

#### **Instructions**

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# Identifying information.

# 2. The work under consideration for publication.

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Other: Anything not covered under the previous three boxes

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**Licensed:** The patent has been licensed to an entity, whether

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	ation						
1. Given Name (First Name) Peter	2. Surna Newton	me (Last Nar	ne)		3. Date 01-August-2019		
4. Are you the corresponding author?	Are you the corresponding author?			ding Autho	or's Name		
5. Manuscript Title Non-Fusion Surgical Correction of Thora Minimum 4 Years Follow-up	acic Idiopa	athic Scolio	sis Using a Novel	Braided \	Vertebral Body Tether Device:		
6. Manuscript Identifying Number (if you kn	ow it)						
Section 2. The Work Under Co	onsidera	tion for P	ublication				
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?							
Are there any relevant conflicts of interest? Yes V No							
Section 3. Relevant financial activities outside the submitted work.							
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep	bed in the	instruction	ns. Use one line fo	or each er	ntity; add as many lines as you need	d by	
Are there any relevant conflicts of intere	٠		No				
If yes, please fill out the appropriate info	ormation b	elow.					
Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments		
Setting Scoliosis Straight Foundation	<b>✓</b>			<b>√</b>	Board membership (no compensation)		
Rady Children's Specialists				<b>✓</b>	Board membership (no compensation)		
DePuy Synthes Spine	<b>✓</b>	<b>✓</b>	<b>/</b>		Consultant, speakers bureau, royalties, payment for development of educational programs, research support,		
SRS	<b>✓</b>			<b>✓</b>	Board membership		
EOS imaging	<b>✓</b>				research support		



Name of Entity

# **ICMJE Form for Disclosure of Potential Conflicts of Interest**

Grant?

Thieme Publishing			$\checkmark$			Royalties
NuVasive		✓			F	Research support
Electrocore					<b>√</b>	Stocks/options
Cubist			<b>✓</b>			Consultancy, data safety monitoring poard
International Pediatric Orthopedic Th	ink Tank					Board membership (no compensation)
Orthopediatrics		<b>√</b>		$\checkmark$		Research Support, Institutional Support
K2M		✓	<b>✓</b>	$\checkmark$		Consultancy, Research support, product development, Royalties
Alphatech		✓		<b>✓</b>	F	Research Support
Mazor Robotics		<b>✓</b>			F	Research Grant
Do you have any patents, wheth If yes, please fill out the approp Excess rows can be removed by	riate informa	tion belo	w. If you ha	-		vork?  Yes No y press the "ADD" button to add a row
Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee	? Comments
Anchoring systems and methods for correcting spinal deformities (8540754)		<b>✓</b>	<b>✓</b>	<b>√</b>	DePuy Syntl Spine	hes
Low profile spinal tethering systems (8123749)		<b>✓</b>	<b>√</b>		DePuy Spine	e, Inc.
Screw placement guide (7981117)		<b>✓</b>	<b>✓</b>		DePuy Spine	e, Inc.
Compressor for use in minimally invasive surgery (7189244)		<b>✓</b>	<b>√</b>		DePuy Spine	e, Inc.
Posterior spinal fixation	<b>✓</b>				K2M	

Personal Non-Financial

Fees?

Support?

Other?

Comments



Section 5.	Relationships not covered above				
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?					
	wing relationships/conditions/circumstances are present (explain below): tionships/conditions/circumstances that present a potential conflict of interest				
	inuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.				
Section 6.	Disclosure Statement				

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Newton reports grants and other from Setting Scoliosis Straight Foundation, other from Rady Children's Specialists, grants, personal fees and non-financial support from DePuy Synthes Spine, grants and other from SRS, grants from EOS imaging, personal fees from Thieme Publishing, grants from NuVasive, other from Electrocore, personal fees from Cubist, other from International Pediatric Orthopedic Think Tank, grants, non-financial support and other from Orthopediatrics, grants, personal fees and non-financial support from K2M, grants and non-financial support from Alphatech, grants from Mazor Robotics, outside the submitted work; In addition, Dr. Newton has a patent Anchoring systems and methods for correcting spinal deformities (8540754) with royalties paid to DePuy Synthes Spine, a patent Low profile spinal tethering systems (8123749) licensed to DePuy Spine, Inc., a patent Screw placement guide (7981117) licensed to DePuy Spine, Inc., a patent Compressor for use in minimally invasive surgery (7189244) licensed to DePuy Spine, Inc., and a patent Posterior spinal fixation pending to K2M.

