COPYRIGHT © BY THE JOURNAL OF BONE AND JOINT SURGERY, INCORPORATED CHORADIA ET AL. DEVELOPMENT OF A KNEE ARTHROPLASTY EPISODE-BASED COST MEASURE FOR EVALUATING COST IN THE MERIT-BASED INCENTIVE PAYMENT SYSTEM http://dx.doi.org/10.2106/JBJS.20.02221 Page 1

The following content was supplied by the authors as supporting material and has not been copy-edited or verified by JBJS.

APPENDIX A: Composition of the Musculoskeletal Disease Management – Non-spine Clinical Subcommittee

| Name and Credentials | Specialty | City, State |
|------------------------------|-------------------------------|--------------------|
| Adam Rana, MD | Orthopedic Surgery | Falmouth, ME |
| *Adolph Yates, MD | Orthopedic Surgery | Pittsburgh, PA |
| Alex Limanni, MD | Rheumatology | Dallas, TX |
| Ammar Sarwar, MD | Interventional Radiology | Boston, MA |
| Andrew Gordon, MD, PhD | Physical Medicine and | Silver Spring, MD |
| , , , | Rehabilitation | |
| Bela Pandit, DPM, FACFAS | Podiatry | Chicago, IL |
| Daniel Moon, MD, MS, MBA | Orthopedic Surgery | Aurora, CO |
| Daniel Wessell, MD | Diagnostic Radiology | Jacksonville, FL |
| David Friedenson, MD | Emergency Medicine | Denver, CO |
| Dennis Rivenburgh, MS, ATC, | Physician Assistant | Baltimore, MD |
| PA-C, DFAAPA | - | |
| Dheeraj Mahajan, MD, CMD, | Internal Medicine | Melrose Park, IL |
| FACP | | |
| Edward Mariano, MD, MAS | Anesthesiology | Palo Alto, CA |
| Heather Smith, PT, MPH | Physical Therapy | Alexandria, VA |
| Jennifer Koontz, MD, MPH, | Sports Medicine | Newton, KS |
| FAAFP | | |
| Jeremy Furniss, OTD, OTR/L, | Occupational Therapy | Bethesda, MD |
| BCG, CDP | | |
| *Juan Quintana, DNAP, MHS, | Certified Registered Nurse | Winnsboro, TX |
| CRNA | Anesthetist | |
| Kenneth Hunt, MD | Orthopedic Surgery | Aurora, CO |
| Kevin Klauer, DO, EJD, FACEP | Emergency Medicine | Knoxville, TN |
| Kirk Whetstone, MD | Physical Medicine and | Columbus, OH |
| | Rehabilitation | |
| Marc DeHart, MD | Orthopedic Surgery | San Antonio, TX |
| Mark Levine, MD | Internal Medicine | Aurora, CO |
| Michael DePalma, PA-C | Orthopedic Surgery | Hanover, PA |
| Michael Wasserman, MD | Geriatric Medicine | Woodland Hills, CA |
| Michael Zychowicz, DNP | Orthopedic Nurse Practitioner | Durham, NC |
| Robert (Dale) Blasier, MD, | Orthopedic Surgery | Little Rock, AR |
| FRCS, MBA | | |
| Robin Kamal, MD | Hand Surgery | Palo Alto, CA |
| Steven Schmitt, MD | Infectious Disease | Cleveland, OH |
| William Beach, MD | Orthopedic Surgery | Richmond, VA |

Copyright © by The Journal of Bone and Joint Surgery, Incorporated Choradia et al. Development of a Knee Arthroplasty Episode-Based Cost Measure for Evaluating Cost in the Merit-Based Incentive Payment System http://dx.doi.org/10.2106/JBJS.20.02221 Page 2

