

#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in four parts.

### Identifying information.

Enter your full name. If you are NOT the corresponding author please check the box "no" and a space to enter the name of the corresponding author in the space that appears. Provide the requested manuscript information. Double-check the manuscript number and enter it.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes". Then complete the appropriate boxes to indicate the type of support and whether the payment went to you, or to your institution, or both.

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Duquin	3. Effective Date (07-August-2008) 02-January-2013
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Robert H. Cofield MD
5. Manuscript Title Unconstrained S		for Treatment of Proximal	Humerus Non-unions Surgical Technique
6. Manuscript Ide	ntifying Number (if you l	know it)	

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The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
1. Grant	<b>✓</b>					×		
						ADD		
2. Consulting fee or honorarium	<b>✓</b>					×		
						ADD		
<ol><li>Support for travel to meetings for the study or other purposes</li></ol>	<b>✓</b>					×		
						ADD		
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	<b>✓</b>					×		
						ADD		
<ol><li>Payment for writing or reviewing the manuscript</li></ol>	<b>✓</b>					×		
						ADD		
<ol><li>Provision of writing assistance, medicines, equipment, or administrative support</li></ol>	<b>√</b>					×		



The Work Under Consideration for Publication									
т	ype	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
							ADD		
7. Other		<b>✓</b>					×		
							ADD		

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Relevant financial activities outside the submitted work						
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1. Board membership	<b>✓</b>					×
						ADD
2. Consultancy		$\checkmark$		Biomet		×
						ADD
3. Employment	$\checkmark$					×
						ADD
4. Expert testimony	<b>✓</b>					×
						ADD
5. Grants/grants pending	$\checkmark$					×
						ADD
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>		<b>✓</b>		Biomet		×
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>		$\checkmark$		Arthrex		×
						ADD

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<sup>\*\*</sup> Use this section to provide any needed explanation.



Relevant financial activities outside the submitted work									
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments				
7. Payment for manuscript preparation	<b>✓</b>					×			
						ADD			
<ol><li>Patents (planned, pending or issued)</li></ol>	<b>✓</b>					×			
						ADD			
9. Royalties	$\checkmark$					X			
						ADD			
<ol><li>Payment for development of educational presentations</li></ol>	<b>✓</b>					×			
						ADD			
11. Stock/stock options	✓					×			
						ADD			
12. Travel/accommodations/ meeting expenses unrelated to activities listed**	<b>✓</b>					×			
						ADD			
<ol><li>Other (err on the side of full disclosure)</li></ol>	<b>✓</b>					×			
						ADD			
* This means money that your institution received for your efforts.  ** For example, if you report a consultancy above there is no need to report travel related to that consultancy on this line.									
Section 4. Other relationships									
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?									

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**Hide All Table Rows Checked 'No'** 

No other relationships/conditions/circumstances that present a potential conflict of interest

Yes, the following relationships/conditions/circumstances are present (explain below):

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#### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.



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Section 1.	Identifying Inform	mation	
1. Given Name (Fi John	rst Name)	2. Surname (Last Name) Sperling	3. Effective Date (07-August-2008) 02-January-2013
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Name Dr. R. H. Cofield
5. Manuscript Title Essential Surgica Proximal Humer	ll Technique article ba	sed on JBJS-D-10-01975 "U	nconstrained Shoulder Arthroplasty for Treatment of
6. Manuscript Idea	ntifying Number (if you k	(now it)	

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The Work Under Consideration	or Pub	lication				
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**	
1. Grant	<b>✓</b>					×
						ADD
2. Consulting fee or honorarium	<b>✓</b>					×
						ADD
3. Support for travel to meetings for the study or other purposes	<b>✓</b>					×
						ADD
<ol> <li>Fees for participation in review activities such as data monitoring boards, statistical analysis, end point committees, and the like</li> </ol>	<b>V</b>					×
						ADD
<ol><li>Payment for writing or reviewing the manuscript</li></ol>	<b>✓</b>					×
						ADD
<ol><li>Provision of writing assistance, medicines, equipment, or administrative support</li></ol>	<b>✓</b>					×



