

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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# 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

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Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Cass 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Joseph	2. Surname (Last Name) Cass	3. Date 04-August-2014	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name David Lewallen, MD	
5. Manuscript Title Catastrophic Failure of a Modular Head	/Neck Junction of a Ceme	ntless Femoral Component	
6. Manuscript ldentifying Number (if you k	now it)		
		_	
Section 2. The Work Under C	onsideration for Public	cation	
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,	
Continue			
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No	

Cass 2



Section 5. Relationships not severed above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Cass has nothing to disclose.

## **Evaluation and Feedback**

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Cass 3



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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Lewallen 1



Continu 1						
Section 1. Ide	ntifying Informa	ition				
Given Name (First Name)  David		2. Surname (Last Na Lewallen	me)		3. Date 04-August-2014	
4. Are you the correspon	nding author?	✓ Yes No				
5. Manuscript Title Catastrophic Failure of	f a Modular Head/N	Neck Junction of a C	Cementless Femor	al Compone	ent	
6. Manuscript Identifying	g Number (if you kno	w it)				
Section 2. The	Work Under Cor	nsideration for P	ublication			
any aspect of the submiti statistical analysis, etc.)? Are there any relevant	ted work (including b	out not limited to gra			, commercial, private foundation, et , design, manuscript preparation,	c.) for
Section 3. Rele	evant financial a	ctivities outside	the submitted	work.		
of compensation) with	n entities as describe ox. You should repo conflicts of interest	ed in the instruction ort relationships that?    Yes	ns. Use one line fo	or each entity	relationships (regardless of amo y; add as many lines as you need <b>6 months prior to publication</b> .	d by
Name of Entity		Grant? Personal Fees?	Non-Financial Support?	Other?	Comments	
Zimmer				<b>✓</b> Pro	oduct development agreement	
Mako/Stryker				<b>✓</b> Pro	oduct development agreement	
Pipeline				<b>✓</b> Pro	oduct development agreement	
Ketai Biomedical devices				✓ Pro	oduct development agreement	

Lewallen 2



Section 4
Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Lewallen reports other from Zimmer, other from Mako/Stryker, other from Pipeline, other from Ketai Biomedical devices, outside the submitted work

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Swann 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Presley	2. Surname (Last Name) Swann		3. Date 04-August-2014
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Nar David Lewallen	me
5. Manuscript Title Catastrophic Failure of a Modular Head	/Neck Junction of a Ceme	ntless Femoral Component	
6. Manuscript Identifying Number (if you kr	now it)		
		_	
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution <b>at any time</b> receany aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of interest.	g but not limited to grants, do		
Section 3. Relevant financial	activities outside the	submitted work	
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Section 4. Intellectual Proper	ety Datonts & Convei	ahte	
intellectual Propel	rty Patents & Copyri	gnts	
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work?	Yes ✓ No

Swann 2



Section 5. Relationships not sourced above
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Dr. Swann has nothing to disclose.

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Van Citters 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Douglas	rst Name)	2. Surname (Last Name) Van Citters	3. Date 17-September-2014
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name D.G. Lewallen, MD
5. Manuscript Title Catastrophic Fail		I/Neck Junction of a Cem	nentless Femoral Component
6. Manuscript lder	ntifying Number (if you kr	now it)	
Section 2.	The Work Under C	onsideration for Pub	lication
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants,	om a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
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of compensation clicking the "Add	) with entities as descr +" box. You should re	ibed in the instructions. port relationships that w	whether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication.
•	evant conflicts of interout the appropriate info		
		Crant? Personal N	on-Financial Out 7 Comments
Name of Entity		Grant? Personal N	on-Financial Other? Comments
DePuy Synthes Joint	Reconstruction	<b>✓</b>	<b>✓</b>
ConforMIS		<b>✓</b>	
Section 4.	Intellectual Prope	rty Patents & Copyı	rights
Do you have any			broadly relevant to the work? Yes V No

Van Citters 2



Section 5. Polationships not severed above
Relationships not covered above
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Dr. Van Citters reports grants and non-financial support from DePuy Synthes Joint Reconstruction, grants from ConforMIS, outside the submitted work; .

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Webb 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fil Jonathan	rst Name)	2. Surname (Last Name) Webb	3. Da 04-A	ate .ugust-2014
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name David Lewallen, MD	
5. Manuscript Title Catastrophic Fail		/Neck Junction of a Ceme	ntless Femoral Component	
6. Manuscript Ider	ntifying Number (if you kr	now it)		
			_	
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commerc ta monitoring board, study design, n	
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Section 4.	Intellectual Proper	rty Patents & Copyrig	ıhts	
Do you have any				Yes ✓ No

Webb 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Dr. Webb has no	othing to disclose.

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