

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Definitions.

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Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not **Royalties:** Funds are coming in to you or your institution due to your

patent



Section 1. Identifying Inform			
Identifying Inform	nation		
Given Name (First Name) Virginie	2. Surname (Last Name) Lafage		3. Date 24-February-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's	s Name
5. Manuscript Title The 3 sagittal morphotypes that define asymptomatic volunteers	the normal cervical spine:	A systematic review of	the literature and an analysis of
6. Manuscript Identifying Number (if you kr JBJS-D-19-01384	now it)	_	
Section 2. The Work Under Co	onsideration for Public	cation	
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da		
If yes, please fill out the appropriate info Excess rows can be removed by pressin	ormation below. If you hav	ve more than one entity	press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial Other?	Comments
EOS Imaging	/		
Continu 2			
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repart there any relevant conflicts of interest.	bed in the instructions. Use port relationships that we	se one line for each enti	ty; add as many lines as you need by
If yes, please fill out the appropriate info			
Name of Entity	Grant	n-Financial Other?	Comments
Nuvasive, Inc.			
Globus Medical, Inc.			
The Permanente Medical Group			



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
DePuy Synthes Spine		✓			
International Spine Study Group				✓	Executive Member
European Spine Journal				✓	Editorial Board
AO Spine Foundation		✓			Past relationship
K2 Medical, LLC		✓			Past relationship
Section 4. Intellectual Property Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No					
Section 5. Relationships not o	overed	above			
Are there other relationships or activities potentially influencing, what you wrote i Yes, the following relationships/conditions/cii	in the sub ditions/cir	emitted wo	rk? es are present (exp	olain belc	w):
At the time of manuscript acceptance, jo On occasion, journals may ask authors to	ournals wi	ll ask autho	ors to confirm and	d, if neces	sary, update their disclosure statements.
Section 6. Disclosure Statement					
Based on the above disclosures, this form below.	n will auto	omatically (generate a disclos	sure state	ment, which will appear in the box
Dr. Lafage reports personal fees from Nu Permanente Medical Group, personal fe from European Spine Journal, personal f submitted work; .	es from D	ePuy Syntl	nes Spine, other fi	rom Inter	national Spine Study Group, other



Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent

Schwab 1



Section 1. Identifyi						
Identifyi	ng Information					
1. Given Name (First Name) Frank	2. Surname (Last Nan Schwab		te bruary-2020			
4. Are you the corresponding a	uthor? Yes ✓ No	Corresponding Author's Name Sohrab Virk				
5. Manuscript Title The 3 sagittal morphotypes that define the normal cervical spine: A systematic review of the literature and an analysis of asymptomatic volunteers						
6. Manuscript Identifying Numl JBJS-D-19-01384	oer (if you know it)					
Section 2. The Work	CUnder Consideration for Pu	ublication				
	rk (including but not limited to gran	from a third party (government, commerci ts, data monitoring board, study design, m No				
Section 3. Relevant	financial activities outside t	he submitted work.				
of compensation) with entiti	es as described in the instruction a should report relationships that cts of interest?	e whether you have financial relationsh ns. Use one line for each entity; add as r t were present during the 36 months No	many lines as you need by			
Name of Entity	Grant? Personal Fees?	Non-Financial Support? Other? Comment	s			
K2 Medical, LLC						
Medtronic Sofamor Danek USA, In	c.					
ZIMMER BIOMET						
Globus Medical, Inc.						
MEDICREA USA, CORP.						
International Spine Society Group		✓ Research Sup	port			

Schwab 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No
Section 5. Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
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Dr. Schwab reports personal fees from K2 Medical, LLC, personal fees from Medtronic Sofamor Danek USA, Inc., personal fees from ZIMMER BIOMET, personal fees from Globus Medical, Inc., personal fees from MEDICREA USA, CORP., other from International Spine Society Group, outside the submitted work; .

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Schwab 3



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Kim 1



Section 1.	Identifying Infor	mation			
1. Given Name (F Han Jo	irst Name)	2. Surname (Las Kim	t Name)	3. Date 03-March-2020	
4. Are you the co	rresponding author?	Yes ✓	No Correspon Virginie L	ding Author's Name afage	
5. Manuscript Titl The 3 sagittal m asymptomatic v	orphotypes that define	e the normal cervi	cal spine: A systemat	ic review of the literature and an ana	lysis of
	ntifying Number (if you k	(now it)			
Section 2.	The Work Under (Consideration f	or Publication		
any aspect of the statistical analysis	submitted work (includin , etc.)? levant conflicts of inte	ng but not limited to	grants, data monitorin	(government, commercial, private found g board, study design, manuscript prepa	
Section 5.	Relevant financia	l activities outs	ide the submitted	work.	
of compensation clicking the "Add Are there any re	n) with entities as desc	ribed in the instru eport relationship: rest?	ictions. Use one line f	ave financial relationships (regardless or each entity; add as many lines as y luring the 36 months prior to publ i	ou need by
Name of Entity		Grant? Perso	onal Non-Financial s? Support?	Other? Comments	
K2M				Royalty	
Zimmer Biomet				Royalty	
AO Spine				✓ Board Membership	
SRS				Research Support	
SSGF				Research Support	

Kim 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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Section 6. Disclosure Statement
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Dr. Kim reports personal fees from K2M, personal fees from Zimmer Biomet, other from AO Spine, other from CSRS, other from ISSGF, outside the submitted work; .

