

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Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support	Other?	Comments	
Depuy Orthopaedics		\checkmark			not relevant to study	

Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



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Dr. Bauer reports personal fees from Depuy Orthopaedics, outside the submitted work;.

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Section 1.	Identifying Inform	mation	
1. Given Name (Fir Patrick J.	rst Name)	2. Surname (Last Name) Cahill	3. Date 25-April-2019
4. Are you the corr	responding author?	Yes 🖌 No	Corresponding Author's Name Ilkka Helenius
5. Manuscript Title Os Odontoideum		nt Outcomes and Neuro	ogic Risk Factors
6. Manuscript Ider JBJS-D-19-00314	ntifying Number (if you k	now it)	

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🖌 No

Are there any relevant conflicts of interest? Yes

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Biogen, Inc.		\checkmark			paid consultant	
NuVasiv, Inc.		\checkmark			paid consultant	
Setting Scoliosis Straight Foundation	\checkmark				Research grants from SSSF received in support of Harms Study Group research	
Children's Spine Study Group	\checkmark				Grant in support of research with the Children's Spine Study Group	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes

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AAOS: Board or committee member Journal of Bone and Joint Surgery - American: Editorial or governing board Pediatric Orthopaedic Society of North America: Board or committee member Scoliosis Research Society: Board or committee member Spine Deformity: Editorial or governing board

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Dr. Cahill reports personal fees from Biogen, Inc., personal fees from NuVasiv, Inc., grants from Setting Scoliosis Straight Foundation, grants from Children's Spine Study Group, outside the submitted work; and AAOS: Board or committee member

Journal of Bone and Joint Surgery - American: Editorial or governing board

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1. Given Name (First Name) Daniel	2. Surname (Last Name) Hedequist	3. Date 23-April-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Ilkka Helenius
5. Manuscript Title Os odontoideum in children: treatme	ent outcomes and neurolo	gic risk factors
6. Manuscript Identifying Number (if you JBJS-D-19-00314R1	know it)	

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Are there any relevant conflicts of interest?	Yes
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Dr. Hedequist has nothing to disclose.

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Section 1. Identifying Infor	mation	
1. Given Name (First Name) Ilkka	2. Surname (Last Name) Helenius	3. Date 24-April-2019
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Os Odontoideum in Children: Treatm	ent Outcomes and Neurologic Risk Factors	

6. Manuscript Identifying Number (if you know it)

JBJS-D-19-00314

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees [?]	Non-Financial Support <mark>?</mark>	Other?	Comments	
Medtronic	\checkmark					
K2M via Innosurge As	\checkmark					

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Dr. Helenius reports grants from Medtronic, grants from K2M via Innosurge As, during the conduct of the study; personal fees from Medtronic, personal fees from K2M via Innosurge, outside the submitted work; .

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Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1. 1. Given Name (F Walter	Identifying Infor	mation 2. Surname (Last Name Krengel	3. Date 24-April-2019	
4. Are you the co	rresponding author?	Yes 🖌 No	Corresponding Author's Name Ilkka Helenius	
		nt outcomes and neurolo	gic risk factors	
Section 2.	The Work Under	Consideration for Pub	lication	

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?	Y	es
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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest?		Yes	✓	
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, penuing of issued, broadly relevant to the work? res \mathbf{v} no	e any patents, whether planned, pending or issued, broadly relevant to	the work?	Yes	🖌 No
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Krengel has nothing to disclose.

Evaluation and Feedback



Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identify	ying Information	
1. Given Name (First Name) A Noelle	2. Surname (Last Name) Larson	3. Date 24-April-2019
4. Are you the corresponding		Corresponding Author's Name Ilkka Helenius
5. Manuscript Title Os odontoideum in childre	en: treatment outcomes and neurologic ri	sk factors

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

✓ No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Medtronic		\checkmark			Consultant	

Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Larson reports personal fees from Medtronic, outside the submitted work; .

Evaluation and Feedback



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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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3. Date 23-April-2019
onding Author's Name elenius
ors

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✓ No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? 🖌 Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
DePuy Synthes		\checkmark			Consultant	
NuVasive		\checkmark			Consultant	
Zimmer Biomet		\checkmark			Consultant	

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2	56	GU		

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Pahys reports personal fees from DePuy Synthes, personal fees from NuVasive, personal fees from Zimmer Biomet, outside the submitted work; .

