

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Instructions

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#### 1. Identifying information.

#### 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

#### 5. Relationships not covered above.

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**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

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**Royalties:** Funds are coming in to you or your institution due to your patent

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Tone	2. Surname (Last Name) Wagle	3. Date 12-December-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Aleksander Nilsskog Fraser
5. Manuscript Title Reverse shoulder arthroplasty superior at two years compared with plate fixation for displaced proximal humeral fractures in the elderly. A multicenter RCT.		
6. Manuscript Identifying Number (if you know it) JBJS-D-19-01071R1		

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☒ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Sophies Minde Ortopedi AS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	20 % wages as research physiotherapist

### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Sophies Minde Ortopedi AS is a part of Oslo University Hospital. They payed 20% wages during the project period 2014-2017.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Alexander Nilsskog	2. Surname (Last Name) Fraser	3. Date 11-December-2019
4. Are you the corresponding author? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
5. Manuscript Title Reverse shoulder arthroplasty is superior to plate fixation at 2 years for displaced proximal humeral fractures in the elderly. A multicenter Randomized Controlled Trial.		
6. Manuscript Identifying Number (if you know it) JBJS-D-19-01071R1		

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☒ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Sophies Minde Ortopedi AS/OUS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Grant for general research in collaboration with Oslo University Hospital.

### Section 3. Relevant financial activities outside the submitted work.

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Dr. Fraser reports grants from Sophies Minde Ortopedi AS/OUS, during the conduct of the study; .

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### Section 1. Identifying Information

1. Given Name (First Name)  
Anna

2. Surname (Last Name)  
Karlberg

3. Date  
31-May-1967

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name  
Alexander Nilsskog Fraser

5. Manuscript Title

Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.

6. Manuscript Identifying Number (if you know it)

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Dr. Karlberg has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Hilde

2. Surname (Last Name)

Apold

3. Date

02-September-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Alexander Nilsskog Fraser

5. Manuscript Title

Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.

6. Manuscript Identifying Number (if you know it)

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Dr. Apold has nothing to disclose.

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1. Given Name (First Name)

Leif Børge

2. Surname (Last Name)

Larsen

3. Date

03-September-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Alexander Nilsskog Fraser

5. Manuscript Title

Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Dr. Larsen has nothing to disclose.

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#### 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Lars

2. Surname (Last Name)

Eilertsen

3. Date

09-January-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Alexander Nilsskog Fraser

5. Manuscript Title

Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Eilertsen has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Jonas	2. Surname (Last Name) BjØrdal	3. Date 01-September-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Alexander Nilsskog Fraser
5. Manuscript Title Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.		
6. Manuscript Identifying Number (if you know it)  		

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Dr. Bjørdal has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Odd Arve	2. Surname (Last Name) Lien	3. Date 02-September-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Alexander Nilsskog Fraser
5. Manuscript Title Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.		
6. Manuscript Identifying Number (if you know it)  		

### Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Lien has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Konrad

2. Surname (Last Name)

Mader

3. Date

30-September-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Alexander Nilsskog Fraser

5. Manuscript Title

Reverse shoulder arthroplasty superior at two years compared with plate fixation for displaced proximal humeral fractures in the elderly. A multicenter RCT.

6. Manuscript Identifying Number (if you know it)

JBJS-D-19-01071R1

### Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

## ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Mader has nothing to disclose.

### Evaluation and Feedback

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)

Jan Erik

2. Surname (Last Name)

Madsen

3. Date

03-September-2019

4. Are you the corresponding author?

☐ Yes

☒ No

Corresponding Author's Name

Alexander Nilsskog Fraser

5. Manuscript Title

Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Dr. Madsen has nothing to disclose.

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#### 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name) Tore	2. Surname (Last Name) Fjalestad	3. Date 02-September-2019
4. Are you the corresponding author? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Corresponding Author's Name Alexander Nilsskog Fraser
5. Manuscript Title Operative treatment with reverse shoulder arthroplasty is superior to ORIF with plate for displaced proximal humeral fractures in the elderly. A multicenter RCT.		
6. Manuscript Identifying Number (if you know it)  		

### Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- ☐ Yes, the following relationships/conditions/circumstances are present (explain below):
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At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

### Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Fjalestad has nothing to disclose.

### Evaluation and Feedback

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