Evaluation and Feedback

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Information	ation						
1. Given Name (First Name) Todd	2. Surname Albert	(Last Nan	ne)		3. Date 25-February-2020		
4. Are you the corresponding author?	Yes	√ No	Correspond Sohrab Vir	_	or's Name		
5. Manuscript Title The 3 sagittal morphotypes that define the normal cervical spine: A systematic review of the literature and an analysis of asymptomatic volunteers							
6. Manuscript Identifying Number (if you kno JBJS-D-19-01384	ow it)						
Section 2. The Work Under Co		for D	ula li angli a u				
The Work Under Co				(aovernme	ent. commercial, private foundation, etc	c.) for	
any aspect of the submitted work (including statistical analysis, etc.)?				-	•	.,	
Are there any relevant conflicts of interes	st? Ye	s 🗸 l	No				
Section 3. Polovent financial a						ı	
Relevant financial activities outside the submitted work.							
Place a check in the appropriate boxes ir of compensation) with entities as describ clicking the "Add +" box. You should rep	oed in the ir	struction	ns. Use one line fo	or each er	ntity; add as many lines as you need		
Are there any relevant conflicts of interes	st? 🗸 Ye	s1	No	_			
If yes, please fill out the appropriate info	rmation bel	ow.					
Name of Entity	Grant? P	ersonal	Non-Financial	Other?	Comments		
		Fees?	Support?				
American Orthopaedic Association (AOA)				✓	Treasurer		
Vital 5				√	Advisory Board, Stock holder		
NuVasive, Inc.		✓					
Innovative Surgical Designs, Inc.				✓	Ownership interest		
Bonovo Orthopedics, Inc.				✓	Investment interest		
Biomerix				✓	Investment interest		
InVivo Therapeutics				✓	Advisory Board, Stock options		
JP Medical Publishers		✓					



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Spinicity				√	Advisory Board, Investment Interest
Thieme Medical Publishers		\checkmark			
CytoDyn Inc.				✓	Investment interest
ASIP				✓	Ownership interest
United Health Group				✓	Advisory board
Springer		\checkmark			
Crosstrees Medical				✓	Investment interest
Paradigm Spine, LLC				✓	Investment interest
Scoliosis Research Society				✓	Board member
Strathspey Crown				√	Ownership interest
Elsevier, Inc.		✓			
Surg.IO LLC				✓	Ownership interest
Augmedics				✓	Ownership interest
Morphogenesis				✓	Ownership interest
PMIG				√	Ownership interest
ZIMMER BIOMET		√			
Pulse Equity				✓	Ownership interest
Physician Recommended Nutriceuticals				✓	Ownership interest
DePuy Synthes Spine		√			
Invuity				✓	Advisory Board, stock options
Gentis				✓	Medical Advisory Board, Investment Interest

Section 4.	Intellectual Property Patents & Copyrights	
Do you have any	patents, whether planned, pending or issued, broadly relevant to the work?	✓ No



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Dr. Albert reports other from American Orthopaedic Association (AOA), other from Vital 5, personal fees from NuVasive, Inc., other from Innovative Surgical Designs, Inc., other from Bonovo Orthopedics, Inc., other from Biomerix, other from InVivo Therapeutics, personal fees from JP Medical Publishers, other from Spinicity, personal fees from Thieme Medical Publishers, other from CytoDyn Inc., other from ASIP, other from United Health Group, personal fees from Springer, other from Crosstrees Medical, other from Paradigm Spine, LLC, other from Scoliosis Research Society, other from Strathspey Crown, personal fees from Elsevier, Inc., other from Surg.IO LLC, other from Augmedics, other from Morphogenesis, other from PMIG, personal fees from ZIMMER BIOMET, other from Pulse Equity, other from Physician Recommended Nutriceuticals, personal fees from DePuy Synthes Spine, other from Invuity, other from Gentis, outside the submitted work;

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Louie 1



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1. Given Name (First Name) Philip	2. Surname (Last Name) Louie		3. Date 22-February-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Nar Sohrab Virk	me
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Louie 2



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Dr. Louie has nothing to disclose.