APPENDIX B: CMS-HCC V22 2016 Risk Adjustment Variables

| HCC Risk Adjustment Variables ¹ | | All Episodes | |
|--|---------|--------------|--|
| | N | % | |
| Total # of Episodes | 249,301 | | |
| HCC1: HIV/AIDS | 181 | 0.07% | |
| HCC2: Septicemia, Sepsis, Systemic Inflammatory Response Syndrome/Shock | 743 | 0.30% | |
| HCC6: Opportunistic Infections | 264 | 0.11% | |
| HCC8: Metastatic Cancer and Acute Leukemia | 786 | 0.32% | |
| HCC9: Lung and Other Severe Cancers | 1,284 | 0.52% | |
| HCC10: Lymphoma and Other Cancers | 2,356 | 0.95% | |
| HCC11: Colorectal, Bladder, and Other Cancers | 2,858 | 1.15% | |
| HCC12: Breast, Prostate, and Other Cancers and Tumors | 12,302 | 4.93% | |
| HCC17: Diabetes with Acute Complications | 274 | 0.11% | |
| HCC18: Diabetes with Chronic Complications | 25,981 | 10.42% | |
| HCC19: Diabetes without Complication | 30,724 | 12.32% | |
| HCC21: Protein-Calorie Malnutrition | 289 | 0.12% | |
| HCC22: Morbid Obesity | 15,467 | 6.20% | |
| HCC23: Other Significant Endocrine and Metabolic Disorders | 4,285 | 1.72% | |
| HCC27: End-Stage Liver Disease | 360 | 0.14% | |
| HCC28: Cirrhosis of Liver | 725 | 0.29% | |
| HCC29: Chronic Hepatitis | 677 | 0.27% | |
| HCC33: Intestinal Obstruction/Perforation | 700 | 0.28% | |
| HCC34: Chronic Pancreatitis | 179 | 0.07% | |
| HCC35: Inflammatory Bowel Disease | 1,660 | 0.67% | |
| HCC39: Bone/Joint/Muscle Infections/Necrosis | 1,881 | 0.75% | |
| HCC40: Rheumatoid Arthritis and Inflammatory Connective Tissue Disease | 16,934 | 6.79% | |
| HCC46: Severe Hematological Disorders | 495 | 0.20% | |
| HCC47: Disorders of Immunity | 1,402 | 0.56% | |
| HCC48: Coagulation Defects and Other Specified | | | |
| Hematological Disorders | 7,871 | 3.16% | |
| HCC54: Drug/Alcohol Psychosis | 60 | 0.02% | |
| HCC55: Drug/Alcohol Dependence | 2,890 | 1.16% | |
| HCC57: Schizophrenia | 747 | 0.30% | |
| HCC58: Major Depressive, Bipolar, and Paranoid Disorders | 12,351 | 4.95% | |
| HCC70: Quadriplegia | 39 | 0.02% | |
| HCC71: Paraplegia | 34 | 0.01% | |

Copyright © by The Journal of Bone and Joint Surgery, Incorporated Choradia et al. Development of a Knee Arthroplasty Episode-Based Cost Measure for Evaluating Cost in the Merit-Based Incentive Payment System http://dx.doi.org/10.2106/JBJS.20.02221