#### **Evaluation and Feedback**

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Wong 1



Section 1. Identifying Info	ormation			
1. Given Name (First Name) Hee-Kit	2. Surname (Last Name) 3. Date Wong 19-July-2019			
4. Are you the corresponding author?	✓ Yes No			
<ul> <li>5. Manuscript Title</li> <li>Non-Fusion Surgical Correction of T</li> <li>Minimum 4 Years Follow-up</li> <li>6. Manuscript Identifying Number (if yo</li> </ul>	,	vel Braided Vertebral Body Tether Device:		
Section 2. The Work Under	er Consideration for Publication			
any aspect of the submitted work (inclustatistical analysis, etc.)?  Are there any relevant conflicts of in	ding but not limited to grants, data monitor nterest? Yes No information below. If you have more the	rty (government, commercial, private foundation, etc.) for ring board, study design, manuscript preparation, nan one entity press the "ADD" button to add a row.		
Name of Institution/Company	Grant? Personal Non-Financia Fees? Support?	Other? Comments		
lohnson & Johnson Company		J & J Company paid for travel to attend training to install the device, and the surgical, hospitalization, and outpatient costs of all five patients.		
Section 3. Relevant finance	cial activities outside the submitte	ed work.		
of compensation) with entities as de	escribed in the instructions. Use one line dreport relationships that were <b>present</b>	have financial relationships (regardless of amount e for each entity; add as many lines as you need by t during the 36 months prior to publication.		
Section 4. Intellectual Pro	perty Patents & Copyrights			
Do you have any patents, whether p	planned, pending or issued, broadly rele	evant to the work? Yes Vo		

Wong 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Wong reports non-financial support and other from Johnson & Johnson Company, during the conduct of the study; .

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Wong 3



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RUIZ 1



Section 1.	Identifying Inform	ation				
1. Given Name (Firs	•	2. Surname (Last Name) RUIZ	3. Date 01-August-201	19		
4. Are you the corre	esponding author?	Yes ✓ No	Corresponding Author's Name HEE-KIT WONG			
5. Manuscript Title Non-Fusion Surgical Correction of Thoracic Idiopathic Scoliosis Using a Novel Braided Vertebral Body Tether Device: Minimum 4 Years Follow-up						
6. Manuscript Ident	tifying Number (if you kn	now it)				
Section 2.	Section 2. The Work Under Consideration for Publication					
any aspect of the su statistical analysis, e	ıbmitted work (including	but not limited to grants, da	a third party (government, commercial, private a monitoring board, study design, manuscript			
Section 3.	Relevant financial	activities outside the s	ubmitted work.			
of compensation) clicking the "Add	with entities as descri	bed in the instructions. Us port relationships that wer	ether you have financial relationships (rega e one line for each entity; add as many line e <b>present during the 36 months prior to</b>	es as you need by		
Section 4.	Intellectual Draner	ty Patents & Copyrig	hec			
				٦.,		
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V						

RUIZ 2



Section 5.	Deletionaline not account above
	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
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Dr. RUIZ has noth	ning to disclose.

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LIU 1



Section 1.	Identifying Inform	nation					
1. Given Name (Fil KA- PO GABRIEL	rst Name)	2. Surname (Last Name) LIU	3. Date 01-August-2019				
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name HEE-KIT WONG				
5. Manuscript Title Non-Fusion Surg Minimum 4 Year	ical Correction of Thor	acic Idiopathic Scoliosis Us	ing a Novel Braided Vertebral Body Tether Device:				
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			-				
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of compensation clicking the "Add	) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .				
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No				

LIU 2



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Dr. LIU has nothing to disclose.

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