

Instructions

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Identifying information.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Identifying Information

Section 1.

ICMJE Form for Disclosure of Potential Conflicts of Interest

Given Name (First Name) Todd	2. Surname (Last Na Albert	ame)	3. Dat	e arch-2018	
4. Are you the corresponding author?	Yes ✓ No	Correspon	ding Author's Name epan		
5. Manuscript TitleDevelopment of an Institutional OpioidPrescribing Practices6. Manuscript Identifying Number (if you knD-17-01645		on Program and Op	oioid Prescribing Guid	elines: Impact on	
Section 2. The Work Under Co	onsideration for	Publication			
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	ve payment or service but not limited to gra	es from a third party			c.) for
Section 3. Relevant financial	activities outside	the submitted	work.		
Place a check in the appropriate boxes in of compensation) with entities as descriclicking the "Add +" box. You should rep	bed in the instruction	ons. Use one line fo	or each entity; add as r	many lines as you need	d by
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Name of Entity	Grant? Persona	Non-Financial	Other? Comment	s	
Zimmer Biomet			Royalties		
DePuy Synthes			Royalties/ Co	nsulting	
Nuvasive			Royalties/ Co	nsulting	
JP Medical Publishers			Royalties		
Saunders/Mosby-Elseveir			Royalties		
Thieme			Royalties		
Facet Link			Consultant		



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Gentis		✓			Medical Advisory Board/ Stock Options
Vital 5		✓			Stock/Investment interests
Bonovo Orthopedics Inc.		✓			Stock/Investment interests
Biomerix		✓			Stock/Investment interests
nVivo Therapeutics		\checkmark			Stock/Investment interests
Spinicity		\checkmark			Stock/Investment interests
Crosstrees Medical		\checkmark			Stock/Investment interests
Paradigm Spine LLC		\checkmark			Stock/Investment interests
Invuity		\checkmark			Stock/Investment interests
ASIP		\checkmark			Stock/Investment interests
PMIG		✓			Stock/Investment interests
Pioneer		✓			Stock/Investment interests
Scoliosis Research Society			√		President
Cervical Spine Research Society			√		Past- President
MAST			✓		Past Chair
Jnited Health Care		✓			Medical Advisory Board
Hospital For Special Surgery		✓			Surgeon in Chief and Medical Director
Weill Cornell Medical College		✓			Korein-Wilson Professor of Orthopaedic Surgery
PCORI	✓				
SSG	✓				
NIH	✓				
AO-ASIF	✓				Past
National Institute of Arthritis and Musculoskeletal and Skin Diseases					Past

Section 4.	Intellectual Property Patents & Copyrights	
Do you have an	y patents, whether planned, pending or issued, broadly relevant to the work?	√ No



Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Dr. Albert reports personal fees from Zimmer Biomet, personal fees from DePuy Synthes, personal fees from Nuvasive, personal fees from JP Medical Publishers, personal fees from Saunders/Mosby-Elseveir, personal fees from Thieme, personal fees from Facet Link, personal fees from Gentis, personal fees from Vital 5, personal fees from Bonovo Orthopedics Inc., personal fees from Biomerix, personal fees from InVivo Therapeutics, personal fees from Spinicity, personal fees from

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Kahlenberg 1



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1. Given Name (Fi Cynthia	rst Name)	2. Surname (Last Name) Kahlenberg	3. Date 07-March-2	2018
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jeffrey Stepan	
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Section 2.	The Work Under Co	onsideration for Pub	ication	
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Section 4.	Intellectual Prope	rty Patents & Copyr	ights	
Do you have any	patents, whether plan	ned, pending or issued, l	proadly relevant to the work?	✓ No

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Nwachukwu 1



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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nan Jeffrey Stepan	ne
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Dr. Nwachukwu has nothing to disclose.

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Premkumar 1



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4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jeffrey Stepan	
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Stepan 1



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Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Baurley 1



Section 1. Identifying Inforn	nation		
1. Given Name (First Name) James	2. Surname (Last Name) Baurley		3. Date 08-July-2018
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript TitleDevelopment of an Institutional OpioicPrescribing Practices6. Manuscript Identifying Number (if you known to be not be not		gram and Opioid Pres	cribing Guidelines: Impact on
Section 2. The Work Under C	onsideration for Publi	cation	
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter-	g but not limited to grants, do	. , .	ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re Are there any relevant conflicts of interesting the second	ibed in the instructions. U port relationships that we est?	se one line for each er	ntity; add as many lines as you need by
Name of Entity	Grant? Personal No	n-Financial Other?	Comments
National Institute on Drug Abuse	✓		
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the	work? ☐ Yes ✓ No

Baurley 2



Section 5. Polationships not severed above				
Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the following relationships/conditions/circumstances are present (explain below):				
✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6. Disclosure Statement				
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.				
Dr. Baurley reports grants from National Institute on Drug Abuse, outside the submitted work; .				

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

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Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Lovecchio 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fi Francis	rst Name)	2. Surname (Last Name) Lovecchio		3. Date 07-March-2018	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Jeffrey Stepan		
Prescribing Prac	an Institutional Opioid		ogram and Opioid Prescribing	Guidelines: Impact on	
Section 2.	The Work Under C	onsideration for Publ	ication		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No					
Section 3.	Relevant financial	activities outside the	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo					
Section 4.	Intellectual Prope	rty Patents & Copyr	ights		
Do you have any	patents, whether plan	ned, pending or issued, b	proadly relevant to the work?	☐ Yes ✓ No	

Lovecchio 2



Section 5. Polationships not severed above				
Relationships not covered above				
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At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6. Disclosure Statement				
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.				
Dr. Lovecchio has nothing to disclose.				

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

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