Page 3

| HCC72: Spinal Cord Disorders/Injuries | 515 | 0.21% |
|---|--------|--------|
| HCC73: Amyotrophic Lateral Sclerosis and Other Motor | | 0.2.70 |
| Neuron Disease | 22 | 0.01% |
| HCC74: Cerebral Palsy | 60 | 0.02% |
| HCC75: Myasthenia Gravis/Myoneural Disorders, | | |
| Inflammatory and Toxic Neuropathy | 1,176 | 0.47% |
| HCC76: Muscular Dystrophy | 27 | 0.01% |
| HCC77: Multiple Sclerosis | 629 | 0.25% |
| HCC78: Parkinson's and Huntington's Diseases | 1,928 | 0.77% |
| HCC79: Seizure Disorders and Convulsions | 2,443 | 0.98% |
| HCC80: Coma, Brain Compression/Anoxic Damage | 51 | 0.02% |
| HCC82: Respirator Dependence/Tracheostomy Status | 62 | 0.02% |
| HCC83: Respiratory Arrest | 16 | 0.01% |
| HCC84: Cardio-Respiratory Failure and Shock | 1,111 | 0.45% |
| HCC85: Congestive Heart Failure | 14,849 | 5.96% |
| HCC86: Acute Myocardial Infarction | 1,161 | 0.47% |
| HCC87: Unstable Angina and Other Acute Ischemic Heart | ., | 011170 |
| Disease | 1,352 | 0.54% |
| HCC88: Angina Pectoris | 5,027 | 2.02% |
| HCC96: Specified Heart Arrhythmias | 27,857 | 11.17% |
| HCC99: Cerebral Hemorrhage | 238 | 0.10% |
| HCC100: Ischemic or Unspecified Stroke | 2,284 | 0.92% |
| HCC103: Hemiplegia/Hemiparesis | 451 | 0.18% |
| HCC104: Monoplegia, Other Paralytic Syndromes | 88 | 0.04% |
| HCC106: Atherosclerosis of the Extremities with Ulceration | | |
| or Gangrene | 188 | 0.08% |
| HCC107: Vascular Disease with Complications | 2,901 | 1.16% |
| HCC108: Vascular Disease | 21,333 | 8.56% |
| HCC110: Cystic Fibrosis | | |
| HCC111: Chronic Obstructive Pulmonary Disease | 17,517 | 7.03% |
| HCC112: Fibrosis of Lung and Other Chronic Lung Disorders | 1,585 | 0.64% |
| HCC114: Aspiration and Specified Bacterial Pneumonias | 272 | 0.11% |
| HCC115: Pneumococcal Pneumonia, Empyema, Lung Abscess | 208 | 0.08% |
| HCC122: Proliferative Diabetic Retinopathy and Vitreous Hemorrhage | 575 | 0.23% |
| HCC124: Exudative Macular Degeneration | 2,373 | 0.95% |
| HCC134: Dialysis Status | 365 | 0.15% |
| HCC135: Acute Renal Failure | 2,314 | 0.93% |
| HCC136: Chronic Kidney Disease, Stage 5 | 335 | 0.13% |

Copyright © by The Journal of Bone and Joint Surgery, Incorporated Choradia et al. Development of a Knee Arthroplasty Episode-Based Cost Measure for Evaluating Cost in the Merit-Based Incentive Payment System http://dx.doi.org/10.2106/JBJS.20.02221 Page 4

| HCC137: Chronic Kidney Disease, Severe (Stage 4) | 1,138 | 0.46% |
|---|-------|--------|
| HCC157: Pressure Ulcer of Skin with Necrosis Through to Muscle, Tendon, or Bone | 12 | 0.00% |
| HCC158: Pressure Ulcer of Skin with Full Thickness Skin Loss | 144 | 0.06% |
| HCC161: Chronic Ulcer of Skin, Except Pressure | 1,855 | 0.74% |
| HCC162: Severe Skin Burn or Condition | 12 | 0.00% |
| HCC166: Severe Head Injury | * | * |
| HCC167: Major Head Injury | 235 | 0.09% |
| HCC169: Vertebral Fractures without Spinal Cord Injury | 610 | 0.24% |
| HCC170: Hip Fracture/Dislocation | 651 | 0.26% |
| HCC173: Traumatic Amputations and Complications | 76 | 0.03% |
| HCC176: Complications of Specified Implanted Device or Graft | 1,818 | 0.73% |
| HCC186: Major Organ Transplant or Replacement Status | 342 | 0.14% |
| HCC188: Artificial Openings for Feeding or Elimination | 357 | 0.14% |
| HCC189: Amputation Status, Lower Limb/Amputation Complications | 250 | 0.10% |
| | 200 | 0.1070 |

¹ The methodology for creation of these variables and ICD-10 mapping for the HCCs can be found on the CMS website: <u>https://www.cms.gov/Medicare/Health-</u>

Plans/MedicareAdvtgSpecRateStats/Downloads/2016FinalICD-10HCCandRxHCCMappings.zip

² Any group with a count less than 10 is indicated with an * in order to prevent possible identification