

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Burt	2. Surname (Last Name) Yaszay	3. Date 28-August-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Peter Newton
5. Manuscript Title Anterior Spinal Growth Modulation in 1 Posterior Spinal Fusion 2 to 5 Years Pos	-	ts with Idiopathic Scoliosis: How does it Compare to

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

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🖌 No

Are there any relevant conflicts of interest?	Yes
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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
K2M	\checkmark	\checkmark				
DePuy Synthes Spine	\checkmark	\checkmark				
Nuvasive	\checkmark	\checkmark				
Medtronic		\checkmark				
Orthopediatrics	\checkmark	\checkmark				
Stryker		\checkmark				
Globus		\checkmark				
Setting Scoliosis Straight Foundation	\checkmark					



Name of Entity	Grant?	Personal Fees ?	Non-Financial Support	Other?	Comments	
Biogen		\checkmark				

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Ves No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
К2М		\checkmark		\checkmark			

Section 5. Relationships not covered above

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Dr. Yaszay reports grants and personal fees from K2M, grants and personal fees from DePuy Synthes Spine, grants and personal fees from Nuvasive, personal fees from Medtronic, grants and personal fees from Orthopediatrics, personal fees from Stryker, personal fees from Globus, grants from Setting Scoliosis Straight Foundation, personal fees from Biogen, outside the submitted work; In addition, Dr. Yaszay has a patent K2M with royalties paid.



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Section 1. Identifying Inf	ormation	
1. Given Name (First Name) Carrie	2. Surname (Last Name) Bartley	3. Date 01-October-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Peter Newton
5. Manuscript Title Anterior Spinal Growth Modulation	-	ents with Idiopathic Scoliosis: How does it Compare to
Posterior Spinal Fusion 2 to 5 Years	Postoperatively?	

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest?		Yes	\checkmark	1
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



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Ms. Bartley has nothing to disclose.

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Section 1. Identifying Info	mation		
1. Given Name (First Name) Dylan	2. Surname (Last Name) Kluck	3. Date 15-August	-2019
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Peter Newton, MD	
5. Manuscript Title Anterior Spinal Growth Modulation ir	•	ents with Idiopathic Scoliosis: How does	it Compare to
Posterior Spinal Fusion 2 to 5 Years Po	Jstoperatively:		

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Are there any relevant conflicts of interest?	Yes
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No

Are there any relevant conflicts of interest?		Yes	\checkmark	
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1. Given Name (Fin Peter	rst Name)	2. Surname (Last Name) Newton	3. Date 01-October-2019
4. Are you the cor	responding author?	✓ Yes No	

5. Manuscript Title

TAnterior Spinal Growth Modulation in Skeletally Immature Patients with Idiopathic Scoliosis: How does it Compare to Posterior Spinal Fusion 2 to 5 Years Postoperatively?

6. Manuscript Identifying Number (if you know it)

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✓ No

Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? 🖌 Yes

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Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Setting Scoliosis Straight Foundation	\checkmark			\checkmark	Board membership (no compensation)	
Rady Children's Specialists				\checkmark	Board membership (no compensation)	
DePuy Synthes Spine	\checkmark	\checkmark	\checkmark		Consultant, speakers bureau, royalties, payment for development of educational programs, research support,	
SRS	\checkmark			\checkmark	Board membership	
EOS imaging	\checkmark				research support	



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Thieme Publishing		\checkmark			Royalties	
NuVasive	\checkmark				Research support	
Electrocore				\checkmark	Stocks/options	
Cubist		\checkmark			Consultancy, data safety monitoring board	
International Pediatric Orthopedic Think Tank				\checkmark	Board membership (no compensation)	
Orthopediatrics	\checkmark		\checkmark	\checkmark	Research Support, Institutional Support	
K2M	\checkmark	\checkmark	\checkmark		Consultancy, Research support, product development, Royalties	
Alphatech	\checkmark		\checkmark		Research Support	
Mazor Robotics	\checkmark				Research Grant	

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes 🗌 No
If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row.
Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Anchoring systems and methods for correcting spinal deformities (8540754)		\checkmark	\checkmark	\checkmark	DePuy Synthes Spine		
Low profile spinal tethering systems (8123749)		\checkmark	\checkmark		DePuy Spine, Inc.		
Screw placement guide (7981117)		\checkmark	\checkmark		DePuy Spine, Inc.		
Compressor for use in minimally invasive surgery (7189244)		\checkmark	\checkmark		DePuy Spine, Inc.		
Posterior spinal fixation	\checkmark				K2M		



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1. Given Name (Fir Tracey	st Name)	2. Surname (Last Name) Bastrom	3. Date 15-August-2019
4. Are you the corr	esponding author?	Yes 🖌 No	Corresponding Author's Name Peter Newton
6. Manuscript Title		Skeletally Immature Patie	ents with Idiopathic Scoliosis: How does it Compare to
•	usion at 2 Years?		

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🖌 No

Are there any relevant conflicts of interest?		Yes
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Evaluation and Feedback



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



1. Given Name (First Name) Wataru	2. Surname (Last Name) Saito	3. Date 16-August-2019		
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Peter Newton		
5. Manuscript Title Anterior Spinal Growth Modulation in	•	ents with Idiopathic Scoliosis: How does it Compare to		
Posterior Spinal Fusion 2 to 5 Years Po	ostoperatively?			

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes
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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

No

Are there any relevant conflicts of interest?		Yes	\checkmark	
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? [Yes	🖌 No	
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Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Saito has nothing to disclose.

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