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Section 1. Identifying Info	rmation	
1. Given Name (First Name) Jonathan	2. Surname (Last Name) Phillips	3. Date 23-April-2019
4. Are you the corresponding author?		prresponding Author's Name ka helenius
5. Manuscript Title Os odontoideum in children: treatm	ent outcomes and neurologic risk	factors

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🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
OrthoPediatrics				\checkmark	Board Member	
OrthoPediatrics				\checkmark	Paid Consultant	
OrthoPediatrics				\checkmark	Royalties	
OrthoPediatrics				\checkmark	Spine Advisory Board	
Biomet				\checkmark	Royalties	
Biomet				\checkmark	Research support	
Springer				\checkmark	Publishing Royalties	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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Dr. Phillips reports other from OrthoPediatrics, other from OrthoPediatrics, other from OrthoPediatrics, other from OrthoPediatrics, other from Biomet, other from Biomet, other from Springer, outside the submitted work; .

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Section 1.	dentifying Infor	mation				
. Given Name (First l aul	Name)	2. Surnar sponsell	ne (Last Name) er		3. Date 23-April-2019	
4. Are you the corresponding author?		Yes 🖌 No		Corresponding Author's Name Ilkka Helenius		
Aanuscript Title odontoideum						

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Are there any relevant conflicts of interest? Yes

<	INO	

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
JBJS		\checkmark			Deputy Editor	
Johnson and Johnson		\checkmark			Other Research Support, Royalties	
Globus		\checkmark			Product Development	

-		-	
50	ect		

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. sponseller reports personal fees from JBJS, personal fees from Johnson and Johnson, personal fees from Globus, outside the submitted work; .

Evaluation and Feedback



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Section 1. Identif	fying Information	
1. Given Name (First Name) Bram	2. Surname (Last Verhofste	Name) 3. Date 23-April-2019
4. Are you the corresponding author? \checkmark Yes \checkmark N		o Corresponding Author's Name Ikka Helenius
5. Manuscript Title Os odontoideum in childr	ren: treatment outcomes and ne	eurologic risk factors
6. Manuscript Identifying Nu JBJS-D-19-00314	umber (if you know it)	

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🖌 No

Are there any relevant conflicts of interest?		Yes
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Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? \Box Yes \checkmark No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	\checkmark	No



Section 5. Relationships not covered above

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Dr. Verhofste has nothing to disclose.

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Info	mation	
1. Given Name (Fi Burt	rst Name)	2. Surname (Last Name) Yaszay	3. Date 23-April-2019
4. Are you the corresponding author?		Yes 🖌 No	Corresponding Author's Name Ilkka Helenius
5. Manuscript Titl Os odontoideun		nt outcomes and neurolog	gic risk factors
	ntifying Number (if you		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Depuy Synthes Spine	\checkmark	\checkmark				
Nuvasive	\checkmark	\checkmark				
K2M/Stryker	\checkmark	\checkmark				
Biogen		\checkmark				
Orthopediatrics		\checkmark				
Globus		\checkmark				



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🗸 Yes 🗌 No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
К2М		\checkmark		\checkmark			

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Yaszay reports grants and personal fees from Depuy Synthes Spine, grants and personal fees from Nuvasive, grants and personal fees from K2M/Stryker, personal fees from Biogen, personal fees from Orthopediatrics, personal fees from Globus, outside the submitted work; In addition, Dr. Yaszay has a patent K2M with royalties paid.

Evaluation and Feedback



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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1. Given Name (First Name) Jeffrey	2. Surname (Last Name) Martus		3. Date 23-April-2019	
4. Are you the corresponding author? Yes 🖌 No		Corresponding Author's Name Ilka Helenius		
5. Manuscript Title Os odontoideum in children: treatmer	nt outcomes and neurolog	gic risk factors		
6. Manuscript Identifying Number (if you k	know it)			

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🖌 No

Are there any relevant conflicts of interest?	Yes	
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No

Are there any relevant conflicts of interest?		Yes	\checkmark	
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	\checkmark	No
	1 2			



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Dr. Martus has nothing to disclose.

Evaluation and Feedback