The Work Under Consideration for Publication								
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**			
						ADD		
7. Other	$\checkmark$					×		
						ADD		

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Relevant financial activities outside the submitted work							
Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
1. Board membership	<b>✓</b>					×	
						ADD	
2. Consultancy	<b>✓</b>					X	
						ADD	
3. Employment	<b>✓</b>					×	
						ADD	
4. Expert testimony	✓					×	
						ADD	
5. Grants/grants pending	<b>✓</b>					×	
						ADD	
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	<b>✓</b>					×	
						ADD	
<ol><li>Payment for manuscript preparation</li></ol>	<b>✓</b>					×	

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						ADD			
<ol><li>Patents (planned, pending or issued)</li></ol>	<b>✓</b>					×			
						ADD			
9. Royalties		<b>√</b>		Biomet		×			
						ADD			
<ol><li>Payment for development of educational presentations</li></ol>	<b>✓</b>					×			
						ADD			
11. Stock/stock options	<b>✓</b>					×			
						ADD			
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	<b>✓</b>					×			
						ADD			
<ol><li>Other (err on the side of full disclosure)</li></ol>	<b>✓</b>					×			
						ADD			
* This means money that your institution ** For example, if you report a consultanc				ravel related to that consul	tancy on this line.				

Section 4.	
Section 4.	Other relationships
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
	tionships/conditions/circumstances that present a potential conflict of interest wing relationships/conditions/circumstances are present (explain below):
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Hide All Table Rows Checked 'No'

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1. Given Name (Fi Robert H.	rst Name)	2. Surname (Last Name) Cofield		3. Effective Date (07-August-2008) 10-October-2012
4. Are you the corresponding author?		☐ Yes ✓ No	Corresponding Author's Na R. H. Cofield MD	me
5. Manuscript Titl Unconstrained S		for Proximal Humerus No	nunions - Surgical Technique	2
6. Manuscript lde ST-D-12-00015	ntifying Number (if you	know it)		

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<b>✓</b>					×		
					ADD		
<b>✓</b>					×		
					ADD		
<b>✓</b>					×		
					ADD		
<b>✓</b>					×		
					ADD		
<b>✓</b>					×		
					ADD		
<b>✓</b>					×		
	No  V	No Paid to You  I O O	No Paid Your Institution*	No Paid Your Institution*  No Institution*  Name of Entity  Name of Entity	No Paid to Your Institution*  No Description  No Paid to Your Institution*  Name of Entity Comments**  Comments**		



The Work Under Consideration for Publication							
Туре	No	Money Paid to You	Money to Your Institution*	Name of Entity	Comments**		
						ADD	
7. Other	<b>✓</b>					×	
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						ADD
2. Consultancy	<b>✓</b>					×
						ADD
3. Employment	$\checkmark$					×
						ADD
4. Expert testimony	<b>✓</b>					×
						ADD
5. Grants/grants pending	<b>✓</b>					×
						ADD
<ol><li>Payment for lectures including service on speakers bureaus</li></ol>	<b>✓</b>					×
						ADD
<ol><li>Payment for manuscript preparation</li></ol>	<b>✓</b>					×

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Type of Relationship (in alphabetical order)	No	Money Paid to You	Money to Your Institution*	Entity	Comments		
						ADD	
<ol><li>Patents (planned, pending or issued)</li></ol>	<b>✓</b>					×	
						ADD	
9. Royalties		$\checkmark$	$\checkmark$	Smith/Nephew; DJO		×	
						ADD	
<ol><li>Payment for development of educational presentations</li></ol>	<b>✓</b>					×	
						ADD	
11. Stock/stock options	<b>✓</b>					X	
						ADD	
<ol> <li>Travel/accommodations/ meeting expenses unrelated to activities listed**</li> </ol>	<b>✓</b>					×	
						ADD	
<ol><li>Other (err on the side of full disclosure)</li></ol>	<b>✓</b>					×	
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