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Louie 3



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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



Section 1.	ldentifying Inform	ation					
1. Given Name (Fii Lawrence	rst Name)	2. Surnan Lenke	ne (Last Nar	ne)		3. Date 07-March-2020	
4. Are you the cor	responding author?	Yes	✓ No	Correspond Sohrab Vir	_	or's Name	
5. Manuscript Title The 3 sagittal morphotypes that define the normal cervical spine: A systematic review of the literature and an analysis of asymptomatic volunteers							
•	6. Manuscript Identifying Number (if you know it) JBJS-D-19-01384						
Section 2.	The Work Under Co	onsiderat	tion for P	ublication			
any aspect of the s statistical analysis,	ubmitted work (including	but not lim	ited to gran			ent, commercial, private foundation, etc.) for udy design, manuscript preparation,	
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of compensation clicking the "Add Are there any rele) with entities as descril	bed in the port relation st?	instruction Inships tha	ns. Use one line fo	r each er	cial relationships (regardless of amount ntity; add as many lines as you need by a 36 months prior to publication .	_
Name of Entity		Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Medtronic			✓			paid consultant - monies donated to a charitable foundation; Royalties	•
DePuy-Synthes Spine		✓	✓			1) paid consultant - monies donated to a charitable foundation; 2) grant support - monies to institution	
(2M			\checkmark			1) paid consultant - monies donated to a charitable foundation	
Broadwater				√		1) reimbursement for airfare/hotel	
Seattle Science Found	dation			√		1) reimbursement for airfare/hotel	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Scoliosis Research Society	✓		✓		1) reimbursement for airfare/hotel; 2) grant support - monies to institution
Stryker Spine			✓		1) reimbursement for airfare/hotel
The Spinal Research Foundation			✓		1) reimbursement for airfare/hotel
EOS	✓				1) Grant support - monies to institution
Setting Scoliosis Straight Foundation	✓				1) Grant support - monies to institution
Fox Rothschild, LLC		✓			1) expert witness in a Patent Infringement case
Quality Medical Publishing		✓			1) Royalties
Evans Family Donation				✓	philanthropic research funding from grateful patient/family
Fox Family Foundation				✓	philanthropic research funding from grateful patient
AOSpine	✓		V		1) reimbursement for airfare/hotel; 2) grant support - monies to institution; 3) fellowship support to institution
Section 4. Intellectual Propert Do you have any patents, whether plann Section 5. Relationships not compared to the section of the section	ed, pendi	ing or issue		nt to the	work? Yes 🗸 No
Are there other relationships or activities potentially influencing, what you wrote i				nfluence	d, or that give the appearance of
Yes, the following relationships/cond					
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Section 6.

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Dr. Lenke reports personal fees from Medtronic, grants and personal fees from DePuy-Synthes Spine, personal fees from K2M, non-financial support from Broadwater, non-financial support from Seattle Science Foundation, grants and non-financial support from Scoliosis Research Society, non-financial support from Stryker Spine, non-financial support from The Spinal Research Foundation, grants from EOS, grants from Setting Scoliosis Straight Foundation, personal fees from Fox Rothschild, LLC, personal fees from Quality Medical Publishing, other from Evans Family Donation, other from Fox Family Foundation, grants and non-financial support from AOSpine, outside the submitted work; .Dr. Lenke reports personal fees from Medtronic, grants and personal fees from DePuy-Synthes Spine, personal fees from K2M, non-financial support from Broadwater, non-financial support from Seattle Science Foundation, grants and non-financial support from Scoliosis Research Society, non-financial support from Stryker Spine, non-financial support from The Spinal Research Foundation, grants from EOS, grants from Setting Scoliosis Straight Foundation, personal fees from Fox Rothschild, LLC, personal fees from Quality Medical Publishing, other from Evans Family Donation, other from Fox Family Foundation, grants and non-financial support from AOSpine, outside the submitted work; .

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Elysee 1



Section 1. Identifying Inform	nation				
1. Given Name (First Name) 2. Surname (Last Name) Jonathan Elysee		3. Date 24-February-2020			
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Sohrab Virk			
Manuscript TitleThe 3 sagittal morphotypes that define asymptomatic volunteers	the normal cervical spine:	A systematic review of the literature and an analysis of			
6. Manuscript Identifying Number (if you kn JBJS-D-19-01384	now it)	_			
Sortion 2					
Section 2. The Work Under Co	onsideration for Public	cation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes You					
Section 3. Relevant financial	activities outside the s	ubmitted work.			
of compensation) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.			
Section 4. Intellectual Proper	rty Patents & Copyrig	hts			
Do you have any patents, whether plant	ned, pending or issued, br	oadly relevant to the work? Yes V No			

Elysee 2



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Relationships not covered above			
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1. Given Name (First Name) Renaud	2. Surname (Last Name) Lafage	3. Date 24-February-2020
4. Are you the corresponding aut		sponding Author's Name ab Virk
asymptomatic volunteers		matic review of the literature and an analysis of
6. Manuscript Identifying Numbe JBJS-D-19-01384	r (if you know it)	
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any aspect of the submitted work statistical analysis, etc.)?	(including but not limited to grants, data monit	party (government, commercial, private foundation, etc.) for coring board, study design, manuscript preparation,
Are there any relevant conflict:	s of interest?	
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Are there any relevant conflicts If yes, please fill out the approp		
Name of Entity	Grant? Personal Non-Finance Support	Cial Other? Comments
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Virk 1



Section 1.	Identifying Inform	nation				
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4. Are you the cor	e corresponding author? Yes V		Corresponding Author's Name Sohrab Virk			
5. Manuscript Title The 3 sagittal morphotypes that define the normal cervical spine: A systematic review of the literature and an analysis of asymptomatic volunteers 6. Manuscript Identifying Number (if you know it) JBJS-D-19-01384						
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Virk 2



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